PUBLIC BUILDING COMMISSION OF CHICAGO



AGREEMENT

CONTRACT NUMBER PS1854D

WITH

TENG & ASSOCIATES, INC.

TO PROVIDE

COMMISSIONING AUTHORITY SERVICES

FOR

VARIOUS SITES

Mayor Richard M. Daley Chairman

Erin Lavin Cabonargi Executive Director

Richard J. Daley Center, Room 200 50 W. Washington Street Chicago, Illinois 60602 www.pbcchicago.com

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EXECUTION PAGE

COMMISSIONING AUTHORITY SERVICES - PS1854D FOR VARIOUS SITES

THIS AGREEMENT effective as of March 8, 2011, but actually executed on the date witnessed, is entered into by and between the **Public Building Commission of Chicago**, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and Teng & Associates, Inc. with offices at 205 North Michigan Avenue, Chicago, IL 60601 (the "Consultant").

Recitals:

WHEREAS, the Commission intends to undertake from time to time the acquisition, demolition, renovation, development, construction and/or improvement of buildings, facilities and other improvements ("Project") located in the City of Chicago ("City") at the request of various governmental and public agencies ("User Agency").

WHEREAS, the Commission requires certain professional services as described in Schedule B of the Agreement as modified from time to time by Task Order (the "Services") in connection with the Projects undertaken by the Commission for the use and benefit of a User Agency.

WHEREAS, the Consultant desires to be retained by the Commission to perform the Services and has represented to the Commission that the Consultant is qualified and competent, by education and training, and has the knowledge, skill, experience and other resources necessary to perform the Services required by the Agreement in accordance with terms and conditions of the Agreement.

WHEREAS, in reliance upon the Consultant's representations and Key Personnel as identified in Schedule E, the Commission has selected the Consultant to perform the Services on the terms and conditions set forth in this Agreement as modified from time to time by Task Order.

NOW,THEREFORE, the parties have executed this Agreement on the terms and conditions that follow:

EXECUTION PAGE

COMMISSIONING AUTHORITY SERVICES - PS1854D FOR VARIOUS SITES

PUBLIC BUILDING COMMISSION OF CHICAGO	
Chairman Chairman	Date:
Chairman	
ATTEST:	
Secretary Secretary	Date: <u>4/27/11</u>
Approyed as to form and legality	
Neal & Leroy, LLC	Date: 5-17-1
CONSULTANT: TENG & ASSOCIATES, INC.	
President	Date: 5/9/11
AFFIX CORPORATE	
SEAL, IF ANY, HERE	
County of: Cook	
State of: Illinois	
Subscribed and swom to before me by Ivan J. Dvorak	and
on behalf of Consultant this 3 day of May , 20 11. Notary Public	"OFFICIAL SEAL"
My Commission expires: 1/27/2014	MISTY LYNCH Notary Public, State of Illinois
(SEAL OF NOTARY)	My Commission Expires 01/27/14

SCHEDULE A

TERMS AND CONDITIONS

- 1. Recitals. The Recitals set forth on the Execution Page of this Agreement are hereby incorporated herein by reference.
- **2. Definitions**. The herein words and phrases have the following meanings for purposes of this Agreement.
- a. **Agreement** means this Professional Services Agreement for Specialty Consulting Services, including all schedules, exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, supplements or Task Orders made in accordance with the terms hereof.
- b. **Commission** means the Public Building Commission of Chicago, a municipal corporation organized under the Public Building Commission Act of the State of Illinois, as amended, or its duly authorized officers or employees.
- c. Consultant means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized to perform the Services required by the terms and conditions of this Agreement.
- d. **CW System** or **CW** means the on-line collaboration workspace and document management system established and maintained by the Commission for electronic submission and receipt of documents and reports.
- e. **Deliverables** means the documents, in any format (electronic or hard copy) requested by the Commission, including without limitation drawings, plans, reports, forms, recommendations, and analyses, that the Consultant is required under this Agreement to provide to the Commission.
- f. **Executive Director** means the person employed by the Commission as its Executive Director or the duly authorized representative thereof.
- g. **Key Personnel** means those job titles and persons as identified in such positions in Schedule E of this Agreement.
- h. **Services** means collectively, the duties, responsibilities and tasks that are necessary in order for the Consultant to provide the Scope of Services required by the Commission under Schedule B of this Agreement and the assigned Task Order.
- i. **Sub-consultant or Subcontractor** means a partnership, firm, corporation or entity other than the Consultant that furnishes labor, materials and/or equipment to the Consultant related to the performance of the Services and/or improvement of the Project.
- j. Task Order means a document issued by the Commission to the Consultant pursuant to this Agreement that authorizes in writing Services and/or Deliverables to be provided by the Consultant, together with any applicable exhibits or schedules, a timetable for any Deliverables and the applicable fees.
- 2. <u>Incorporation of Documents</u>. The Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be amended from time to time, is hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of such Resolution and will comply

fully with all applicable portions thereof in performing the Services.

3. Engagement and Standards for Performing Services.

- a. <u>Engagement</u>. The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
- b. <u>Performance Standard</u>. The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement and the assigned Task Order. If in the course of performing the Services, Consultant identifies any condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission.
- c. <u>Consultant's Personnel</u>. The Consultant agrees that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services under this Agreement and the assigned Task Order in the manner required by this Agreement. Consultant must not reassign or replace Key Personnel without the written consent of the Commission. Consultant must ensure that all Services and Deliverables that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide such copies, upon request, to the Commission. Consultant remains responsible for the professional and technical accuracy of all Services and Deliverables furnished, whether by the Consultant or others on its behalf. Consultant must at all times use it best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of the Services. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission. All Deliverables must be prepared in a format satisfactory to the Commission and delivered in a timely manner consistent with the requirements of this Agreement and the assigned Task Order.
- d. <u>Independent Contractor</u>. In performing the Services under this Agreement, Consultant shall at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- e. <u>Limitations on Sub-Consultants and Subcontractors</u>. Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- f. Failure to Meet Performance Standard. If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and Deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
 - g. Changes to the Services. The Commission may from time to time, request

changes to the terms of the Agreement, Task Order or the Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services or timetable for Deliverables, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement or the Task Order. The Commission shall not be liable for any changes absent such written amendment.

