# **PUBLIC BUILDING COMMISSION OF CHICAGO**



PROFESSIONAL SERVICES AGREEMENT
CONTRACT NUMBER PS1069
with
SCHROEDER MURCHIE NIEMIEC GAZDA-AUSKALNIS
ARCHITECTS, LTD.
TO PROVIDE THE SERVICES OF
DESIGN ARCHITECT for
CHICAGO PUBLIC SCHOOLS
ELEMENTARY SCHOOLS

CHICAGO, ILLINOIS

# Mayor Richard M. Daley Chairman

Montel M. Gayles Executive Director

Richard J. Daley Center, Room 200
50 West Washington Street
Chicago, Illinois 60602
www.pbcchicago.com

#### **EXECUTION PAGE**

#### PROFESSIONAL SERVICES AGREEMENT NO. PS1069

#### **Design Architect for CPS Elementary Schools**

THIS AGREEMENT effective as of May 8, 2007, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and Schroeder Murchie Niemiec Gazda-Auskalnis Architects, Ltd. (SMNG-A) with offices at 936 W. Huron Street, Chicago, IL 60622, (the "Architect"), at Chicago, Illinois.

#### **Background Information – Recitals:**

Whereas, the Commission on behalf of the Chicago Public Schools (referred to in this Agreement as the "User Agency"), intends to undertake the design and construction of elementary schools in Chicago, Illinois including:

Avondale / Irving Park Area Elementary
Belmont-Craigin Area Elementary
Boone Clinton Area Elementary
Bouchet Replacement / Addition Elementary
Brighton Park I Area Elementary
Brighton Park II Area Elementary
Lee Pasteur Hurley Area Elementary
Northwest Area Elementary
Ogden Replacement Elementary
Powell Replacement Elementary
Southwest Area Middle School

Whereas, the Commission requires certain professional services described in the Agreement, in connection with the Projects and desires to retain the Design Architect on the terms and conditions set forth in the Agreement to perform such Services. These services generally consist of developing or improving upon existing prototypical designs for elementary schools. The Design Architect will refine existing design standards and specifications, and develop new standards and specifications as required. The Design Architect will provide oversight and review for compliance with prototypical design details and specifications. The Design Architect desires to be so retained by the Commission and has represented to the Commission that the Design Architect has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

Whereas, the Design Architect has consulted with the Commission and the User Agency, and taken such other actions as the Design Architect deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Services. The Design Architect represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Services in accordance with standards of reasonable professional skill and diligence.

Whereas, the Construction Budgets for the Project will be established by the Commission after completion of Schematic Designs based upon the requirements of the Projects and allowances for cost escalation and contingencies.

Whereas, the Commission has relied upon the Design Architect's representations in selecting the Design Architect.

SIGNED by: PUBLIC BUILDING COMMISSION OF CHICAGO by: Chairman Attest: **DESIGN ARCHITECT, Schroeder Murchie Niemiec Gazda-Auskalnis Architects, Ltd.** President County of: COOK LLIMOIS State of: \_\_\_ Subscribed and sworn to before me by JACK MURCHIÉ on behalf of the \_\_day of **DIX\_**\_, 20<u>01</u>\_. Notary Public My Commission expires: (SEAL OF NOTARY)

**NOW THEREFORE**, the parties agree on the terms and conditions that follow:

#### Article I. INCORPORATION OF RECITALS

**Section 1.01** The matters recited above, the "Background Information," are incorporated in and made a part of the Agreement.

#### Article II. DEFINITIONS AND USAGE

**Section 2.01** <u>Definitions.</u> The following phrases have the following meanings for purposes of the Agreement:

- (a) Agreement. This Agreement for Design Architect services, between the Commission and the Design Architect, including: all attached exhibits, schedules and documents and all such exhibits, schedules and documents incorporated by reference; all component parts and all amendments, modifications, or revisions made in accordance with its terms.
- (b) Architect of Record/Architect. Those firms assigned to provide Architect-of-Record services on specific schools. The Design Architect may be assigned Architect-of-Record services.
- (c) Design Architect. The company or other entity identified in the Agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of the Agreement.
- (d) Authorized Commission Representatives. One or more persons designated in writing by the Executive Director for the purposes of assisting the Commission in managing the Projects. As specifically directed by the Commission, the Authorized Commission Representative will act on behalf of the Commission.
- (e) Commission. The Public Building Commission of Chicago, a municipal corporation, acting by and through its Chairman, Secretary, Assistant Secretary, Executive Director, including the Commission's Authorized Representative, as designated by the Executive Director in writing.
- (f) Additional Services. Additional services to be provided by the Design Architect for the Projects pursuant to the provisions of Schedule A.
- (g) Contract Documents. All of the Contract documents for the construction and improvement of the Projects including the Bidding Instructions, Standard Terms and Conditions for Construction Contracts, Technical Specifications, Drawings, Addenda, Bulletins and Modifications to those parts.
- (h) Day. Unless otherwise indicated, the word "day" means calendar day. The phrase "business day" refers to Monday through Friday, except for national holidays.
- (i) Deliverables. The documents, in any format (electronic or hard copy) requested by the Commission, including technical specifications, designs, drawings, plans, reports, forms, recommendations, analyses, and interpretations, the Design Architect is required, under this Agreement, to provide to the Commission.
- (i) Key Personnel. Those job titles and individuals identified in Schedule F.
- (k) Projects. Avondale/Irving Park Elementary; Belmont-Craigin Area Elementary; Boone Clinton Area Elementary; Bouche Replacement/Addition Elementary; Brighton Park I Area Elementary; Brighton Park II Elementary; Lee Pasteur Hurley Area Elementary; Northwest Area Elementary; Ogden Replacement Elementary; Powell Replacement Elementary; Southwest Area Middle School.
- (I) Services. Collectively, the duties, responsibilities and tasks that are necessary to allow the Design Architect to provide the Scope of Services required by the Commission under this Agreement.

- (m) Subconsultant or Subcontractor. Any person or entity hired or engaged by the Design Architect to provide any part of the Services required under the terms of this Agreement.
- (n) Task Order. A formal, written request by the Commission, signed by the Executive Director, for the Design Architect to provide specific Services under this Agreement.
- (o) User Agency. The governmental agency or agencies identified in the Background Information that requested the Commission to undertake the construction and/or improvement of the Project.

#### Section 2.02 Usage and Conventions

- (a) Captions and Headings. The captions and headings of the various sections of the Agreement are used solely for reference purposes and do not construe, nor will they be deemed or used to construe, interpret, limit, or extend the meaning or scope of any work, clause, paragraph, or provision of the Agreement.
- (b) The term "include," in all its forms, means "include, without limitation" unless stated otherwise.
- (c) Terms of one gender imply the other gender(s) unless the context clearly indicates otherwise. Use of the singular includes the plural and vice versa.

#### Article III. INCORPORATION OF DOCUMENTS

The following documents are incorporated in and made a part of the Agreement. By executing the Agreement, the Design Architect acknowledges that Design Architect is familiar with the contents of each of such documents and will comply fully with all applicable portions of them in performing the Services.

**Section 3.01** Policies Concerning MBE and WBE. The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

#### Article IV. ENGAGEMENT AND STANDARDS FOR PERFORMING SERVICES

**Section 4.01** Engagement. The Commission engages the Design Architect, and the Design Architect accepts the engagement, to provide the Services described in this Agreement, as those Services may be amended by an Amendment to the Agreement as provided below.

Section 4.02 Key Personnel. The Design Architect must not reassign or replace Key Personnel without the written consent of the Commission. The Commission may at any time in writing notify Design Architect that the Commission will no longer accept performance of Services under this Agreement by one or more Key Personnel listed in the Agreement in Schedule F. Upon that notice, the Design Architect must immediately suspend the Key Person or Key Persons from performing Services under this Agreement and must replace him or them with a person or persons with comparable professional credentials and experience. Such replacements are subject to approval by the Commission.

Section 4.03 Nondiscrimination. In performing under this Agreement the Design Architect will not discriminate against any worker, employee, applicant for employment, or any member of the public, because of race, color, creed, national origin, gender, age, or disability, or otherwise commit an unfair labor practice. The Design Architect certifies that he/she is familiar with, and will comply with, all applicable provisions of the Civil Rights Act of 1964, 28 U.S.C. § 1447, 42 U.S.C. §§ 1971, 1975a-1975d, 2000a to 2000h-6 (1992); the Age Discrimination in Employment Act of 1967, 29 U.S.C. §§ 623-634 (1992); the Americans with Disabilities Act of 1990, 29 U.S.C. § 706, 42 U.S.C. §§ 12101-12213, 47 U.S.C. §§ 152, 221, 225, 611 (1992); 41 C.F.R. § 60 (1992); 41 C.F.R. § 60 (1992); reprinted in 42 U.S.C. 2000(e) note, as amended by Executive Order No. 11,375 32 Fed. Reg. 14,303 (1967) and by Executive Order No. 12,086, 43 Fed. Reg. 46,501 (1978); the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); P.L. 101-336; 41 C.F.R. part 60 et seq. (1990); the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq. (1990), as amended; the Discrimination in Public Contracts Act, 775 ILCS 10/0.01 et seq. (1990), as amended; the

Environmental Barriers Act., 410 ILCS 25/1 et seq; and the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended. The Design Architect will further furnish such reports and information as may be requested by the Commission, the Illinois Department of Human Relations, or any other administrative or governmental entity overseeing the enforcement, or administration of, or compliance with, the above mentioned laws and regulations.

Salaries of employees of the Employment Procedures: Preferences and Compliance. Design Architect, performing work under this Agreement, will be paid unconditionally, and not less often than once a month, without deduction or rebate on any account except such payroll deductions as are mandatory or permitted by applicable law or regulations. The Design Architect certifies that he/she is familiar with, and will comply with, all applicable provisions of 820 ILCS 130/0.01 through 130/12 (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Design Architect will also comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of 1986, 41 U.S.C. §§ 51-58 (1992); 18 U.S.C. § 874 (1992); 40 U.S.C. § 276c (1986) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, any direct or indirect "kick-back" is made, as defined in any of the above mentioned laws and regulations, the Commission may withhold from the Design Architect, out of payments due to the Design Architect, an amount sufficient to pay any underpaid employees the difference between the salaries required to be paid under the law and this Agreement and the salaries actually paid such employees for the total number of hours worked. The amounts withheld may be disbursed by the Commission for and on account of the Design Architect to the respective employees to whom they are due, as determined by the Commission in its sole discretion.

Section 4.05 Compliance with Policies Concerning MBE and WBE. Without limiting the generality of the requirements of the policies of the Commission referred to in Section 3.01 above, the Design Architect will use every reasonable effort to utilize minority business enterprises for not less than 25% and women business enterprises for not less than 5% of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, concerning participation of minority business enterprises and women business enterprises on contracts, other than construction contracts, awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.

Section 4.06 Records. The Design Architect must maintain accurate and complete records of expenditures, costs and time incurred by the Design Architect and by consultants engaged by the Design Architect in connection with the Project and the Services. Such records will be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at the Design Architect's offices upon reasonable notice during normal business hours. The Design Architect must retain all such records for a period of not less than five calendar years after the termination of the Agreement. However, if there is a disagreement over fees, then five years or until a final resolution of the matter - whichever occurs later.