4. Task Orders.

- a. <u>Task Order Service Requests</u>. During the term of the Agreement, the Commission may issue one or more requests or solicitations for specific Services to be performed under the Agreement (a "Task Order Service Request" or "TOSR")). Each such Task Order Request will identify the Project, describe the specific Services to be performed, the desired completion date, and any other information or documents to be provided by the Consultant in responding to the Task Order Service Request.
- b. <u>Task Order Proposals.</u> Consultant must submit to the Commission a written response to the Task Order Service Request by providing the information and documents requested (the "Task Order Proposal"). The Task Order Proposal will propose a schedule, budget, Deliverables, a list of technical personnel who will perform the Services and any other information or documents listed in the Task Order Service Request. The Task Order Proposal must be submitted within the time specified in the Task Order Service Request. Any costs associated with the preparation of such Task Order Proposal are not compensable under the Agreement and the Commission is not liable for any such costs.
- c. Review Process. The Commission will review the Task Order Proposal and may elect to approve it, reject it, or use it as a basis for further negotiations with Consultant regarding the Task Order and specific Services to be performed and/or Deliverables to be provided. If the Commission and Consultant negotiate changes to the Task Order regarding the specific Services and/or Deliverables to be provided, Consultant must submit a revised Task Order Proposal (based upon such negotiations) to the Commission.
- d. <u>Notice of Approval of Task Orders</u>. All Task Orders are subject to the written approval of the Commission and no Task Order will become binding upon the Commission until it is approved in writing by the Executive Director. Absent approval of a Task Order and issuance of a Notice to Proceed as provided in the following sub-paragraph, the Commission will not be obligated to pay or have any liability to Consultant for any Services or Deliverables provided by Consultant pursuant to such Task Order.
- e. <u>Notice to Proceed</u>. After approval of the Consultant's engagement to perform Services under a Task Order (as evidenced by the execution of the Notice of Award by the Executive Director, the Commission shall issue a Notice to Proceed authorizing the Services that are within the scope of such Task Order and attaching or incorporating the applicable Task Order. Upon receipt of an executed Notice to Proceed issued by the Project Manager, Consultant will promptly commence and perform, in accordance with the Task Order, the Services set forth in the Task Order. Consultant shall not commence the applicable Services unless and until the Commission issues the Notice to Proceed.
- f. <u>No Obligation</u>. Consultant acknowledges and agrees that the Commission is under no obligation to issue any Task Orders, and that it is within the Commission's discretion whether to include Consultant in any solicitation for Task Order Proposals.

5. Duties and Obligations of Consultant.

a. <u>Nondiscrimination</u>. The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the

public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. Seq., the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended, and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2009, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission or the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.

Employment Procedures, Preferences and Compliances. Salaries of b. employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to [Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act).] The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.

c. Intentionally omitted.**

- d. <u>Delays</u>. The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- e. <u>Records</u>. The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- f. <u>CW System</u>. The Commission may require the Consultant to use the Commission's electronic document management system in performing the Services and the assigned Task Order. At the direction of the Commission, the Consultant must follow the CW procedures and submit

^{**} TO BE INSERTED IN CONTRACTS FOR SURVEYING, TRAFFIC STUDIES AND COMMISSIONING.

progress reports and other Deliverables through the CW System. The Consultant must attend courses and receive training on the CW System provided by or on behalf of the Commission. Any costs incurred by Consultant as a result of the attendance of Consultant's personnel at CW System courses are not compensable by the Commission.

- g. <u>Time of Essence</u>. The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and the assigned Task Order and performance of all other obligations of the Consultant under this Agreement and any other agreement entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- h. <u>Compliance with Laws</u>. In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, rules and regulations including but not limited to, those referenced in subparagraphs (a) and (b) above.
- i. <u>Progress Meetings</u>. Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.

6. <u>Term</u>.

- a. The term of this Agreement is three (3) years with three (3) successive one (1)-year renewal options at the sole discretion of the Commission. The term of this Agreement shall begin upon the final execution of this Agreement, and, subject to the provisions of subparagraph (b) below, shall expire three (3) years after the effective date of this Agreement, or any renewal option period if exercised by the Commission.
- The Commission shall have the right, at any time, to terminate the term of this b. Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.
- c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.

- 7. <u>Compensation of Consultant; Submission of Invoices through CW.</u> The total amount of fees and costs to be paid by the Commission during the term of this Agreement, excluding any renewal option periods, shall not exceed the sum of \$500,000.00. The Commission shall compensate the Consultant for the Services in the manner set forth in Schedule C of this Agreement, or as modified by assigned Task Order. The Consultant shall submit all invoices, no more frequently than once every thirty (30) days, in electronic format using the CW System. All submitted invoices shall include a cover page as provided by the Commission and the assigned Task Order number. Failure to submit invoices through CW will result in delayed or non-payment to the Consultant.
- 8. Rights and Obligations of Commission. In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. <u>Information</u>. The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
- b. Review of Documents. Subject to the provisions of subparagraph 5(d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
- Consultant to perform the Services and the assigned Task Order, the Commission may furnish to the Consultant information concerning the nature of the Project, existing conditions and other data or reports pertaining to the site and the proposed development thereof.
- d. <u>Tests and Reports</u>. The Commission may also furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports if determined by the Commission in its sole discretion to be necessary in order for the Consultant to perform the Services and the assigned Task Order.
- e. <u>Legal, Auditing and other Services</u>. The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Consultant to perform the Services. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
- f. <u>Designated Representatives</u>. The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
- g. <u>Ownership of Documents</u>. All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission, including copyrights.
- h. <u>Audits</u>. The Commission shall have the right to audit the books and records of the Consultant on all subjects relating to the Services.
- 9. Indemnification of Commission. The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.

10. <u>Insurance to be Maintained by Consultant</u>. The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in Schedule D.

11. Default.

- a. <u>Events of Default</u>. Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
 - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been give to the Consultant by the Commission;
 - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
 - iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;
 - iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
 - v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
- b. Remedies. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
- c. Remedies not Exclusive. No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but

each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.

- Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project, the Services or any assigned Task Order. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times act in the best interests of the Commission and User Agency consistent with the professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests.
- 13. <u>Assignment</u>. The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
- 14. Personnel. The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.
- 15. Relationship of Parties. The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an Agreement of partnership, joint venture, or agency.

16. Miscellaneous.

- a. <u>Counterparts</u>. This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- b. <u>Entire Agreement</u>. This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.
- c. <u>Force Majeure</u>. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default

of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.

- d. <u>Governing Law</u>. This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. No Waiver. The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- f. Notices. All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. <u>Severability</u>. In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- h. <u>Successors and Assigns</u>. Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- i. <u>Consultant's Authority</u>. Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

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SCHEDULE B SCOPE OF WORK COMMISSIONING AUTHORITY SERVICES - PS1854D FOR VARIOUS SITES

(SCOPE OF WORK FOLLOWS THIS PAGE)

SCOPE OF SERVICES COMMISSIONING AUTHORITY SERVICES - PS1854 FOR VARIOUS SITES

I. General Scope of Services-Commissioning Authority Services

The Consultant will enter into Task Order with the PBC. The Task Order will be executed in a Lump Sum format on a project-by-project basis. The PBC will be pursuing LEED™ certification on all capital building projects, which necessitates commissioning to be executed in compliance with LEED as well as PBC guidelines.

The Services to be provided by the Consultant are expected to include pre-design, design, construction, closeout, post-occupancy, warranty and systems evaluation services associated with the Project. The Consultant shall perform Services as necessary to achieve the targeted level of LEED certification in the version of LEED indicated by the PBC (currently Silver or Gold), in accordance with LEED 2009, and Commissioning services required by the PBC that exceed current LEED requirements but fall within standard commissioning scope and practice, per recognized Commissioning methodologies of the Portland Energy Conservation Inc., and the American Society of Heating Refrigeration and Air-Conditioning Engineers, Inc. The PBC requirements for the Consultant's services will typically exceed those of LEED with respect to minimum systems to be commissioned.

The Consultant must develop a comprehensive understanding of the project relative to the current PBC program, Owner's Project Requirements, project assumptions, constraints, and budget. The PBC in many cases works from an evolving building prototype. The Consultant will be required to develop an understanding of the prototype and its recent evolution. Consultant may be asked for work product to include efforts specifically to inform and further develop the prototype. During all phases, the Consultant will be expected to participate and communicate with the PBC, the Architect and Engineers, other design consultants, the General Contractor and subcontractors, and the User Agency representatives, as identified by PBC. Format of written communication may be stipulated by the PBC. The Consultant must provide a dedicated commissioning leader and team as required to meet the demands of the project.

The specific Services that the Consultant may be requested to perform, on a Task Order basis, may include, but are not limited to the following.

II. SERVICES REQUIRED OF THE CONSULTANT:

A. Pre-Design and Design Phase

- 1. Identify a scope and budget for the commissioning process as part of the RFP process.
- 2. Develop Commissioning Plan. Include Design, Construction, Occupancy and Operations Phases.
 - a. Revise the Plan throughout the course of the project as needed.
 - b. Provide a draft Commissioning Plan for inclusion in the Contract Documents.
 - c. Distribute to the Project Team.
- 3. Review the Owner's Project Requirements Document for clarity and completeness, including language pertaining to all of the systems that are to be commissioned.
 - a. Review updates to the Owner's Project Requirements. Coordinate the development of this document with the PBC.

- In some instances, the Consultant may be asked to facilitate the development of the Owner's Project Requirements.
- 4. Review the Basis of Design Document for clarity and completeness. Verify that the Basis of Design meets Owner's Project Requirements and PBC stated program requirements. Basis of Design may take the form of design narrative. Review updates to Basis of Design at design milestones throughout the course of the project.
- 5. Modify PBC-supplied specifications to be included in the contract documents for specified equipment to be commissioned. These specifications shall include all instructions to contractors and subcontractors, detailing the commissioning scope of work to be performed. Coordinate the content of these specifications with the Architect, MEP design firm, and the PBC project manager. Develop Commissioning Specifications in some instances.
- 6. Review Design Documents, including Drawings, Specifications, Narratives, for systems to be commissioned, and as directed by PBC.
 - a. Perform a minimum of two (2) Commissioning Reviews; at Design Development and at 90% Construction Documents. More than 2 Commissioning reviews may be required.
 - Submit comments in writing to PBC, Architect of Record, and as directed by PBC.
 Review for incorporation and coordination of commissioning requirements.
 Review for all systems' compliance with Owner's Project Requirements, Basis of Design, and for adequacy and efficiency.
 - c. Review strategies, sequence of operations; ease of operation and maintenance; commissionable, adequate Operations and Maintenance documentation and training requirements, aligned with Owner's Project Requirements.
 - d. Review Indoor Environmental Quality afforded by HVAC system to confirm design is in accordance with Owner's Project Requirements.
 - e. For scope changes issued during design, review proposed change and provide comments.
- 7. Coordinate a controls, General Building Management System integration meeting with the electrical and mechanical engineers, and PBC to discuss integration issues between equipment, systems and disciplines to ensure that integration issues and responsibilities are clearly described in the specifications Document meeting.
- 8. Evaluate alternative strategies or technologies intended to improve energy efficiency of the building, to address LEED certification targets or requirements, and / or to improve ease of maintenance;
 - a. Review mechanical and electrical concepts, design, for enhancements with intent to improve energy efficiency while fully meeting Owner's Project Requirements. Discussion to include, but is not limited to lighting and HVAC systems, and may include envelope / systems interconnections.
 - b. The Consultant may be requested to participate in meetings / discussion and / or provide written comments. Engineering studies would <u>not</u> be included.
- Review design milestone submittals to assure system controls and inter-functionality are included within the design and construction. Perform this review concurrently with other reviews to be assured within the project schedule.

III. Construction and Post Construction Phase

- Provide a commissioning leader to communicate deficiencies to the Owner, manage the Commissioning process, and provide responses to checklists in a timely manner.
- 2. Back-check to Owner's Project Requirements and Basis of Design to ensure any construction phase changes to commissioned equipment do not compromise the intent of the Owner's Project Requirements and Basis of Design.
- Attend and participate in a pre-bid technical review and a pre-construction meeting where the commissioning process or requirements are reviewed.
- 4. Organize the commissioning process components.
- 5. For scope changes during construction, review and provide comments.
- Coordinate and direct commissioning activities. Do so in a logical, sequential and efficient
 manner using consistent protocols and forms, clear and regular communications and
 consultations with all necessary parties, as directed by PBC.
- 7. Organize, conduct and document Commissioning meetings with appropriate parties to implement the Commissioning Plan and resolve problems. Attend selected job-site meetings to coordinate information on construction progress with PBC.
- 8. Conduct site visits to observe installations, and confirm the process adequately evaluates the constructed project in accordance with the construction documents and the Owner's Project Requirements.
- 9. Update project-specific Commissioning Plan. Include Construction, Occupancy and Operations Phases. Distribute to project team.
- Review General Contractor submittals for components of systems to be commissioned, concurrent with the Architect of Record team/design professionals' review. Provide comments.
- 11. Include review of subcontractor coordination drawings.
- 12. Develop pre-functional checklists to verify systems installed per Contract Documents, designer's basis, and manufacturing requirements.
 - Consultant may be tasked to complete Pre-Functional Checklists or to statistically sample completion by others.
 - b. Sampling plan for Pre-Functional Checklists verification is subject to PBC approval.
 - Document this verification and notify the Owner of any discrepancies through the use of a Deficiency Log.
 - d. Provide a log documenting the status of completeness of the Pre-Functional Checklists.
- Develop Functional Performance Tests FPT and procedures. Work with General Contractor. Submit to Architect of Record and PBC for review. Provide a log of Functional Performance Test.
- 14. Assist in direction of the General Contractor, witness and document the Functional Performance Tests performed by installing contractors, and recommend approval. Coordinate retesting as necessary until satisfactory performance is achieved. Document deficiencies and