Section 4.07 <u>Compliance with Laws</u>. In performing its engagement under the Agreement, the Design Architect must comply with all applicable federal, state and local laws, rules, and regulations.

#### Section 4.08 Performance Standard.

- (a) The Design Architect represents that the Services performed under the Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing design professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. The Design Architect further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Design Architect to perform the Services in the manner required by the Agreement.
- (b) The Design Architect must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. The Design Architect must maintain current copies

of any such licenses and provide these copies upon request by the Commission. The Design Architect remains responsible for the professional and technical accuracy of all Services furnished, whether by the Design Architect or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.

- (c) The Design Architect must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- (d) If the Design Architect fails to comply with the obligations under the standards of the Agreement, the Design Architect must perform again at its own expense, all Services required to be reperformed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve the Design Architect of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Design Architect either under the Agreement, at law or equity.
- (e) Evaluations of the Commission's budget for the Projects, the preliminary estimate of the Cost of the Work and updated estimates of the Cost of the Work prepared by the Design Architect represent the Design Architect's judgment as a design professional familiar with the construction industry. It is recognized, however, that neither the Design Architect nor the Commission has control over the cost of labor, materials or equipment, over the Contractor's methods of determining bid prices, or over competitive bidding, market or negotiating conditions.

Section 4.09 Amendments to this Agreement. The Commission may from time to time request changes to the terms and Services of the Agreement. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and the Design Architect, will be incorporated in a written amendment to the Agreement. The Commission will not be liable for any additional payment absent such written amendment.

#### Article V. TERM

**Section 5.01** <u>Duration</u>. The term of the Agreement begins on the Commencement Date of Services specified in Schedule A, and subject to the provisions in this section, expires upon completion of the Services and acceptance of the Deliverables by the Commission.

Section 5.02 Termination by the Commission. The Commission has the right, at any time, to terminate this Agreement in whole or in part, with or without cause, by written notice given to the Design Architect at least 30 days before the effective date of termination. So long as the Design Architect is not in default under this Agreement at the time of termination, the Commission will pay the Design Architect, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Design Architect for periods up to the effective date of termination. The Commission may exercise any right of set off regarding the Design Architect's failure to properly perform Services from payments that are due to the Design Architect.

Section 5.03 Suspension by the Commission. The Commission also has the right, at any time and from time to time, with or without cause, to suspend the performance of the Design Architect hereunder with respect to all or any part of the Services, by written notice given to the Design Architect at least 5 days before the effective date of suspension. During the notice period the Design Architect must wind down its Services. So long as the Design Architect is not in default under this Agreement at the time of suspension, the Commission will pay the Design Architect, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Design Architect for periods up to the effective date of suspension

(a) During the period the Design Architect's performance is suspended, the Design Architect is not entitled to incur fees or bill the Commission, except for the Design Architect's time for participating in substantive meetings concerning the Projects (but not for meetings to discuss the Design

Architect's invoices or claims). The Design Architect may bill such time spent during a suspension only if the Design Architect's participation is requested by the Commission and only for the time of one individual per meeting. Commission will pay for such time at the applicable hourly billing rate set forth in Schedule D. Participation in meetings at the request of the Commission is not considered to be resumption of the Design Architect's Services.

(b) If the Design Architect is required to resume its Services under this Agreement, the Commission will notify the Design Architect in writing, giving the Design Architect a reasonable period not to exceed 10 days to remobilize itself. The Design Architect may bill such time spent on remobilization. The Commission will pay for such remobilization as is reasonable and billed at the hourly rate for one Senior Project Manager or less at the hourly billing rate set forth in Schedule D. The number of days during which the suspension period lasted, including any remobilization time, will be added to the Completion Date of Services as determined in accordance with the provisions of Schedule C, establishing a revised Completion Date of Services, and the Design Architect will recommence its Services at the point they were suspended and may resume billing in accordance with the terms of the Agreement.

**Section 5.04** Effect of Termination or Suspension. Termination or suspension of this Agreement in whole or in part does not relieve the Design Architect from liability for its performance of any obligation under this Agreement that was performed or was to have been performed by the Design Architect on or before the effective date of termination or suspension. In no event will the Commission be liable to the Architect for any loss, cost or damage, including lost profits, which the Design Architect or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided in it.

Section 5.05 Force Majeure. Neither of the parties will be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform will give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Design Architect under the Agreement for the duration of the force majeure. The Commission will not be obligated to pay for the Services to the extent and for the duration that performance of the Services is delayed or prevented by force majeure, but, provided the Design Architect is not in default of any obligation of the Design Architect under the Agreement, the Commission will pay to the Design Architect, according to the terms of the Agreement, all compensation and reimbursements due to the Design Architect for periods up to the effective date of suspension. The term "force majeure" means an extraordinary event or effect that the parties could not have anticipated or controlled and that renders performance impossible or impracticable for the duration of the event or effect. Such events or effects include but are not limited to: extraordinary acts of nature, such as tornadoes; or of people, such as acts of terrorism; or of governments, such as imposition of martial law.

#### Article VI. COMPENSATION OF DESIGN ARCHITECT; REIMBURSEMENT FOR EXPENSES

The Commission will compensate the Design Architect for the Services in the amount and manner set forth on Schedule D.

#### Article VII. RIGHTS AND OBLIGATIONS OF COMMISSION

**Section 7.01** General and Specific. In connection with the administration of the Projects by the Commission and the performance of the Agreement by the Design Architect, the Commission has the following rights and obligations, in addition to those provided elsewhere in the Agreement:

- (a) Information. The Commission will provide the Design Architect all information reasonably required concerning the Commission's requirements for the Project and the Services.
- (b) Review of Documents. Subject to the provisions of the Agreement, the Commission will make reasonable efforts to examine documents submitted by the Design Architect and render decisions pertaining to them with reasonable promptness.

- (c) Site Data. To the extent the Commission determines to be necessary for the Design Architect to perform the Services, the Commission may furnish, or may authorize the Design Architect to obtain from a company or companies approved by the Commission as Reimbursable Expenses:
  - (i) A certified survey of the site or sites providing, as required, all grades and lines of streets, alleys, pavements and adjoining property, rights-of-way, encroachments, boundaries and contours of the building site.
  - (ii) A certified title.
  - (iii) Information concerning locations, dimensions and data pertaining to existing buildings and other improvements
  - (iv) Title information as to restrictions, easements, zoning and deed restrictions.
  - (v) Information concerning availability of both public and service and utility lines. See Schedule A for more details.
  - (vi) If the Design Architect does procure these or any other services at the request of the Commission, the Design Architect shall not be liable for the substantive accuracy or completeness of such services, nor shall the Design Architect be vicariously liable for the procured services.
- (d) Tests and Reports. To the extent required for the Design Architect to perform the Services, the Commission may furnish structural, civil, chemical, mechanical, results of test borings and pits for determining soil and subsoil conditions and/or other tests and reports or may authorize the Design Architect to procure such tests and reports from a consultant or consultants approved in writing by the Commission. The Commission will pay for such tests and reports, however, the Commission may direct the Design Architect to procure such professional services as Reimbursable Expenses and submit invoices to the Commission for payment as provided in Schedule D.

**Section 7.02** Audits. The Commission has the right to abstract and audit the books of the Design Architect and its subcontractors on all subjects relating to the Projects and/or the Services.

**Section 7.03** Ownership of Documents. All designs, drawings, documents, data, studies and reports prepared by the Design Architect or any party engaged by the Design Architect, pertaining to the Project and/or the Services will be the property of the Commission. Architect shall provide the Commission with opportunity to review all such documents and shall provide copies to the Commission upon written request. The Design Architect may reuse standard details and specifications on other projects.

- (a) The parties intend that, to the extent permitted by law, the drawings, specifications and other design documents to be produced by the Design Architect and its subcontractors pursuant to this Agreement (the "Work") will conclusively be deemed "works made for hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. § 101 et seq., and that the Commission, the User Agency and their successors and assigns, will be the copyright owner of all aspects, elements and components of them in which copyrights can subsist. To the extent that any of the foregoing does not qualify as a "work made for hire," the Design Architect hereby irrevocably grants, conveys, bargains, sells, assigns, transfers and delivers to the Commission, the User Agency and their successors and assigns, all right, title, and interest in and to the copyrights and all U.S. and foreign copyright registrations, copyright applications and copyright renewals for them, and all other intangible, intellectual property embodied in or pertaining to the Work contracted for under the Agreement, free and clear of any liens, claims or other encumbrances, to the fullest extent permitted by law.
- (b) The Design Architect will execute all documents and, at the expense of the Commission, perform all acts that the Commission may reasonably request in order to assist the Commission, the User Agency and their successors and assigns, in perfecting their rights in and to the copyrights relating to the Work.

- (c) The Design Architect represents to the Commission, the User Agency and their successors and assigns, that (1) the Work constitutes a work of authorship; (2) on the date of this Agreement the Design Architect is the lawful owner of good and marketable title in and to the copyrights for the Work (including the copyrights on designs and plans relating to the Work); (3) the Design Architect has the legal right to fully assign any such copyright with respect to the Work; (4) the Design Architect has not assigned any copyrights nor granted any licenses, exclusive or non-exclusive, to any other party; and (5) the Design Architect is not a party to any other agreement or subject to any other restrictions with respect to the Work.
- (d) In addition, the Design Architect represents that the plans and designs for the Work will, upon completion of the Services be complete, entire and comprehensive in accordance with the typical practices and performance standard of this Agreement. The Design Architect will provide the Commission the final plans and specifications for the project in an editable, electronic form. Further, the Design Architect will not restrict or otherwise interfere with the Commission's and/or the User Agency's future actions in authorizing the use, adaptation, revision, or modification or destruction of the Work provided that the Design Architect is indemnified by the Commission for any damages resulting from any such future re-use or adaptation of the Work by having the Executive Director and Design Architect execute an Electronic File Transfer Agreement in the form attached to this Agreement as Exhibit C.

#### **Article VIII. INDEMNIFICATION**

Section 8.01 <u>Indemnification</u>. The Design Architect must indemnify, defend, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, officials and employees, from and against all claims, demands, suits, losses, costs and expenses, including the fees and expenses of attorneys, (including court costs and expert's fees) that may arise out of or be based on any injury to persons or property that is, or is claimed to be, the result of the Design Architect's negligent performance or non-performance of the agreement or of any error or omission or negligent or willfully wrongful act of the Design Architect, or and any person employed by the Design Architect, or and any Subcontractor retained by the Design Architect in connection with this Projects.

#### Article IX. INSURANCE MAINTAINED BY THE DESIGN ARCHITECT

The Design Architect will purchase and maintain at all times during the performance of Services, for the benefit of the Commission, the User Agency and the Design Architect, insurance coverage which will insure the Commission, the User Agency and the Design Architect against claims and liabilities which could arise out of the performance of such Services, including the insurance coverages set forth in Schedule E to this Agreement.