- report progress to the PBC. The Consultant must be capable of providing assistance in troubleshooting system failure.
- 15. Review HVAC air and water systems testing, adjusting and balancing reports. Provide comments.
- 16. Maintain a master issues log and a separate testing record. Provide to the PBC, Architect of Record and as directed by PBC written progress reports and test results with recommended actions.
- 17. Identify and assist in resolving any discrepancies or nonconforming work; coordinate with PBC project manager and quality control team in this matter. Document the correction and retesting of non-compliance items.
- 18. Approve systems startup by reviewing start-up reports and by selected site observation.
- 19. Develop the Systems Manual.
- Review the Operation and Maintenance Manual, for Commissioned systems, provided by the General Contractor, for completeness in achieving requirements established in the Contract Documents.
- 21. Review; recommend pre-approval proposed training plan; and verify the training provided by the General Contractor, in conjunction with Architect of Record team.
- 22. Documentation for Commissioning prerequisite and credits to support the PBC in achieving the targeted LEED level.
- 23. Review current and final as-built and record documents at the job site for completeness and accuracy. Document deficiencies.
- 24. Complete and submit the final Commissioning Report. Complete and submit initial Commissioning report promptly at the end of the construction phase. Submit to the PBC, Architect of Record and General Contractor, as directed by PBC.
- 25. Schedule and verify deferred, off season and warranty (10-12 months post occupancy, per PBC direction) functional performance testing by the contractor. Identify and assist in resolving non-compliances, and with documentation for warranty claims.
 - a. Review with facility staff the current building operation and the condition of outstanding issues related to the original and seasonal commissioning. Include two (2) meetings.
 - b. Interview facility staff; identify problems or concerns they have with operating the building as originally intended. Make suggestions for improvements and for recording these changes in the Operations and Maintenance manuals. Identify areas that may come under warranty or under the original construction contract. Assist facility staff in developing reports and documents and requests for services to remedy outstanding problems.

IV. Additional Services.

The PBC may require that the Consultant provide services beyond those typically required for Commissioning. The Consultant must demonstrate capacity to perform the additional services set forth below:

- Provide peer / design / constructability review of documents, Plans and Specifications, at each design milestone: Schematic Design; Design Development; 60% Construction Documents, 90% Construction Documents; 100% Construction Documents. (This is in addition to LEED requirements.).
- 2. Energy efficiency reviews.
- 3. Develop / provide a project specific Measurement & Verification Plant, to meet LEED requirements, for integration into the contract documents.
- 4. Implement Measurement & Verification Plan where required.
- 5. Participate in a lessons-learned workshop. Document lessons for commissioned systems. The workshop is facilitated by a member of the PBC.

V. Exclusions from Commissioning Scope of Services:

- 1. The Consultant is not responsible to establish design concept; design criteria; compliance with codes; design or general construction scheduling; cost estimating; or construction management.
- 2. The Consultant may assist with problem-solving, and identifying and resolving non-conformance or deficiencies; but ultimately that responsibility resides with the General Contractor and the Architect of Record.
- 3. Direction of contractor work, issuance of change orders are excluded from the Consultant's scope of services.

VI. Systems and Components to be Commissioned

- Energy using systems as required by current version of LEED will always be commissioned.
 Additional systems and components may be commissioned at PBC's direction.
- Consultant may be required to provide personnel with experience in specific systems and technologies, including geothermal, and renewable energy such as photovoltaic, solar thermal and wind systems.
- 3. Building systems within the commissioning scope of services may include but are not limited to:
 - a. Air conditioning systems, including cooling towers, chillers and/or other components Direct-expansion (DX) refrigeration systems, including air-side heat pumps;
 - b. Heating systems including boilers and other components
 - c. Hydronic systems (boilers, chillers, pumps)
 - d. Air handling systems, (including ductwork, terminal devices, humidifiers, coils, exhaust fans)
 - e. Refrigeration systems
 - f. Runaround loop energy recovery system
 - g. Heat pumps
 - h. Pumps associated with the MEP systems to be commissioned
 - i. Motors associated with the MEP systems to be commissioned

- HVAC controls. May tie into a Building Automation System or General Building Management System.
- k. Domestic hot water and process water pumping and mixing systems; include remote sinks hot water; sensor operation of plumbing fixtures.
- I. Life safety system including fire alarm system; egress pressurization;
- m. Fire protection system including standpipe and sprinkler systems; fire pump and controller; and all piping and ancillary hardware.
- n. Electrical systems including substation transformers; switchboard; motor control centers; power (over 400 Amp); connections to equipment to be commissioned. In rare cases, may include lighting panel boards; lighting fixtures.
- Lighting controls including scheduled or occupancy sensor and/or daylight dimming controls.
- Emergency power systems; uninterruptible power supply systems, and automatic transfer switching
- q. Security systems including access control and alarm monitoring
- r. Central Building Automation System associated with commissioned equipment.
- s. Laboratory, clean room, hoods and pressurization
- t. Laboratory Exhaust Fan Ventilation system
- u. Equipment sound control systems and testing
- v. Data and communication
- w. Paging systems
- x. Irrigation
- y. Vertical transport Elevators
- z. Building envelope
- aa. Process instrumentation and controls
- bb. Renewable energy systems i.e.: photovoltaics, wind.
- cc. Geothermal / ground source systems
- dd. Solar thermal water heating systems
- ee. Radiant systems, including slab
- ff. Airside energy recovery systems

SCHEDULE C

COMPENSATION OF THE CONSULTANT COMMISSIONING AUTHORITY SERVICES – PS1854D

C.1 CONSULTANT'S FEE

- C.1.1 The Commission shall pay the Consultant for the satisfactory performance of the Services the amont specified in each Task Order on a Lump Sum Basis ("Fee").
- C.1.2. Consultant's Fee will include materials, equipment, profit, review and submittal of deliverables. Consultant's Fee will also include typical overhead including, without limitation, office, rent, administrative salaries, insurance and personnel costs of Consultant and Subconsultant for each staff member such as driving to and from PBC job sites or meetings, cell phone and computer usage, vehicles, travel expenses, mileage, taxicab fares, parking, tolls, insurance, marketing and any other costs incurred.

C.2 HOURLY RATES FOR CONSULTANT AND SUBCONSULTANT PERSONNEL

- C.2.1 Hourly Rates for Consultant and Subconsultant Personnel. All Consultant and Subconsultant personnel and the hourly rate billable for each are subject to the prior approval of the Commission. The hourly rates shall not include compensation for overtime pay or holidays.
- C.2.2 Hourly Rates for Consultant and Subconsultant personnel shall be provided by the Consultant substantially in the format attached as Schedule C-1. On an annual basis, the Consultant may request a review and revision of hourly rates. A request to review and revise hourly rates must be justified by the Consultant. Revision or modifications of hourly rates are at the sole discretion of the Commission.

C.3 METHOD OF PAYMENT

C.3.1 **Invoices.** The Consultant will submit invoices through CW to the Commission for Services performed that will be paid as the Consultant satisfactorily completes Services at milestones set by the Commission.

Each invoice must reference the contract number, task order number, project name and be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of the Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain the reasons for such variances.

C.3.2 **Payment.** Payment will be processed within 30 days after Commission receives an acceptable invoice from the Consultant.

SCHEDULE C-1

LOADED HOURLY RATES COMMISSIONING AUTHORITY SERVICES-PS1854D

Complete the following Hourly Rate table and provide various hourly rates for the staff who will work on PBC projects. The hourly rate shall include typical overhead for each staff member such as driving to and from PBC job sites or meetings, cell phone and computer usage, vehicles, mileage, travel expenses, taxi cab fares, parking, tolls, insurance, marketing and any other costs incurred.

Tend's loaded hourly rates are valid thru December 31,2011 and are subject to change for 2012.

Program Manager Quality Assurance/Quality Control (QA/QC) Senior Lead Commissioning Authority (MEPFP Engineer)	Per Hour Per Hour	\$172.32 \$155.67
	Per Hour	\$155.67
Senior Lead Commissioning Authority (MEPEP Engineer)		Ψ100.01
Belliof Lead Confirms Storling Additionty (MELT 17 Engineer)	Per Hour	\$154.11
Commissioning Authority (MEPFP Engineer)	Per Hour	\$149.64
Senior Mechanical/Senior Electrical Engineer/Senior Plumbing/Fire Protection Engineer	Per Hour	\$174.51
Mechanical/Electrical Engineer/Plumbing/Fire Protection Engineer	Per Hour	\$149.64
Energy Engineer	Per Hour	\$129.93
Controls Engineer	Per Hour	\$120.48
Project Architect	Per Hour	\$123.33
Technician	Per Hour	\$92.16
Clerical	Per Hour	\$81.00

SCHEDULE D

INSURANCE REQUIREMENTS COMMISSIONING AUTHORITY SERVICES – PS1854D FOR VARIOUS SITES

In general, unless otherwise specified in the assigned Task Orders, the Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

D.1. INSURANCE TO BE PROVIDED

D.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, illness or disease.

D.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, defense, and contractual liability. The Public Building Commission of Chicago, the City of Chicago and the User Agency must be named as Additional Insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

D.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission of Chicago, the City of Chicago and the User Agency must be named as Additional Insured on a primary, non-contributory basis.

D.1.4. Professional Liability

When Architect performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. The policy will include coverage for wrongful acts, including but not limited to errors, acts or omissions, in the rendering or failure to render professional services resulting in a pollution incident. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. Coverage must be maintained for two years after substantial completion. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

D.1.5 Property

The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

D.1.6 Valuable Papers

When any plans, designs, drawings, data, media, or other documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

D.2. ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission (PBC) Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the PBC before award of Agreement. The receipt of any certificate does not constitute agreement by the PBC that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the PBC to obtain certificates or other insurance evidence from Consultant is not a waiver by the PBC of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the PBC retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The PBC reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the PBC if any policies are canceled, substantially changed, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Public Building Commission of Chicago, the City of Chicago and the User Agency, their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Public Building Commission of Chicago, the City of Chicago and the User Agency do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The PBC's Director of Risk Management maintains the rights to modify, delete, alter or change these requirements.

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements. Insurance requirements may be altered, including, but not limited to naming other entities or persons as additional insureds, based upon the issuance of specific task orders.

SCHEDULE E

KEY PERSONNEL
COMMISSIONING AUTHORITY SERVICES - PS1854D
FOR
VARIOUS SITES

AVAILABILITY OF STAFF

VAME	15016
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George Bouris, P.E., CxA	Program Manager
Ion Christopher Larry, P.E., CEM	QA/QC
Thomas J. Lohner, P.E.	QA/QC
Fazal Mahmood, P.E., CxA, LEED AP	Mechanical Engineer
Richard Ang, P.E., CxA	Mechanical Engineer
Terrance D. Mailoy, P.E., CEM	Mechanical Engineer
Cyrus Gerami, P.E, LEED AP, CxA	Mechanical Engineer
Hirak Patel, P.E., CEM, CxA, LEED AP	Mechanical Engineer
Pablo Benitez, EIT	Mechanical Engineer
Paul Rogas, P.E., CxA	Electrical Engineer
Michael Stone, P.E.	Electrical Engineer
Michael Schiro, EIT	Electrical Engineer/Controls
Gabe Gorsline, EIT, LEED AP	Electrical Engineer/Controls
John Huston, P.E.	Controls/Energy Engineer
Brian Vaughn, P.E.	Controls/Energy Engineer
Ryan Droege	Controls/Energy Engineer
Robert H. Thompson, CPD	Plumbing/Fire Protection Engineer
Hanna Kalinowski	Plumbing/Fire Protection Engineer
Andrew Grabowski	Plumbing/Fire Protection Engineer
Justin Sartler	Plumbing/Fire Protection Engineer
John Stryker, AIA, LEED AP	Project Architect
Horeya Czaplewski, R.A., LEED AP	Project Architect
Jacob J. Carlile	Project Architect

Teng has assembled a team that will be assigned and dedicated to work on the PBC's Commissioning Authority Services Task Order. Our team is comprised of Mechanical, Electrical, Controls/Energy Engineers, as well as Architects, Certified and Commissioning Agents along with LEED specialists.