#### Article X. DEFAULT

Section 10.01 Events of Default. The Design Architect shall have a ten-day period to cure following written notice from the Executive Director for the events of default that follow. Each of the following occurrences constitutes an Event of Default by the Design Architect under the Agreement:

- (a) Failure or refusal on the part of the Design Architect to duly observe or perform any obligation or agreement on the part of the Design Architect contained in the Agreement, which failure or refusal continues for a period of 10 days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such 10-day period) after the date on which written notice of it has been given to the Design Architect by the Commission;
- (b) Any representation or warranty of the Design Architect set forth in this Agreement or otherwise delivered pursuant to the Agreement will have been false in any material respect when so made or furnished:
- (c) The Design Architect becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to

pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals will take any action in furtherance of any of the foregoing;

- (d) Any proceeding is commenced against the Design Architect seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within 60 days following commencement of the proceeding, or appointment of, without the Design Architect's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Design Architect's assets and properties, and such appointment will not have been vacated, stayed, discharged, bonded or otherwise dismissed within 60 days of the appointment.
- (e) The Design Architect's material failure to perform any of its obligations under the Agreement, including any of the following:
  - (i) Failure due to a reason or circumstance within the Design Architect's reasonable control to perform the Services with sufficient personnel, and equipment or with sufficient material to ensure the performance of the Services according to Schedule C in this Agreement;
  - (ii) Failure to properly perform the Services or inability to perform the Services as a result of insolvency, filling for bankruptcy or assignment for the benefit of creditors;
  - (iii) Failure to promptly re-perform within a reasonable time the Services that were rejected as erroneous or unsatisfactory per the Terms of this Agreement;
  - (iv) Discontinuance of the Services for reasons within the Design Architect's reasonable control; or
  - (v) Failure to comply with a material term of the Agreement, including the provisions concerning insurance and nondiscrimination.
- (f) Any change in ownership or control of the Design Architect (as defined in Article XIII) without prior written approval of the Executive Director which approval the Executive Director will not unreasonably withhold.
- (g) The Design Architect's default under any other agreement it presently may have or may enter into with the Commission, the User Agency, the City of Chicago, the Chicago Public Schools or the Chicago Park District. Design Architect acknowledges that in event of a default under this Agreement, the Commission may also declare a default under any such other agreements.

Section 10.02 If an Event of Default occurs and continues, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and has, in particular, without limiting the generality of the foregoing, the right to terminate the Agreement upon written notice to the Design Architect, in which event the Commission has no further obligations hereunder or liability to the Design Architect except as to payment for Services actually received and accepted by the Commission through the effective date of termination, subject to set off of any claims of the Commission against the Design Architect for failure to properly perform its services. No courses of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right will operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies. The Commissioner's decision to terminate the Agreement is not subject to claim or dispute under Article XI.

Section 10.03 Remedies Not Exclusive. No right or remedy in the Agreement conferred upon or reserved to the Commission is exclusive of any right or remedy provided or permitted under this Agreement or by law or equity, but each is cumulative of every other right or remedy given in the Agreement or now or hereafter

existing at law or in equity or by statute or otherwise, and may be enforced concurrently or from time to time.

#### Article XI. CLAIMS AND DISPUTES

**Section 11.01** General. All Claims arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including questions concerning allowability of compensation, and all claims for alleged breach of contract will first be presented to the Authorized Commission Representative. The Design Architect will present all disputes which can not be resolved, by discussion with the Authorized Commission Representative, to the Executive Director for final determination, subject to Section 11.04 below.

Section 11.02 <u>Claim Procedure</u>. The Design Architect will make all requests for determination of claims in writing, specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the position of the Design Architect; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identification of any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. The Authorized Commission Representative will have 30 business days to respond in writing to the Claim by supplementing the submission or providing its own submission. The Authorized Commission Representative will attempt to negotiate a resolution of the claim by agreement, but if a negotiated resolution is not achieved, the Authorized Commission Representative must provide a written ruling within 60 days of receipt of the Claim. However, if the Design Architect agrees in writing, an extension not to exceed sixty (60) days may be granted by the Executive Director. The Dispute must be filed within thirty (30) days of the receipt of the ruling by the Authorized Commission Representative.

Section 11.03 <u>Dispute Procedure</u>. In the event that the Authorized Commission Representative and Design Architect can not resolve the Claim, the Design Architect may file a Dispute to the Executive Director. The Dispute submission must be in writing and contain the information required in Section 11.02 above and be copied to the Authorized Commission Representative. The Authorized Commission Representative shall file a response within thirty (30) days.

Section 11.04 Executive Director's Determination. The Executive Director's final decision will be rendered in writing no more than 45 business days after receipt of the response by the Commission Representative was filed or was due unless the Executive Director notifies the Design Architect that additional time for the decision is necessary. The Design Architect must follow the procedures set out in this Section to receive the Executive Director's final decision. In the event the Design Architect disagrees with the Executive Director's final decision, the Design Architect may file, a common law writ of certiorai in the Circuit Court of Cook County which shall be the sole and exclusive judicial remedy of the Design Architect. However, the Design Architect must have followed the procedures in this section as a condition precedent to filing a common law writ of certiorai. The Design Architect shall not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period.

Section 11.05 Design Architect Self-Help Prohibited. The Design Architect must never withhold performance of its Services by, for example, refusing to review and approve appropriately submitted invoices or pay applications, timely to make recommendations on general contractor claims, or promptly to issue other appropriate approvals needed by others where doing so would potentially harm third parties, such as subconsultants, the general contractor, or its subcontractors. Doing so to gain potential leverage in negotiating or settling the Design Architect's claims against the Commission or User Agency will constitute bad faith on the Design Architect's part. This provision is not intended to prohibit the Design Architect from exercising its well-considered professional judgment in carrying out its duties and responsibilities under the Agreement.

#### **Article XII. CONFIDENTIALITY**

All of the reports, information, or data prepared or assembled by the Design Architect under the Agreement are confidential, and except as may be necessary to perform its services the Design Architect must not make such reports, information or data must available to any party without the prior written approval of the Commission. In addition, the Design Architect must not, without the prior written consent of the

Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning the Agreement, the Projects or the Services. If the Design Architect is served with a subpoena requiring the production of documents or information which is deemed confidential, the Design Architect will immediately notify the Commission in writing and provide a copy of the subpoena to the Commission in sufficient time for the Commission to attempt to quash, or take other action in relation to, the subpoena.

#### **Article XIII. ASSIGNMENT**

The Architect acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Design Architect and, therefore, that neither the Agreement nor any right or obligation in the Agreement may be assigned by the Design Architect, in whole or in part, without the prior written approval of the Commission. For purposes of this paragraph, if the Design Architect undergoes a change in control, the change in control is deemed an assignment of the Agreement; a change in control is defined as a transfer of more than 50% of the equity ownership of the Architect during any 12-month period. In the event of an assignment by the Design Architect without the prior written approval of the Commission, the Commission will have the right to immediately terminate the Agreement without fault or responsibility. The Design Architect further acknowledges that the Design Architect represented to the Commission the availability of certain members of the Design Architect's staff who will be assigned to Project; therefore, in the event of the unavailability of such members for any reason, the Design Architect must so notify the Commission in writing, and must assign other qualified members of the Design Architect's staff, as approved by the Commission, to the Project.

### **Article XIV. RELATIONSHIP OF PARTIES**

Under the Agreement, the relationship of the Design Architect to the Commission is that of an independent contractor, and the Design Architect will have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.

#### **Article XV. GENERAL**

Section 15.01 Design Architect's Authority. The Design Architect represents that its execution of the Agreement is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Design Architect have been made with complete and full authority to commit the Design Architect to all terms and conditions of the Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

Section 15.02 Counterparts. The Agreement may be executed in any number of counterparts, any of which will be deemed an original.

Section 15.03 Entire Agreement. The Agreement constitutes the entire understanding and agreement between the parties to this Agreement and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged in this Agreement. The Agreement must not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties.

**Section 15.04** Governing Law. The Agreement has been negotiated and executed in the State of Illinois and will be construed under and in accordance with the laws of the State of Illinois.

**Section 15.05** No Waiver. The waiver by either party of any breach of the Agreement will not constitute a waiver as to any succeeding breach.

Section 15.06 Notices. All notices required to be given under this Agreement must be given in writing and must be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to

the Commission or to the Design Architect at their respective addresses set forth above, as appropriate. If given as provided in this Agreement, such notice is deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Design Architect may, from time to time, change the address to which notices will be sent by giving notice to the other party in the manner provided in this subparagraph.

**Section 15.07** <u>Non-liability of Public Officials</u>. No Commission Board member, employee, agent, officer, or official is personally liable to the Design Architect or its subcontractors, and the Design Architect and its subcontractors are not entitled to, and must not attempt to, charge any of them with liability or expense or hold them personally liable to the Design Architect or its subcontractors under this Agreement.

Section 15.08 Severability. If any provision of the Agreement is held to be invalid or unenforceable by a court of competent jurisdiction, such provision will be severed from the Agreement and such invalidity or unenforceability will not affect any other provision of the Agreement, the balance of which will remain in full force and effect; provided, however, that if such provision is deemed invalid or unenforceable as a matter of law, such provision will be deemed to have been modified so as to be valid and enforceable to the maximum extent permitted by law.

**Section 15.09** <u>Successors and Assigns</u>. Except as otherwise provided in the Agreement, the Agreement is binding upon and inures to the benefit of each of the parties to the Agreement and their respective successors and assigns.

Section 15.10 Non-appropriation of Funds. If funds have not been appropriated in full or in part, the Commission has the right to terminate the Agreement. The Commission will not authorize the Design Architect to provide services under this Agreement unless sufficient funds are appropriated to pay for the services.

# SCHEDULE A SCOPE OF SERVICES

Design Architect for CPS Elementary Schools
CHICAGO, ILLINOIS

**Commencement Date of Services:** 

May 8, 2007

**Completion Date of Services:** 

December 31, 2010

Scope of Services continues on the next page.

The Design Architect under this Agreement will provide Services as required to assist in the planning phase of various new Projects.

The Design Architect will, on a "Task Order" basis:

- Develop or improve upon an already existing prototypical design for elementary schools, refine existing design standards and specifications and develop new standards and specifications as required on Projects where other firms are selected to serve as Architect of Record:
- Provide oversight and review for compliance with prototypical design details and specifications through standardized review submissions at various milestones;
- Perform 'test fits' of the prototypical design on various sites for consideration of future projects;
- Institute a 'lessons learned' process documenting best practices and design improvements for integration into subsequent projects; and
- Participate in the PBC design committee.

Design Architect must perform any or all of the ordered and required Services in a satisfactory manner consistent with the standard of performance stated in Section 4.08 of the Agreement. Such Services will be determined on an as-needed basis and as described in a Task Order Services Request. Design Architect will be responsible for the professional and technical accuracy and completeness of all planning studies, plans, designs, drawings, specifications, calculations, cost estimates and all other work or materials furnished.

#### **Task Orders**

All Services must be authorized by a written Task Order. Design Architect acknowledges and agrees that the Commission is under no obligation to issue any Task Orders for Services.

The Commission may issue a Task Order Request specifically referencing this Agreement, identifying the project, and setting forth the Services to be performed pursuant to the proposed Task Order and a desired completion date.

Design Architect must respond by proposing a time schedule, budget, deliverables and a list of key personnel, all of which must conform to the terms of the Task Order Request and the terms and conditions of this Agreement.

Costs associated with the preparation of Task Orders are not compensable under this Agreement and the Commission is not liable for any additional costs.