As task orders are awarded, engineers and architects who are a part of this team are immediately reassigned based on the required skill set to meet the PBC's needs for the individual task orders. In addition to the assigned project team, Teng has the resources of over 350 additional technical staff members from which we can draw on as needed. Additional staff members will be added or removed from the team as the needs of the PBC warrant.

SCHEDULE F-1

DISCLOSURE OF RETAINED PARTIES
COMMISSIONING AUTHORITY SERVICES - PS1854D
FOR
VARIOUS SITES

DISCLOSURE OF RETAINED PARTIES

A.	Definitions and Disclos	<u>sure Requirements</u>
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- 1. As used herein, "Consultant" means a person or entity who has any contract with the Public Building Commission of Chicago ("Commission").
- 2. Commission bids, contracts, and/or qualification submittals must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.
- 3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

В.	Certifi	cation

3. Retai	to or in connection with the ned Parties:	contract is list	ted below. Attach addit	ned by the Consultant with respectional pages if necessary. Fees (indicate
3		si relamed or	anticipated to be retain	ued by the Consultant with respec
2.	Name of Consultant:		ciates, Inc.	and by the Conquitant with rospec
	Description or goods or serv	vices to be pro	ovided under Contract:	Commissioning Services
1.	This Disclosure relates to th		ansaction.	sioning Authority Service
	Consultant hereby certifies a	as follows:		

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained:

4. The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

Rym2	May 12, 2011
Signature	Date
Byron Danley, S.E., P.E.	Senior Vice President
Name (Type or Print)	Title

Subscribed and sworn to before me

this $\frac{12th}{\Lambda}$ day of $\frac{May}{\Lambda}$ 2011

Notary Public

"OFFICIAL SEAL"
MISTY LYNCH
Notary Public, State of Illinois
My Commission Expires 01/27/14

DISCLOSURE AFFIDAVIT

Name: <u>Teng</u>	& Associates, Inc.
Address: 205	N. Michigan Avenue; Chicago, Illinois 60601
	312. 616. 0000
Federal Employe	er I.D. #.:Social Security #:N/A
Nature of Transa	ction:
[] Sale or purch [] Construction [¾ Professional S [] Other	
that in the event a completed Disc	
The undersigned	Ivan J. Dvorak , as President and CEO (Name) (Title)
and on behalf of	(Name) (INIE) Teng & Associates, Inc.
	er" or "Contractor") having been duly sworn under oath certifies that:
I. DISCLOSU	RE OF OWNERSHIP INTERESTS
bidders/propose	olution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all rs shall provide the following information with their bid/proposal. If the question is not applicable the answer is none, please answer "none".
Bidder/Proposer	/Contractor is a: Corporation LLC Partnership LLP Joint Venture Not-for-Profit Corporation Sole Proprietorship Other

•	
	ors of corporation or LLC ttach list):
oe) Name (Print or Type)	Title (Print or Type)
·	
Address	Ownershi Interest
Queen Street East ite 301	
	%
ampton, Ontario L6V 4MB	
	Name (Print or Type) Ls form. Ind addresses of all shareholders owning proportionate ownership of the corporate ownership

If "yes" provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

SECTION 2. PARTNERSHIPS N/A

	Name o	of Partners (Print or Ty	rpe)		Percenta	age Interest
						%
		-				· %
						
			_		· · · · · · · · · · · · · · · · · · ·	%
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ION 3. SOLE F	PROPRIETOR	RSHIP N/A				
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he name and a control is being	address of su or may exerc	any other party is co	nstructively control	itrol and the i	er person or relationship	legal entity, under which
he name and a control is being	address of su or may exerc	any other party is co	nstructively control	itrol and the i	er person or relationship	legal entity, under which
he name and a control is being Na	address of su or may exerc ame(s)	any other party is co	nstructively control pssessing such cor	Address(es)	er person or relationship	legal entity, under which
he name and a control is being No.	address of su or may exerc ame(s)	any other party is co ch person or entity po ised.	nstructively control essessing such cor	Address(es)	n/A	under which

ECTION 5. NOT-FOR-F	PROFIT CORPORATIONS	N/A	
State of incorporation	n	· ·	<u> </u>
. Name of all officers a	and directors of corporation (o	or attach list):	
ame (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
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NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

- The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
 - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in

violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³

- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating⁴ in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
 - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

B. SUBCONTRACTORS

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been

convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bidrigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.

- For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

•	If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor sh explain below. Attach additional pages if necessary.		
		· •	
		- -	

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction⁵, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains. C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602.

	Komfr
	Signature of Authorized Officer
	Ivan J. Dvorak, P.E.
	Name of Authorized Officer (Print or Type) President and CEO Title
	312. 616. 0000
	Telephone Number
State of	
County ofCook	
Signed and sworn to before me on this 4th	day ofMay, 20 <u>11</u> by
Ivan J. Dvorak, P.E. (Name) as P	resident and CEO (Title) of
Teng & Associates, Inc.	(Bidder/Proposer or Contractor)

Vara J. Ott- Mahlum Notan Public Signature and Seal

DARBAA A COT-MAHLUM Noter White State of Illinois My Commission Expires 11/02/14

Owners / Officers / Directors

Teng & Associates, Inc.