Upon acceptance of Design Architect's response to the Task Order Services Request (subject to negotiation of terms and conditions by the Commission and the Design Architect in conformity with the terms of this Agreement), the Commission may, by written Task Order signed by the Executive Director, direct the Design Architect to perform the Task Order Services.

Design Architect must not commence Services under the Task Order until the written approval of the Executive Director has been obtained, and the Commission is not liable for any cost incurred by the Design Architect without such approval.

#### Typical Task Orders are outlined as follows:

I. Peer Review, Standards Development and Lessons Learned Documentation

On projects where other firms are appointed to serve as Architect of Record (AOR), the Design Architect may provide the following Services:

- A. At regular milestones in project development (specifically Schematic Design, Design Development, 60% CDs) the Architect of Record will issue review documents. The Design Architect will review and comment on these documents for adherence with established standards and practices.
- B. The Design Architect will maintain a "Lessons Learned" log which illustrates salient issues and resolutions reached during project development by the Design Architect and various AORs.

The Lessons Learned Log will also document best practices and design improvements for integration into the prototype designs.

C. The Design Architect will assist the Authorized Commission Representative in obtaining client review and approval of standard design elements and configurations (eg. Libraries, Science, Food Service, etc.)

#### II. Test Fits

On Projects where options for land acquisition are being explored or different program options are being investigated, the Design Architect may provide the following Services:

- A. The Design Architect will determine and confirm available site documentation information. If no survey is available, the Design Architect will obtain Sidwell and 80 Acre maps of the subject property in order to depict the subject property with appropriate site dimensions, public rights of way and site characteristics.
- B. The Design Architect will consult with the Authorized Commission Representative to determine the desired prototype(s) for implementation as well as any required programmatic additions or alterations. The Design Architect will depict these requirements for review by the Authorized Commission Representative.
- C. The Design Architect will prepare documentation as requested by the Authorized Commission Representative which depicts building program, square footage, area of site development, site development features and any amendments to the public right of way.
- D. The Design Architect will assist the Authorized Commission Representative in obtaining client review and approval of test fits and site development options.

#### III. Conceptual Design

During the Conceptual Design phase, the Design Architect for Elementary Schools may provide the following Services:

- A. Consultation with the Commission, the User Agency and others, as appropriate, regarding the goals and requirements of the Project, as well as required regulatory and client reviews.
- B. Analysis of the requirements of the Project, including confirmation of the conditions of the site and the survey, and consultation with the Commission to establish the design, and the functionality and financial feasibility of the Project.
- C. Preparation of documents necessary to illustrate any required amendments to the public right of way.
- D. Preparation and presentation of Conceptual Design options for the Project for review by the Commission and the User Agency. Preparation of conceptual drawings and design studies (including materials) based upon analysis of Project requirements. Preparation of a general description of the scope of the Project, a preliminary estimate of probable construction costs.
- E. Preparation of plans, elevations, sections, outline specifications and narratives, as required, to describe the architectural, structural, mechanical, plumbing, fire protection and electrical aspects of the selected design option for preparation of the preliminary estimate of probable construction cost.
- F. At the completion of Conceptual Design Services, transmit multiple hard copies at the direction of the Authorized Commission Representative and editable electronic version of the final documents to the Authorized Commission Representative for review and transmittal to the User Agency. Prepare a written and oral report of the Conceptual Design phase for presentation to the User Agency. The presentation is to be made as directed in writing by the Authorized Commission Representative.
- G. Prepare and issue hard copies of the Conceptual Design Drawings, Outline Specifications and Narratives, as required, to the Authorized Commission Representative for the Conceptual Design Milestone Review.

# SCHEDULE B PROJECT DOCUMENTS

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# SCHEDULE C PROJECT SCHEDULE

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#### SCHEDULE D - COMPENSATION

Design Architect for CPS Elementary Schools

#### I. FEE

- A. The maximum compensation paid to the Design Architect under this Agreement is not-to-exceed \$250,000.00. The Design Architect will not be entitled to compensation above this amount without a written amendment.
- B. The Design Architect's Fee will include consultant's profit, overhead, general conditions, and all items not specifically identified as Reimbursable Expenses.

#### II. BILLING RATES AND COMPENSATION FOR SERVICES

A. The Commission shall compensate the Design Architect on a Time Card basis in accordance with this Agreement. For Time Card billings, rates of reimbursement for the Design Architect's employees (and employees of any Subconsultant performing services) will be the actual base salaries paid to the specific employee performing the services times a 2.8 multiplier.

The 2.8 multiplier will fully compensate the Design Architect for all direct and indirect costs associated with the Services. Indirect costs included in the multiplier shall constitute full and complete compensation to the Design Architect for labor burden costs (including Workers' Compensation insurance, FICA, SUTA, health benefits, long term disability benefits, pensions and similar contribution and other statutory and non-statutory employee benefits), indirect administrative expenses, general and administrative expenses, overhead, additional premium costs for insurance (including but not limited to general liability, professional liability, valuable papers and automobile, but excluding additional insurance premium costs for specialty subconsultants and subcontractors), computer and related charges, postage and handling charges, parking and mileage charges, telephone service (including local calling charges), profit, and all items not specifically identified below as "Reimbursable Expenses."

#### III. REIMBURSABLE EXPENSES

A. "Reimbursable Expenses" as referred to in this Agreement, are actual expenditures at cost without mark-up or surcharge, incurred by the Design Architect, and required for the Services. Reimbursable Expenses must be supported with proper documentation in the form of itemized invoices which include a notation stating the Project-related purpose of the expenditure.

The following will be considered Reimbursable Expenses:

- Plotting, printing, reproduction and distribution of drawings specifications, and presentation materials requested by the Commission, or required for scheduled reviews of the progress of the work by the Commission and/or the User Agency, public or city agency meetings and hearings, and as required for professional peer reviews of documents as directed by the Commission. One coordination set will be provided to each consultant at the conclusion of schematic, design development and construction document phases.
- Printing and distribution costs associated with shop drawing and submittal reviews during construction.
- 3. Distribution of drawing, specification, and presentation material requested by the Commission, or required for scheduled review of the progress of the work by the Commission and/or the User Agency, public or city agency meetings and hearings, and as required for professional peer reviews of documents as directed by the Commission.

The following are NOT Reimbursable Expenses:

- 1. Plotting, printing and distribution of drawings and specifications for the purpose of coordination between members of the Design Architect's team, or otherwise incidental to the Design Architect's Services are not Reimbursable Expenses.
- 2. Office and administrative expenses, including telephone system expenses, photocopying, duplicating costs, postage, office & drafting supplies, fax and delivery services (except as noted above in A. 1. and A. 2. are not Reimbursable Expenses.

- B. The following shall be Reimbursable Expenses provided that the Design Architect has obtained the prior written approval by the Authorized Commission Representative:
  - Expense of transportation and living of principals and employees traveling in connection with the Project, but not including travel and expense to and from the job site or within a 50-mile radius of downtown Chicago. Travel expenses include coach air fare, hotel and per diem costs, auto rental, fuel and insurance, and must be supported with proper documentation in the form of itemized invoices.
  - 2. Fees and costs of special consulting services requested by the Commission such as acoustical, theater, food service, masonry, roofing and elevator consultants will be paid as a reimbursable expense. Civil, structural, mechanical, electrical, plumbing and fire protection engineering services are included within the Fixed Fee.
  - 3. Costs for rental or purchase of special items or equipment requested by the Commission.
  - 4. Fees and costs to secure necessary permits or civil agency approvals, including permit fees and expenditure fees.
  - 5. Costs of surveys, geotechnical and environmental technical testing and reports.
  - 6. Other direct costs of the Project may be approved as a Reimbursable Expense by Commission's Authorized Representative provided that written approval is obtained in advance of incurring the expense and provided that the expense is to be reimbursed on a Lump Sum basis.
- C. Reimbursable Expenses shall not exceed \$50,000.00 except as approved by the Commission in the form of an Amendment issued in accordance with this Agreement.

#### V. METHOD OF PAYMENT

 Invoices. Once each month, the Design Architect will submit an invoice to the Commission for Services performed during the preceding month.

Each invoice must be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of the Agreement, the Architect must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain and the reasons for such variances.

- 2. Payment will be processed within 30 days after Commission receives an acceptable invoice from the Design Architect.
- 3. Invoice Disputes. If the Commission disputes certain items in the Design Architect's invoices, the amount not disputed will be paid in full. The amount in question must be resolved in accordance with the Claim and Disputes provisions of this Agreement.

#### VI. INVOICING

The Design Architect will submit one original of its monthly invoice to the Authorized Commission Representative for approval.

#### SCHEDULE E - INSURANCE REQUIREMENTS

Design Architect for CPS Elementary Schools

The Design Architect must provide and maintain at the Design Architect's own expense, until expiration or termination of the Agreement and during the time period following expiration if the Design Architect is required to return and perform any additional work, the insurance coverage and requirements specified below, insuring all operations related to the Agreement.

#### E.1. INSURANCE TO BE PROVIDED

#### E.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident or illness.

#### E.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Commission and the Chicago Public Schools must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for the Design Architect must maintain limits of not less than \$1.000,000 per occurrence with the same terms herein.

#### E.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Design Architect must provide Automobile Liability Insurance, with limits of not less than \$2,000,000 per occurrence for bodily injury and property damage. The Commission and the Chicago Public Schools must be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for the Design Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

#### E.1.4. Professional Liability

When any Design Architect or Subcontractor, performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of 2 years.

Subcontractors performing work for the Design Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

#### E.1.5 Property

The Design Architect is responsible for all loss or damage to the Commission and/or the Chicago Public Schools property at full replacement cost. The Design Architect is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by the Design Architect only if the loss or damage to such property was caused by the Design Architect's own negligence.

#### E.1.6 Valuable Papers

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this Agreement, Valuable Papers Insurance will be maintained in an amount to insure against any loss whatsoever, and will have limits sufficient to pay for the re-creation and reconstruction of such records.

#### E.2. ADDITIONAL REQUIREMENTS

The Design Architect must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance policy has an expiration or renewal date occurring during the term of this Agreement. The Design Architect must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Design Architect is not a waiver by the Commission of any requirements for the Design Architect to obtain and maintain the specified insurance. The Design Architect will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve the Design Architect of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Design Architect and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if coverage is substantially changed, canceled, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance coverage must be borne by the Design Architect.

The Design Architect agrees that insurers waive their rights of subrogation against the Commission and the Chicago Public Schools and their respective Board members, employees, elected officials, agents, or representatives.

If the Design Architect is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured.

The coverage and limits furnished by Architect in no way limit the Design Architect's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission and the Chicago Public Schools do not contribute with insurance provided by the Design Architect under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

The Design Architect must require all its subcontractors to provide the insurance required in this Agreement, or the Design Architect may provide the coverage for its subcontractors. All its subcontractors are subject to the same insurance requirements of the Design Architect unless otherwise specified in this Agreement. The Design Architect may request to be named as an additional insured on the Project general contractor's Commercial General Liability policy provided that the Design Architect must be responsible for payment of any additional premium demonstrated by the Project's general contractor and the Design Architect is solely responsible for monitoring Project general contractor's compliance with the Design Architect's status as additional insured.

If the Design Architect or its subcontractors desires additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission maintains the rights to modify, delete, alter or change these requirements.

#### SCHEDULE F KEY PERSONNEL

# Design Architect for CPS Elementary Schools

## SMNG-A Architects, Ltd. (Architecture & Planning)

Project Director & Principal in Charge of Design Kenneth Schroeder

Project Architect Todd Niemiec

Project Designer Dror Ram

Project Designer Eunkyu Choi

## SCHEDULE G

OTHER CONDITIONS

Design Architect for CPS Elementary Schools

NONE

# EXHIBIT A DISCLOSURE OF RETAINED PARTIES Design Architect for CPS Elementary Schools

#### DISCLOSURE OF RETAINED PARTIES

#### A. <u>Definitions and Disclosure Requirements</u>

- 1. As used herein, "Contractor" means a person or entity who has any contract or lease with the Public Building Commission of Chicago ("Commission").
- 2. Commission contracts and/or qualification submittals must be accompanied by a disclosure statement providing certain information about attorneys, lobbyists, consultants, subcontractors, and other persons whom the Contractor has retained or expects to retain with respect to the contract or lease. In particular, the Contractor must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
- 3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

| В.     | <u>Certification</u> |   |                              |  |     |
|--------|----------------------|---|------------------------------|--|-----|
|        | Contractor hereb     | y certifies as follows:                             | a 0.                         | 10 , 1   | ^   |
| 1.     | This Disclosure      | relates to the following trans                      | saction: VHESTION            | ul Jervices A                                    | 401 |
|        | Description or go    | oods or services to be provide                      | led under Contract #:        |  | /   |
|        | Design s             | revices for e                                       |                              | hoor   |     |
| 2.     | Name of Contrac      | etor: Schrolder                                     | Murchie Men                  | nec Gazda-                                       |     |
| 3.     | EACH AND EV          | VERY attorney, lobbyist,                            | accountant, consultant, sub- | contractor, or other person                      |     |
|        | contract or lease    | is listed below. Attach add                         | litional pages if necessary. | or in connection with the NOTE: You must include |     |
|        | already provided     | t certified MBE/WBEs you that information elsewhere | in the contract documents.   | retaining, even it you have                      |     |
| Retain | ed Parties: Nov      | e at this fine                                      | e; dependent                 | on task oveler                                   | ૅક. |
|        | Name                 | Business Address                                    | / Relationship               | Fees (indicate whether                           |     |
|        |                      |   | (Attorney, Lobbyist,         | paid or estimated)                               |     |
|        |                      |   | Subcontractor, etc.)         |  |     |
|        |                      | ·   |                              |  |     |
|        |                      |   |                              |  |     |
|        |                      |   |                              |  |     |
|        |                      |   |                              |  |     |

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained:

#### DISCLOSURE OF RETAINED PARTIES

- 4. The Contractor understands and agrees as follows:
  - The information provided herein is a material inducement to the Commission execution a. of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Contractor's participation in the contract or other transactions with the Commission.
  - If the Contractor is uncertain whether a disclosure is required under the Contractor must b. either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
  - This Disclosure of Retained Parties form, some or all of the information provided herein, c. and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Contractor waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Contractor and that the information disclosed herein is true and complete.

President

Subscribed and sworn to before me

OFFICIAL SEAL MARGARET ANN TE蝸Pi

# EXHIBIT B DISCLOSURE AFFIDAVIT

Design Architect for CPS Elementary Schools

| Date: | 12. | 19. | 200 | 7 |
|-------|-----|-----|-----|---|
| _     |     |     |     | , |

# DISCLOSURE AFFIDAVIT

| Name: Schroeder Mi  | rchie Vienie                                    | C Garda-   | Auskalnis A                                       | whiteets, I    |
|---|---|--|---|----------------|
| Address: 936 W. Hu  | von Street                                      |  | : `   | _              |
| Telephone No.: 312.87   |   |  |   |                |
| Federal Employer I.D. #.: 4   | -34-11-903soci                                  | al Security #:   |   |                |
| Nature of Transaction:  |   |  | ·.  |                |
| Sale or purchase of land Construction Contract Services Agreement Other   |   |  |   |                |
| Instructions: FOR USE We proposing one of the above tracomplete this Disclosure Affid the joint venture and each of Affidavit.  The undersigned | avit. Please note that in the joint venture par | blic Building Con<br>in the event the Co<br>tners must submi   | nmission of Chicago<br>entractor is a joint ve    | nture,         |
| nnd on behalf of Contra   | :)  | (Title)  |   | <del>-</del> · |
| "Bidder/ Proposer" or "Contra   | ctor") having been dul                          | y sworn under oat  | h certifies that:                                 |                |
| I. DISCLOSURE OF OWN  | ERSHIP INTEREST                                 | S  | 1   |                |
| Pursuant to Resolution No.<br>Commission of Chicago, all l<br>heir bid/proposal. If the qu<br>please answer "none".                             | bidders/proposers sha                           | ll provide the fol   | llowing information                               | with           |
| Bidder/Proposer is a:   | Corporation [ ] Partnership [ ] Joint Venture   |  | e Proprietorship<br>t-for-Profit Corporati<br>ner | ion            |
|   |   | the second secon |   |                |

| SECTION 1. FOR PROFIT CORPO  | RATION   |  |
|--|--|--|
| a. State of Incorporation  |  |  |
| b. Authorized to do business in the St   | ate of Illinois: Yes 💢 No []   |  |
| c. Names of all officers of corporati (or attach list):  | on Names of all direc  | tors of corporation  |
| Name (Print or Type) Title (Print of   | r Type) Name (Print or Type)   | Title (Print or Type)  |
| Ken Schroeder Secreta  | ry   | 7 -31-446-   |
| Todd Niemiec   | <u> </u>   |  |
| Name (Print or Type)  Land Murchie 910  Land Microsche 1734  Land Micros | Address  Add | Ownership Interest  Oyo, V 76  Oyo, W 76  Oy |
| interest of each.  Name (Print or Type)  | Address  | Ownership  |
| - 1980   |  | Interest%  |
|  |  | %  |
|  |  |  |

f. Is the corporation owned partially or completely by one or more other corporations?

Yes [ ] No 1/2

If "yes" provide the above information, as applicable, for each such corporation.

# **SECTION 2. PARTNERSHIPS**

|         |  | Name of Partne  | ers (Print or Type                  | ). •  |            | Percentage Interes                    |
|---------|--|---|-------------------------------------|---|------------|---------------------------------------|
|         |  |   |                                     | · ·   | -          |                                       |
|         |  |   |                                     | <i>i</i>  | _          |                                       |
|         | ·  |   |                                     |   |            |                                       |
|         |  | 100 100 11  |                                     |   | -          | · · · · · · · · · · · · · · · · · · · |
|         |  |   | ·                                   |   |            |                                       |
| CTION   | 3. SOLE P                                      | ROPRIETOR   | SHIP                                |   |            |                                       |
| on beha | lf of any be                                   | er is a sole proper is a sole proper in a sole proper is |                                     | not acting in ar                                    | ny represe | entative capacity                     |
|         |  |   |                                     |   |            |                                       |
|         |  | orship is held b<br>nominee holds   | by an agent(s) or such interest.    | a nominee(s), in                                    | dicate the | principal(s) for                      |
|         |  | nominee holds   |                                     |   | dicate the | principal(s) for                      |
|         |  | nominee holds   | such interest.                      |   | dicate the | principal(s) for                      |
|         |  | nominee holds   | such interest.                      |   | dicate the | principal(s) for                      |
|         |  | nominee holds   | such interest.                      |   | dicate the | principal(s) for                      |
|         |  | nominee holds   | such interest.                      |   | dicate the | principal(s) for                      |
| Whom t  | terest of a s                                  | Name(s) of pouse or any of e name and add   | such interest.                      | Print or Type) tructively contro                    | lled by ar | nother person or                      |
| Whom t  | terest of a s                                  | Name(s) of pouse or any of e name and adder which such of   | such interest.  of Principal(s). (I | Print or Type)  tructively contro son or entity pos | lled by ar | nother person or                      |
| Whom t  | terest of a s<br>tity, state th<br>ionship und | Name(s) of pouse or any of e name and adder which such of   | such interest.  of Principal(s). (I | Print or Type)  tructively contro son or entity pos | lled by ar | nother person or                      |
| Whom t  | terest of a s<br>tity, state th<br>ionship und | Name(s) of pouse or any of e name and adder which such of   | such interest.  of Principal(s). (I | Print or Type)  tructively contro son or entity pos | lled by ar | nother person or                      |

## SECTION 4. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES

If the bidder/proposer is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

| Name(s                    | 3)                         | Address(es)                           |                       |  |
|---------------------------|----------------------------|---------------------------------------|-----------------------|--|
| · .                       | <u> </u>                   |                                       | 10 m                  |  |
|                           |                            |                                       |                       |  |
|                           | <del></del>                |                                       |                       |  |
| <del> </del>              |                            |                                       |                       |  |
|                           |                            |                                       |                       |  |
| SECTION 5. NOT-FO         | R-PROFIT CORPORAT          | IONS                                  |                       |  |
| a. State of incorporation | on                         |                                       | <u> </u>              |  |
| b. Name of all officers   | and directors of corporati | on (or attach list):                  |                       |  |
| Name (Print or Type)      | Title (Print or Type)      | Name (Print or Type)                  | Title (Print or Type) |  |
|                           | <del>4.</del>              | · · · · · · · · · · · · · · · · · · · |                       |  |
|                           |                            |                                       |                       |  |
|                           |                            |                                       |                       |  |
|                           |                            |                                       |                       |  |
|                           |                            |                                       |                       |  |
|                           |                            |                                       |                       |  |
|                           | ,                          |                                       |                       |  |

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

#### II. CONTRACTOR CERTIFICATION

#### A. CONTRACTOR

- 1. The Contractor, or any subcontractor to be used in the performance of this contract, or any affiliated entities of the Contractor or any such subcontractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such subcontractor or any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification or if a subcontractor or subcontractor's affiliated entity during a period of three years prior to the date of award of the subcontract:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
  - Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.<sup>3</sup>
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
- b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
- d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

#### **B. SUBCONTRACTOR**

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, certifications substantially in the form of Section 1 of this Disclosure Affidavit. Based on such certification(s) and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section  $\Pi(A)(1)(a)$  or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section  $\Pi(A)(5)$ . In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.

- 3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontractor if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontractor as required by this certification.

#### C. STATE TAX DELINQUENCIES

- 1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

| 3. | If the Contractor is unable to certify to any of the above statements [(Section II (C)], |
|----|--|
|    | the Contractor shall explain below. Attach additional pages if necessary.                |
|    |  |
|    |  |

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

#### D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

### E. ANTI-COLLUSION

The Contractor, its agents, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this proposal or contract. Failure to attest to this section as part of the bid will make the bid non-responsive and not eligible for award consideration.

### F. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

# G. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- 2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

# III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal

to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

# IV. CERTIFICATION OF COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purpose of this Section IV, "SUBSTANTIAL OWNER" means any person who owns or holds a ten percent (10%) or more percentage of interest in the Contractor. If the Contractor is an individual or sole proprietorship, substantial owner means that individual or sole proprietorship. Percentage of interest includes direct, indirect and beneficial interests in the Contractor. Indirect or beneficial interest means that an interest in the Contractor is held by a corporation, joint venture, trust, partnership, association, state or other legal entity in which the individual holds an interest or by agent(s) or nominee(s) on behalf of an individual or entity. For example, if Corporation B holds or owns a twenty percent (20%) interest in Contractor, and an individual or entity has a fifty percent (50%) or more percentage of interest in Corporation B, then such individual or entity indirectly has a ten (10%) or percentage of interest in the Contractor. In this case, the response to this Section IV, must cover such individual(s) or entity. If Corporation B is held by another entity, then this analysis similarly must be applied to that next entity.