Dated: October 5, 2010

OWNERS

OWITER		
Trow Global U.S., Inc.	2711 Centerville Road Suite 400, Wilmington, DE 19808	100% Owner
DIRECTORS		
Ivan J. Dvorak	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Director
Vladimir Stritesky	56 Queen St. East Suite 301, Brampton, ON L6V4M8	Director
Timothy D. Neumann	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Director
OFFICERS		
Ivan J. Dvorak	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	President & CEO
Donna M. Floerchinger	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Senior Vice President & CFO
Greg Henderson	56 Queen St. East Suite 301, Brampton, ON L6V4M8	Senior Vice President
David Kleiman	56 Queen St. East Suite 301, Brampton, ON L6V4M8	Senior Vice President
R. Shankar Nair	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Senior Vice President
Timothy D. Neumann	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Senior Vice President
Byron T. Danley	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Senior Vice President
H. Bryce English	56 Queen St. East Suite 301, Brampton, ON L6V4M8	Vice President
Laurence J. Buchman	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Mark A. Mikrut	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Thomas Hoepf	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Thomas J. Lohner	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Jerry J. Cibulka	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Barbara Kooper-Klein	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Jefrey D. Jakalski	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
Robert J. Stern	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
John Houston	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President
John Samis	205 N. Michigan Ave. Suite 3600 Chicago, IL 60601	Vice President

DISCLOSURE AFFIDAVIT

Name: Exp Global Inc.		·	
Address: 56 Queen Street East,	suite 301, Brampton,	Ontario, Canada L6V 4M8	
Telephone No.: (905)796-3			
Federal Employer I.D. #.: n/a	Social Securi	ty #:	
Nature of Transaction:			
[] Sale or purchase of land [] Construction Contract ☑ Professional Services Agreemer [] Other	•		
transactions with the Public Buildir that in the event the Contractor is a a completed Disclosure Affidavit.	ng Commission of Chicag i joint venture, the joint ve	nture and each of the joint ventor	re partners must submit
The undersigned David Kleir	man , as	resident, General Counsel and Secretary	<u>'</u>
(Name)		(TRIE)	-
("Bidder/ Proposer" or "Contractor")	having been duly sworn	under oath certifies that:	
1. DISCLOSURE OF OWNERS!			
Pursuant to Resolution No. 5371 bidders/proposers shall provide the answer "NA". If the answer is non-	ne following information w	oners of the Public Bullding Cor with their bid/proposal. If the qu	nmission of Chicago, all estion is not applicable,
Bidder/Proposer/Contractor is a:	✓ Corporation ☐ Partnership ☐ Joint Venture ☐ Sole Proprietorship	LLC LLP Not-for-Profit Corporation Other	

SE			ITED LIABILITY COMPANY (da	LLC)
a.	State of Incorporation	or organization Canad		
þ.	Authorized to do busi	ness in the State of Illinois:	Yes[] No 💢	
C.	Names of all officers (or at	of corporation or LLC tach list):		ors of corporation or LLC
	me (Print or Type) attached Schedule A	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
d.	Indicate here or attac	ch a list of names and addrercent (7.5%) of the propor	esses of all shareholders own tionate ownership of the corpo	ing shares equal to or in excess of oration and indicate the percentage
	interest of each.	, ,		
	Name (Print or Ty	pe)	Address	Ownership
G	eneral Atlantic E	3.V. 3 Pickwick Pla	iza, Greenwich, Connecticut 068	30 Interest approx. 40 %
				%
_				
_				
e.	For LLC's, state whe	ther member-managed or i	dentify managing member:	
f.	Is the corporation or	LLC owned partially or con	npletely by one or more other o	corporations or legal entities?
wi ex	th a honoficial owners	thin interest of 7.5% or mo	ore in the corporation contract ation A, and Corporation A	tion or entity such that any person ting in the PBC is disclosed. For is contracting with the PBC, then

Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of

which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

Ver 09-23-2009

SECTION 2. PARTNERSHIPS

	Name of Partners (Print or Type)	Percentage Interest
			%
			%
			%
	•		
ECTION	3. SOLE PROPRIETORSHIP		
beha	bidder/proposer or Contractor is a solf of any beneficiary: Yes [] for one of this Se	No []	acting in any representative capacity of
If the	e sole proprietorship is held by an a	gent(s) or a nominee(s), indicat	te the principal(s) for whom the agent
	Name	(s) of Principal(s). (Print or Type	e)
the r	n interest of a engues or any other i	party is constructively controlled	d by another person or legal entity, st ol and the relationship under which st
	Name(s)	A	ddress(es)
		·	

If the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

Name(s)

Address(es)

	·		
		<u> </u>	<u></u>
<u> </u>		. .	•
SECTION 5. NOT-FOR-F	PROFIT CORPORATIONS		
a. State of incorporation	n		·
b. Name of all officers a	and directors of corporation (or attach list):	
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
			
· · · · ·			
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NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

- 1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
 - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in

violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³

- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating⁴ in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
 - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
 - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

B. SUBCONTRACTORS

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been

convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bidrigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.

- For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

3.	or is unable to certify to Attach additional page	tements [(Section I	(C)], the Contractor shall

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction⁵, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602

Chicago, Director of Procurement, 50 W. W.	ashington, Room 200, Chicago, IL 60602.
	DR.
	Signature of Authorized Officer
	David Kleiman
	Name of Authorized Officer (Print or Type) Executive Vice-President, General Counsel - Secretar Title
	(905)796-3200
	Telephone Number
Povince State of Ontario, Canada CHy County of Branpton	
Signed and swom to before me on this 3rd do	Tive-Vice President (Title) of
Exp Global Inc.	(Bidder/Proposer or Contractor)

Notary Public Signature and Seal

SCHEDULE A

EXP GLOBAL INC.

	OFFICERS Position Hold
Name	Position Held
Vladimir Stritesky	President and Chief Executive Officer
Gregory Henderson	Executive Vice President and Chief Financial Officer
Wilfrid Morin	Executive Vice President and Chief Operating Officer
Anthony Brown	Executive Vice-President and Chief Business Development Officer
David Kleiman	Executive Vice-President, General Counsel and Secretary
Ben Schwartz	Executive Vice President and Chief Human Resources Officer
H. Bryce English	Vice-President and Treasurer

DISCLOSURE AFFIDAVIT

Name: EXP U.S. Services Inc.
Address: 56 Queen Street East, suite 301, Brampton, Ontario, Canada L6V 4M8
Telephone No.: (905)796-3200
Federal Employer I.D. #.: 46-0523964 Social Security #:
Nature of Transaction:
[] Sale or purchase of land [] Construction Contract M Professional Services Agreement [] Other
Instructions: FOR USE WITH ANY OF THE ABOVE TRANSACTIONS. Any firm proposing one of the above transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.
The undersigned David Kleiman , as Secretary
(Name) (Title) and on behalf of Exp U.S. Services Inc.
("Bidder/ Proposer" or "Contractor") having been duly swom under oath certifies that:
L. DISCLOSURE OF OWNERSHIP INTERESTS
Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all bidders/proposers shall provide the following information with their bid/proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".
Bidder/Proposer/Contractor is a: Corporation

b. Authorized to do bu	siness in the State of Illinois:	Yes [] No [X]	
c. Names of all office	rs of corporation or LLC attach list):	Names of all direct	ors of corporation or LLC attach list):
Name (Print or Type) see attached Schedule A	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
			· ·
interest of each.	ре)	sses of all shareholders ownir ionate ownership of the corpor Address	ng shares equal to or in exc ation and indicate the perce Ownership
Name (Print or Ty Exp Global Ind	C. 56 Queen Street East.	suite 301 Premotos Catado Canada Lova da	_ Interest
Name (Print or Ty Exp Global In	C. 56 Queen Street East,	suite 301. Brampton, Ontario, Canada L6V 4M	Interest 100 %
	C. 56 Queen Street East,	suite 301. Brampton, Ontario, Canada L6V 4Mi	*
	C. 56 Queen Street East,	suite 301. Brampton, Ontario, Canada L6V 4Mi	100 %