If Contractor's response in this Section IV is 1 or 2, then all of the Contractor's Substantial Owners must remain in compliance with any such child support obligations (1) throughout the term of the contract and any extensions thereof; or (2) until the performance of the contract is completed, as applicable. Failure of Contractor's Substantial Owners to remain in compliance with their child support obligations in the manner set froth in either 1 or 2 constitutes an event of default.

# Check one: No Substantial Owner has been declared in arrearage on his or her child support obligations by the Circuit Court of Cook County or by another Illinois court of competent jurisdiction. The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on

| ·            |                            | their child support obligations. All such Substantial Owners, however, have entered court-approved agreements for the payment of all such child support owed, and all s Substantial Owners are in compliance with such agreements.   | into<br>uch          |
|--------------|----------------------------|--|----------------------|
|              | 3.                         | The Circuit Court of Cook County or another Illinois court of compe-<br>jurisdiction has issued an order declaring one or more Substantial Owners in arrearage<br>their child support obligations and: (1) at least one such Substantial Owner has<br>entered into a court-approved agreement for the payment of all such child support for<br>payment of all such child support owed; or both (1) and (2).  | on<br>not            |
|              | 4.                         | There are no Substantial Owners.   |                      |
| <b>v.</b>    | IN(                        | CORPORATION INTO CONTRACT AND COMPLIANCE   |                      |
| ;            | on p<br>Cor<br>ame<br>on b | e above certification shall become part of any contract awarded to the Contractor set for page 1 of this Disclosure Affidavit and are a material inducement to the Public Build mmission of Chicago's execution of the contract, contract modification or contract mediant with respect to which this Disclosure Affidavit is being executed and delive behalf of the Contractor. Furthermore, Contractor shall comply with these certificating the term and/or performance of the contract. | ling<br>ract<br>cred |
| VI.          | VE                         | RIFICATION   |                      |
| 1            | beh                        | der penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit alf of the Contractor set forth on page 1, that I have personal knowledge of all ifications made herein and that the same are true.  | on<br>the            |
| By:          |                            | AFFIX CORPORATE SEAL HERE (if any)   |                      |
| Nan          | ie: .                      | Kyck Murchle   |                      |
| Title        | e: _                       | President  |                      |
| Tele         | lph                        | one Number: 3/2, 819.3395 × W2   |                      |
| . *          |                            | ***************************************  |                      |
|              |                            |  |                      |
| Cou          | nty                        | of   |                      |
| State        | of                         | ILLINOIS   |                      |
|              |                            | bed and sworn to before me day of DEC , 2005 W07   |                      |
| Nota<br>(Not | \(\lambda\) ary l          | Public y seal)   | ٠.                   |
| •            |                            | OFFICIAL SEAL  |                      |

### Notes 1-5 Disclosure Affidavit

- Business entities are affiliated if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership; identify of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.
- 2. For purposes of Section II (A) (2) of this certification, a person commits the offense of and engages in bid-rigging when he knowingly agrees with any person who is, or but for such agreement should be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of state or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) provides such person or receives from another information concerning the price or other material term or terms of the bid which would otherwise not be disclosed to a competitor in an independent non-collusive submission of bids or (2) submits a bid that is of such a price or other material term or terms that he does not intend the bid to be accepted. see 720 ILCS 5/33-E-3.
- 3. No corporation shall be barred from contracting with any unit of state or local government as a result of a conviction, under either Section 33E-3 or Section 33E-4 of Article 33 of the State of Illinois Criminal Code of 1961, as amended, of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent on behalf of the corporation as provided in paragraph (2) of subsection (a) of Section 5-4 of the State of Illinois Criminal Code.
- 4. For purposes of Section II(A) of this certification, a person commits the offense of and engages in bid rotating when, pursuant to any collusive scheme or agreement with another, he engages in a pattern over time (which, for the purposes hereof, shall include at least three contract bids within a period of ten years, the most recent of which occurs after January 1, 1989) of submitting sealed bids to units of state or local government with the intent that the award of such bids rotates, or is distributed among, persons or business entities which submit bids on a substantial number of the same contracts. See 720 ILCS 5/33E-4.

"Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation, or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants including but not limited to (1) Section 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapter 7-28 or 11-4 of the Municipal Code of Chicago; (2) Comprehensive Environment Response and Compensation and Liability Act (42 U.S.C. § 9601 et seq.) the Hazardous Material Transportation Act (49 U.S.C. § 1801 et seq.); (4) the Resource Conversation and Recovery Act of 1976 (42 U.S.C. § 7401 et seq.); (5) the Clean Water Act (33 U.S.C. § 1251 et seq.); (6) the Clean Air Act (42 U.S.C. § 7401 et seq.); (7) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 et seq.); (8) the Safe Drinking Water Act (42 U.S.C. § 300f); (9) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 et seq.); (10) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 et seq.); and (10) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

# **EXHIBIT C**

# **ELECTRONIC FILE TRANSFER AGREEMENT**

Design Architect for CPS Elementary Schools

# **ELECTRONIC FILE TRANSFER AGREEMENT**

| Ве                   | tween the Design Architect   | and the Owner   |                          |                      |                        |                          |                          |                              |  |
|----------------------|--|---|--------------------------|----------------------|------------------------|--------------------------|--------------------------|------------------------------|--|
| Owner:               |  | Public Building Commission of Chicago (PBC)   |                          |                      |                        |                          |                          |                              |  |
| RE                   | :  | ELECTRONIC N  | IEDIA                    | • .                  |                        |                          | •                        |                              |  |
| PROJECT NAME.:       |  | [VARIOUS]   | [VARIOUS]                |                      |                        |                          |                          |                              |  |
| DESCRIPTION OF DATA: |  | This Agreement shall apply to all Electronic Drawings which are listed and otherwise identified in an attached cover letter(s) to the PBC |                          |                      |                        |                          |                          |                              |  |
| TE                   | RMS OF AGREEMENT:  | •.  |                          |                      |                        |                          |                          | •                            |  |
| 1.                   | . The PBC acknowledges that it has requested the Design Architect to provide certain designs as electronic drawing file data in disk format and that the information contained on these disks is provided for its sole use and convenience. The PBC, at its own discretion, may choose to reassign this data to a third party, to whom all terms of this agreement shall also apply, by obtaining the third party's signature on the line below and sending a signed copy to Architect.  |   |                          |                      |                        |                          |                          | is provided<br>his data to a |  |
| 2.                   | The undersigned further acknowledges that the true record of the design is the most recent printed copy of the design by the Design Architect, and that errors and other changes may subsequently be introduced to the electronic format without the fault or knowledge of, and beyond the control of Design Architect.  |   |                          |                      |                        |                          |                          | equently be                  |  |
| 3.                   | Accordingly the PBC agree<br>attorney's fees, pertaining<br>contained on the electronic<br>Design Architect.   | ı to anv claims v   | vhich ma                 | ıv arise             | out of ar              | ny modific               | ation to                 | the design                   |  |
| 4.                   | This Data is an instrument provided for in the Agreeme shall be at the sole risk of the sol | ent, the reuse of th  | rvice pre<br>is data, ii | pared by<br>ncluding | the Desig<br>designs a | n Archited<br>nd informa | ct. Unles<br>ition inclu | s otherwise<br>ded therein   |  |
| De                   | sign Architect:  |   |                          |                      |                        | ·                        | •                        |                              |  |
| De                   | sign Architect Authorized Sig  | gnature [date]  |                          |                      |                        |                          |                          |                              |  |
| Ac                   | knowledged and Accepted  | for:  |                          |                      |                        |                          |                          |                              |  |
| Sig                  | nature of PBC Executive Dir  | ector [date]  |                          |                      |                        | •                        |                          |                              |  |
| Ac                   | knowledged and Accepted  | by Third Party:   |                          |                      |                        |                          |                          |                              |  |
| Sia                  | nature of Third Party [date]   |   |                          |                      |                        |                          |                          |                              |  |

| 1  | CORD, CERTIFIC  | CATE OF LIABIL                                     | ITY INS  | URANCE   | <b>=</b>   2  | DATE (MM/DD/YYYY)<br>2/5/2008                |  |
|--|---|--|--|--|---|--|--|
| PROI<br>HRI<br>425   | DUCER  I A&E  N Martingale Rd.  te 1100   | Fax: 847-517-9033                                  | THIS CERT<br>ONLY AND<br>HOLDER.                                     | TIFICATE IS ISS<br>CONFERS N<br>THIS CERTIFICA   | UED AS A MATTER OF<br>IO RIGHTS UPON THE<br>ATE DOES NOT AMEN<br>AFFORDED BY THE PO | INFORMATION<br>E CERTIFICATE<br>D, EXTEND OR |  |
|  | naumburg IL 60173   |  | INSURERS A   | FFORDING COV   | /ERAGE  | NAIC#  |  |
| INSU   |   |  | INSURERA: Tra  | velers Ind   | emnity Company o  | f25682                                       |  |
|  | roeder Murchie Niemiec  | USING  | INSURER B:   |  |   |  |  |
|  | zda-Auskalnis Architects<br>5 West Huron Street   | , Ltd. I OIO                                       | INSURER C:   |  |   |  |  |
|  | cago IL 60622   |  | INSURER D:   |  |   |  |  |
|  |   |  | INSURER E:   |  |   |  |  |
|  | VERAGES   |  |  | HAND ADOLE TO  | OF THE DOLLAR DEDUCE  | TNDTGNEED                                    |  |
| NOT  | POLICIES OF INSURANCE LISTED WITHSTANDING ANY REQUIREMENT, IFICATE MAY BE ISSUED OR MAY MS, EXCLUSIONS AND CONDITIONS | TERM OR CONDITION OF ANY PERTAIN. THE INSURANCE AF | CONTRACT OR O'<br>FORDED BY THE<br>TE LIMITS SHO                     | THER DOCUMENT<br>POLICIES DESC<br>WN MAY HAVE BI   | WITH RESPECT TO WHI<br>CRIBED HEREIN IS SUB<br>EEN REDUCED BY PAID                  | CH THIS<br>JECT TO ALL THE                   |  |
| INSR   | ADD'L<br>INSRD: TYPE OF INSURANCE   | POLICY NUMBER                                      | POLICY EFFECTIVE<br>DATE (MM/DD/YY)                                  | POLICY EXPIRATION<br>DATE (MM/DD/YY)   | LIMITS  |  |  |
|  | GENERAL LIABILITY   |  |  |  | EACH OCCURRENCE   | \$   |  |
|  | COMMERCIAL GENERAL LIABILITY  |  | İ  |  | PREMISES (Ea occurence)   | \$   |  |
|  | CLAIMS MADE OCCUR   |  |  |  | MED EXP (Any one person)  | \$   |  |
|  |   |  |  |  | PERSONAL & ADV INJURY   | \$   |  |
|  |   |  |  |  | GENERAL AGGREGATE   | \$   |  |
|  | GEN'L AGGREGATE LIMIT APPLIES PER:  |  |  |  | PRODUCTS - COMP/OP AGG  | \$   |  |
|  | POLICY PRO-<br>JECT LOC  AUTOMOBILE LIABILITY  ANY AUTO   |  |  |  | COMBINED SINGLE LIMIT (Ea accident)   | \$   |  |
|  | ALL OWNED AUTOS SCHEDULED AUTOS   |  |  |  | BODILY INJURY<br>(Per person)   | \$   |  |
|  | HIRED AUTOS NON-OWNED AUTOS   |  |  |  | BODILY INJURY<br>(Per accident)   | \$   |  |
|  |   | RE   | CEIVE  | <b></b>  | (Per accident)  | \$   |  |
|  | GARAGE LIABILITY  |  |  | 1  | AUTO ONLY - EA ACCIDENT   | \$   |  |
|  | ANY AUTO  | FE   | B 0 6 2008   |  | OTHER THAN EA ACC AGG   | \$   |  |
| ⊢  | EVAPOR REPORT LA LIABILITY  |  |  |  | EACH OCCURRENCE   | \$   |  |
|  | OCCUR CLAIMS MADE   |  | LIC BUILDING   | <u> </u><br><b>≱</b>   | AGGREGATE   | \$   |  |
|  |   | CC   | MMISSION   |  |   | \$   |  |
|  | DEDUCTIBLE  |  |  |  |   | \$   |  |
|  | RETENTION \$  |  |  |  | WC STATU- OTH-  | \$   |  |
| A  | WORKERS COMPENSATION AND  | XEUB5641Y37008                                     | 1/17/2008  | 1/17/2009  | A TORYLIMITS ER   |  |  |
|  | ANY PROPRIETOR/PARTNER/EXECUTIVE  |  |  |  | E.L. EACH ACCIDENT  | \$1,000,000                                  |  |
|  | OFFICER/MEMBER EXCLUDED?  |  | †  |  | E.L. DISEASE - EA EMPLOYEE  |  |  |
| <u> </u>   | If yes, describe under SPECIAL PROVISIONS below  OTHER  |  |  |  | E.L. DISEASE - POLICY LIMIT   | \$1,000,000                                  |  |
|  | o men   |  |  |  |   |  |  |
| L  |   |  | <u></u>  |  |   |  |  |
| *EX  | CRIPTION OF OPERATIONS/LOCATIONS/VEHICE CEPT for Non-Payment, 10 Days contract #PS1069, Design Arch                   |  |  | a<br>J   | 161082  | n8 /   |  |
| <u></u>  | DATE NO. DED  |  | CANCELLA   | TION   |   | ··· "· <del>*</del>                          |  |
| Public Building Commission Attn: Miguel Fernandez 52 West Washington, Room 200 Chicago IL 60602-0000 |   |  | SHOULD ANY<br>BEFORE THE<br>WILL ENDEA'<br>CERTIFICATI<br>SHALL IMPO | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30* DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FALLURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. |   |  |  |
| l  |   |  | AV I HORIZED KE  |  |   |  |  |

ACORD 25 (2001/08)

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# **IMPORTANT**

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

### **DISCLAIMER**

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.

| ACORD, CERTIFIC  | CATE OF LIABILI  | TY INS   | URANCE   |   | DATE(MM/DD/YYYY)<br>10/23/2007 |  |  |
|--|--|--|--|---|--------------------------------|--|--|
| PRODUCER HRH A&E/AVA Insurance Ager 425 N Martingale Rd.   | Fax: 847-517-9033<br>ncy, LLC  | THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.  |  |   |                                |  |  |
| Suite 1100<br>Schaumburg IL 60173  |  | INSURERS A   | NAIC#  |   |                                |  |  |
| INSURED  |  | INSURERA: Travelers Indemnity Company o 25682  |  |   |                                |  |  |
| Schroeder Murchie Niemiec<br>Gazda-Auskalnis Architect:  | o T+d  | INSURER B:   |  |   |                                |  |  |
| 936 West Huron Street  | s, nea.  | INSURER C:   |  |   |                                |  |  |
| Chicago IL 60622   |  | INSURER D:   |  |   |                                |  |  |
| COVERAGES  |  | INSURER E:   |  |   |                                |  |  |
| THE POLICIES OF INSURANCE LISTED   | BELOW HAVE BEEN ISSUED TO  | THE INSURED  | NAMED ABOVE FO                                   | OR THE POLICY PERIOD                      | A INDICATED                    |  |  |
| NOTWITHSTANDING ANY REQUIREMENT,<br>CERTIFICATE MAY BE ISSUED OR MAY<br>TERMS, EXCLUSIONS AND CONDITIONS | TERM OR CONDITION OF ANY C<br>PERTAIN, THE INSURANCE AFF<br>OF SUCH POLICIES. AGGREGAT | ONTRACT OR C<br>ORDED BY THE<br>E LIMITS SHO   | THER DOCUMENT<br>POLICIES DESC<br>WN MAY HAVE BE | WITH RESPECT TO WHI                       | ICH THIS<br>BJECT TO ALL THE   |  |  |
| INSR ADD'U<br>LTR INSRO TYPE OF INBURANCE  | POLICY NUMBER  | DATE (NEWOD/YY)  | POLICY EXPIRATION DATE (MA/OD/YY)                | LIMIT                                     | 18                             |  |  |
| GENERAL LIABILITY  |  |  |  | EACHOCCURRENCE                            | \$                             |  |  |
| COMMERCIAL GENERAL LIABILITY   |  |  |  | DAMAGE TO PIENTED PREMISES (Ea occurence) | \$                             |  |  |
| CLAIMS MADE OCCUP  |  |  |  | MED EXP (Any one person)                  | \$                             |  |  |
|  | -  |  |  | PERSONAL & ADVINJURY                      | \$                             |  |  |
| GENLAGGREGATE LIMIT APPLIES PER  | -  |  |  | PRODUCTS - COMP/OP AGG                    | 9                              |  |  |
| POUCY JECT LOC   |  |  |  | PRODUCTS*COMPOPAGG                        | ,                              |  |  |
| AUTOMOBILE LIABILITY ANYAUTO   |  |  |  | COMBINED SINGLE LIMIT<br>(Ea accident)    | \$                             |  |  |
| ALLOWNEDAUTOS SCHEDULEDAUTOS   |  |  |  | BODILY INJURY<br>(Per person)             | \$                             |  |  |
| HIREDAUTOS NON-OWNED AUTOS   |  |  |  | BODILY INJURY<br>(Per accident)           | \$                             |  |  |
|  | -  |  |  | PROPERTY DAMAGE<br>(Per accident)         | \$                             |  |  |
| GARAGE LIABILITY   |  |  |  | AUTO ONLY - EA ACCIDENT                   | \$                             |  |  |
| АМУАИТО  |  |  |  | OTHER THAN EA ACC                         | \$ .                           |  |  |
| EXCESS/UMBRELLA LIABILITY  |  |  |  | AGG                                       |                                |  |  |
| OCCUR CLAIMS MADE  |  |  |  | AGGREGATE                                 | \$                             |  |  |
| I GOOGII GOOGIIG   |  |  |  | AGGINEGATE                                | •                              |  |  |
| DEDUCTIBLE   |  |  |  |   | \$                             |  |  |
| RETENTION \$   |  |  |  |   | \$                             |  |  |
| A WORKERS COMPENSATION AND EMPLOYERS' UABILITY   | XEUB5641Y37007   | 1/17/2007  | 1/17/2008  | X WCSTATU-<br>TORYLMITS OTH-              |                                |  |  |
| ANY PROPRIETOR/PARTNER/EXECUTIVE<br>OFFICER/MEMBER EXCLUDED?   |  |  |  | E.L. EACH ACCIDENT                        | \$1,000,000                    |  |  |
| If yes, describe under<br>SPECAL PROVISIONS below  |  |  |  | E.L. DISEASE - EA EMPLOYEE                | 1                              |  |  |
| OTHER  |  |  | <u> </u>   | E.L. DISEASE - POLICY LIMIT               | i\$1,000,000                   |  |  |
|  |  |  |  |   |                                |  |  |
| DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICL<br>*Except for Non-Payment, 10 Days                           |  | IT / SPECIAL PROVIS  | HONS   |   |                                |  |  |
| Re: PBC Contract #1069, Design A   |  |  | de vie   | 0/23/87                                   |                                |  |  |
| CERTIFICATE USI DEP  |  | CANCELLA   |  |   |                                |  |  |
| CERTIFICATE HOLDER  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED.                             |  |  |  |   |                                |  |  |
| Public Building Com<br>Attn: McGraty Shann<br>50 W. Washington St<br>Chicago IL 60602                    | on   | BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30* DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. |  |   |                                |  |  |
|  | AUTHORIZED REPRESENTATIVE  |  |  |   |                                |  |  |

ACORD 25 (2001/08)

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| ACORD, CERTIFICATE OF LIABILITY INSURANCE |  |   |                                      |  |  |  |  |  |  |
|---|--|---|--------------------------------------|--|--|--|--|--|--|
| Rodu<br>Ku f                              | UCER 8  Ffel Collimore & Company   | THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. |                                      |  |  |  |  |  |  |
|   | 51 S. Naperville Road<br>ite 105   | ALTER TH  | OLICIES BELOW.                       |  |  |  |  |  |  |
|   | eaton, IL 60187  | INSURERS A  | INSURERS AFFORDING COVERAGE          |  |  |  |  |  |  |
| NSUR                                      | DOM: 00 10 1   | -   |                                      | rge Insurance Co   |  |  |  |  |  |
|   | Gazda-Auskalnis Architects, Ltd.   |   | A-Continent                          | al Casualty Co.  | 20443  |  |  |  |  |
|   | 936 West Huron Street<br>Chicago, IL 60622   | INSURER C:  |                                      |  |  |  |  |  |  |
|   | SCHROO   | INSURER E:  |                                      |  |  |  |  |  |  |
|   | /ERAGES  |   |                                      |  |  |  |  |  |  |
| AN  | E POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INS<br>IY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER I<br>AY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HER<br>LICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLA | DOCUMENT WITH<br>REIN IS SUBJECT  | H RESPECT TO WI<br>TO ALL THE TERM   | HICH THIS CERTIFICATE                                    | MAY BE ISSUED OR   |  |  |  |  |
| NSR A                                     | ADD'L STYPE OF INSURANCE POLICY NUMBER   | POLICY EFFECTIVE<br>DATE (MM/DD/YY)   | POLICY EXPIRATION<br>DATE (MM/DD/YY) | LIMI   | TS   |  |  |  |  |
| A   | GENERAL LIABILITY  Z COMMERCIAL GENERAL LIABILITY  B2084710540   | 03/30/2007  | 03/30/2008                           | EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurence) | \$ 2,000,000   |  |  |  |  |
|   | CLAIMSMADE X OCCUR   |   |                                      | MED EXP (Any one person)                                 | \$ 10,000<br>\$ 2,000,000  |  |  |  |  |
|   |  |   |                                      | PERSONAL & ADV INJURY GENERAL AGGREGATE                  | \$ 4,000,000   |  |  |  |  |
| İ   | GEN'L AGGREGATE LIMIT APPLIES PER:   |   |                                      | PRODUCTS - COMP/OP AGG                                   | \$ 4,000,000   |  |  |  |  |
|   | X POLICY PRO-<br>JECT LOC  | / /   | / /                                  |  |  |  |  |  |  |
| A   | AUTOMOBILE LIABILITY B2084710540   | 03/30/2007  | 03/30/2008                           | COMBINED SINGLE LIMIT (Ea accident)                      | \$ 1,000,000   |  |  |  |  |
|   | ALL OWNED AUTOS SCHEDULED AUTOS  |   |                                      | BODILY INJURY<br>(Per person)                            | \$   |  |  |  |  |
|   | X HIRED AUTOS X NON-OWNED AUTOS  |   |                                      | BODILY INJURY<br>(Per accident)                          | \$   |  |  |  |  |
|   |  |   |                                      | PROPERTY DAMAGE<br>(Per accident)                        | \$   |  |  |  |  |
|   | GARAGE LIABILITY   |   |                                      | AUTO ONLY - EA ACCIDENT                                  | \$   |  |  |  |  |
|   | ANY AUTO   |   |                                      | OTHER THAN EA ACC  | 1  |  |  |  |  |
| В   | EXCESS/UMBRELLA LIABILITY B2084710585  | 03/30/2007  | 03/30/2008                           | EACH OCCURRENCE  | \$ 1,000,000   |  |  |  |  |
| _   | X OCCUR CLAIMSMADE   |   |                                      | AGGREGATE  | \$ 1,000,000   |  |  |  |  |
|   |  |   |                                      |  | \$   |  |  |  |  |
| -   | DEDUCTIBLE  X RETENTION \$10,000   |   |                                      | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                  | \$   |  |  |  |  |
|   | X RETENTION \$10,000   |   |                                      | WCSTATU- OTH   |  |  |  |  |  |
|   | EMPLOYERS' LIABILITY  ANY PROPRIETOR/PARTNER/EXECUTIVE   |   |                                      | E.L. EACH ACCIDENT                                       | \$   |  |  |  |  |
|   | OFFICER/MEMBER EXCLUDED?   |   |                                      | E.L. DISEASE - EA EMPLOYE<br>E.L. DISEASE - POLICY LIMIT | A 4/   |  |  |  |  |
|   | if yes, describe under SPECIAL PROVISIONS below OTHER  |   |                                      | E.L. DISEASE - POLICY LIMIT                              | <del>'                                    </del>   |  |  |  |  |
|   | 2335   |   |                                      | 7,00   | Vol.   |  |  |  |  |
| DESO                                      | THE ION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMEN  | T / SPECIAL PROVISION   | ONS                                  | D'UN'  |  |  |  |  |  |
| 4   | FAP 630-321-11   | 453 14  | JIC .                                | Me o   | WK.  |  |  |  |  |
|   | ditional Insured on Policy # B2084710540: Publ<br>the City of Chicago regarding professional se<br>shools. "Subject to Policy Terms and Con  | ic Buildin<br>ervices agz   | g Commissio<br>eement/ps 1           | n and the Board<br>069 design arch<br>at Policy Incep    | itect for cps  |  |  |  |  |
| <b>5</b> C                                | accept. Designed to rotter rotate and to   |   |                                      |  |  |  |  |  |  |
| CEF                                       | CERTIFICATE HOLDER  CANCELLATION  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION  |   |                                      |  |  |  |  |  |  |
|   |  |   |                                      |  |  |  |  |  |  |
|   | Public Building Commission of Chicago Edgrick Johnson  | DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 10 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL  |                                      |  |  |  |  |  |  |
|   |  | IMPOSE NO DELIGATION OR LIABILITY OF ANY AND UPON THE INSURER, ITS AGENTS OR  |                                      |  |  |  |  |  |  |
|   | 50 W. Washington St., Room 200<br>Chicago, IL 60602  | AUTHORIZED REPRESENTATIVE   |                                      |  |  |  |  |  |  |
| AC  | Richard J. Daley Center 50 W. Washington St., Room 200 Chicago, IL 60602  ORD 25 (2001/08)   |   | mi j                                 | © ACORD C  | ORPORATION 1988  |  |  |  |  |
|   |  | · .   |                                      |  | the state of the s |  |  |  |  |

| 4   | IC                    | )F     | RD <sub>™</sub> CERTIFIC                             | CATE OF LIABIL   | ITY INS   | URANCE   | Ξ :  | DATE (MM/DD/YYYY)<br>2/5/2008 |  |  |
|---|-----------------------|--------|--|--|---|--|--|-------------------------------|--|--|
| PRODUCER Fax: 847-517-9033 HRH A&E 425 N Martingale Rd. Suite 1100  |                       |        |  |  | THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. |  |  |                               |  |  |
|   | Schaumburg IL 60173   |        |  |  | INSURERS A  | FFORDING COV                                     | 'ERAGE   | NAIC#                         |  |  |
| INSU  | RED                   |        |  |  | INSURERA: Tra   | velers Ind                                       | emnity Company (   | of25682                       |  |  |
|   |                       |        | r Murchie Niemiec                                    |  | INSURER B:  | INSURERA: Travelers Indemnity Company of 25682   |  |                               |  |  |
|   |                       |        | skalnis Architects                                   | ;, Ltd.  | INSURER C:  |  |  |                               |  |  |
|   |                       |        | Huron Street<br>IL 60622                             |  | INSURER D:  | INSURER D:                                       |  |                               |  |  |
| C11.1   | .cay                  | ٠.     | 111 00022  |  | INSURER E:  |  |  |                               |  |  |
|   | /ERA                  |        |  |  |   |  |  |                               |  |  |
| NOTV<br>CERT<br>TERN  | ITHS<br>IFIC<br>IS, E | TAN    | DING ANY REQUIREMENT,                                | BELOW HAVE BEEN ISSUED TO<br>TERM OR CONDITION OF ANY<br>PERTAIN, THE INSURANCE AF<br>OF SUCH POLICIES. AGGREGA  | CONTRACT OR O'<br>FORDED BY THE<br>ATE LIMITS SHO'  | THER DOCUMENT<br>POLICIES DESC<br>WN MAY HAVE BI | WITH RESPECT TO WHI<br>CRIBED HEREIN IS SUB<br>EEN REDUCED BY PAID | CH THIS SJECT TO ALL THE      |  |  |
| INSR<br>LTR   | ADD'L<br>INSRD        |        | TYPE OF INSURANCE                                    | POLICY NUMBER  | DATE (MM/DD/YY)   | POLICY EXPIRATION<br>DATE (MM/DD/YY)             | LIMIT  |                               |  |  |
|   | ļ                     | GEN    | ERAL LIABILITY                                       |  |   |  | EACH OCCURRENCE DAMAGE TO RENTED                                   | \$                            |  |  |
|   |                       |        | COMMERCIAL GENERAL LIABILITY                         |  |   |  | PREMISES (Ea occurence)  | \$                            |  |  |
|   |                       | _      | CLAIMS MADE OCCUR                                    |  |   |  | MED EXP (Any one person)   | \$                            |  |  |
|   | , J                   |        |  |  |   |  | PERSONAL & ADV INJURY  | \$                            |  |  |
|   |                       |        |  |  |   |  | GENERAL AGGREGATE PRODUCTS - COMP/OP AGG                           | \$                            |  |  |
|   |                       | GEN    | "LAGGREGATE LIMIT APPLIES PER: POLICY PRO- LOC       |  |   |  | PRODUCTS-COMP/OP AGG   | •                             |  |  |
|   |                       | AUT    | OMOBILE LIABILITY ANY AUTO                           |  |   |  | COMBINED SINGLE LIMIT<br>(Ea accident)                             | \$                            |  |  |
|   |                       |        | ALL OWNED AUTOS<br>SCHEDULED AUTOS                   |  |   |  | BODILY INJURY<br>(Per person)                                      | \$                            |  |  |
|   |                       |        | HIRED AUTOS NON-OWNED AUTOS                          |  |   |  | BODILY INJURY<br>(Per accident)                                    | \$                            |  |  |
| ļ   |                       |        |  | _  |   |  | PROPERTY DAMAGE<br>(Per accident)                                  | \$                            |  |  |
|   |                       | GAF    | RAGE LIABILITY                                       |  |   |  | AUTO ONLY - EA ACCIDENT  | \$                            |  |  |
|   |                       |        | ANY AUTO   |  |   |  | OTHER THAN EA ACC AUTO ONLY: AGG                                   | \$<br>\$                      |  |  |
| ├   |                       |        | TOOLINDELLA LIABILITY                                |  |   |  | EACH OCCURRENCE  | \$                            |  |  |
|   |                       | EXC    | CLAIMS MADE  |  |   |  | AGGREGATE  | \$                            |  |  |
|   |                       |        | OCCUR CLAIMS MADE                                    |  |   |  |  | \$                            |  |  |
|   |                       |        | DEDUCTIBLE   |  |   |  |  | \$                            |  |  |
|   |                       |        | RETENTION \$   |  |   |  | wcstatu- oth-  | \$                            |  |  |
| A   |                       |        | S COMPENSATION AND                                   | XEUB5641Y37008   | 1/17/2008   | 1/17/2009  | X WC STATU- OTH-<br>TORY LIMITS ER                                 | <u> </u>                      |  |  |
|   |                       |        | RS' LIABILITY<br>PRIETOR/PARTNER/EXECUTIVE           |  |   |  | E.L. EACH ACCIDENT   | \$1,000,000                   |  |  |
|   | OFFI                  | CERA   | MEMBER EXCLUDED?                                     |  |   |  | E.L. DISEASE - EA EMPLOYEE   |                               |  |  |
| <u> </u>  | SPE                   | CIAL F | ribe under<br>PROVISIONS below                       | <u> </u>   | <del>                                     </del>  |  | E.L. DISEASE - POLICY LIMIT  | [\$1,000,000                  |  |  |
|   | ОТН                   | ER     |  |  |   |  |  |                               |  |  |
| DES   | CRIPT                 | ON O   | F OPERATIONS / LOCATIONS / VFHIC                     | LES / EXCLUSIONS ADDED BY ENDORSEN   | MENT / SPECIAL PROVIS   | SIONS  | _1   |                               |  |  |
| 400   | cent                  | fo:    | r Non-Payment, 10 Days                               | _  |   |  |  |                               |  |  |
| Re:   | con                   | tra    | ct #PS1069, Design Arc                               | hitect for Elementary Sch  | 001s.   |  |  | •                             |  |  |
|   |                       |        |  |  |   |  |  |                               |  |  |
|   |                       |        |  |  |   |  |  | •                             |  |  |
| CE  | CERTIFICATE HOLDER    |        |  |  |   | CANCELLATION                                     |  |                               |  |  |
| Public Building Commission<br>Attn: Miguel Fernandez<br>52 West Washington, Room 200<br>Chicago IL 60602-0000 |                       |        | BEFORE THE<br>WILL ENDEA<br>CERTIFICAT<br>SHALL IMPO | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30* DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. |   |  |  |                               |  |  |
|   |                       |        |  |  | AUTHORIZED RE   | AUTHORIZED REPRESENTATIVE                        |  |                               |  |  |

ACORD 25 (2001/08)

@ACORD CORPORATION 1988

### **IMPORTANT**

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

### **DISCLAIMER**

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.