If "yes" provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

SECTION 2. PARTNERSHIPS

	Name of Partners (Print or Type	Percentage Interest
		%
		%
		%
E	CTION 3. SOLE PROPRIETORSHIP	
	The bidder/proposer or Contractor is a sole proprietor behalf of any beneficiary: Yes [] No [] If NO, complete items b. and c. of this Section 3.	ship and is not acting in any representative capacity
	- · · · · · · · · · · · · · · · · · · ·	
•	If the sole proprietorship is held by an agent(s) or a nominee holds such interest.	minee(s), indicate the principal(s) for whom the ager
•	If the sole proprietorship is held by an agent(s) or a no nominee holds such interest.	
	If the sole proprietorship is held by an agent(s) or a ne	
	If the sole proprietorship is held by an agent(s) or a no nominee holds such interest.	
	If the sole proprietorship is held by an agent(s) or a no nominee holds such interest.	
	If the sole proprietorship is held by an agent(s) or a no nominee holds such interest.	s). (Print or Type)
	If the sole proprietorship is held by an agent(s) or a nonminee holds such interest. Name(s) of Principals If the interest of a spouse or any other party is constructed in the name and address of such person or entity possess.	s). (Print or Type)
	If the sole proprietorship is held by an agent(s) or a nonominee holds such interest. Name(s) of Principals If the interest of a spouse or any other party is constructed name and address of such person or entity posses control is being or may exercised.	ctively controlled by another person or legal entity, st
	If the sole proprietorship is held by an agent(s) or a nonominee holds such interest. Name(s) of Principals If the interest of a spouse or any other party is constructed name and address of such person or entity posses control is being or may exercised.	ctively controlled by another person or legal entity, st
	If the sole proprietorship is held by an agent(s) or a nonominee holds such interest. Name(s) of Principals If the interest of a spouse or any other party is constructed name and address of such person or entity posses control is being or may exercised.	ctively controlled by another person or legal entity, st

S

if the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

Name(s)

Address(es)

			<u>. </u>
		- -	
			
			
		•	
SECTION 5. NOT-FOR-	PROFIT CORPORATIONS		
			· · · · · · · · · · · · · · · · · · ·
 Name of all officers in 	and directors of corporation	(or attach list):	
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Time)
	_	(mit of Type)	Title (Print or Type)
		•	
			
			•

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

- The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
 - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting
 with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in

violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³

- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating4 in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
 - Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b)
 - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

B. SUBCONTRACTORS

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been

convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bidrigging, bid-rotating or any similar offenses of any state or the United States which contains the same
elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in
Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such
conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event
any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation
to the certification.

- For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

υ,	explain below. Attach additional pages if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

D. OTHER TAXES/FEES

- The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction⁵, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the

•	
The Contractor must report any change in any of the Commission of Chicago within 14 days of the effective Disclosure Affidavit. Failure to comply with this requires to do business with the PBCC. Deliver any such new Chicago, Director of Procurement, 50 W. Washington, F	ment is grounds for your firm to be deemed non-qualifie
	DK -
	Signature of Authorized Officer
	David Kleiman
	Name of Authorized Officer (Print or Type) Secretary
	Title
	(905)796-3200
	Telephone Number
Provide State of Ontano, Canada City	
County of Brangton	
Signed and sworn to before me on this 3rd day of May	1, 20_1_by
David Kleiman (Name) as	(Title) of
Exp U.S. Services Inc. (Bidder/F	Proposer or Contractor)
- Sin	•
Notary Public Signat	ure and Seal

SCHEDULE A

EXP U.S. SERVICES INC.

The more services of the servi	OFFICERS	
- 1-10	Position Held	<u>- 1 - 2 - 2 - 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1</u>
Vladimir Stritesky	Chief Executive Officer	
Greg Henderson	Chief Financial Officer	-
Ivan Dvorak	President	
Paul Gibson	Vice-President	
Donna Floerchinger	Vice-President	
H. Bryce English	Treasurer	
David Kleiman	Secretary	

Form W-9 (Rev. October 2007) Department of the Treasury

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

Interna	Revenue Service				send to the IRS.
		on your income tax return)			
e 2.	Teng & Assoc	iates Inc			
gg	Business name, If	different from above		 	
Print or type Specific Instructions on page					
e Š	Check appropriate	box: Individual/Sole proprietor Corporation Partnership			
₽₽	Limited liabilit	box: Individual/Sole proprietor Corporation Partnership y company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership			- Exempt
₽ 5	Other (see instri	uctions) >	nership) 🟲	.S L	payee
Print or type Instructions		street and and an array	Dogwood		
<u>ت</u> آ		n Ave, Ste 3600	nequester	s name and addre	ess (optional)
₹	City, state, and ZI				
Š	Chicago IL 60	601			
See	List account numb	er(s) here (optional)		<u></u>	
တ		(, (-)			
Par	Taxpave	er Identification Number (TIN)			
		- Tuestanouton Hamber (THI)			
Enter	your TIN in the ap	propriate box. The TIN provided must match the name given on Line 1 to	avoid	Social security	number
Dacka	p withintbling, For	INDIVIDUALS, This is voir social security number (SCN). However, for a resi-	-ta	!	1
anci,	some proprietor, or	disregarded entity, see the Part I instructions on page 3. For other entities ion number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 1.	_ 14 1.		
Note.	If the account is in	n more than one name, see the chart on page 4 for guidelines on whose	page 3. I		or
numb	er to enter.	This that one hame, see the chart on page 4 for guidelines on whose		Employer identi	
Part	Certifica	tion		36 mbar i	
				1.1.4.1	Carribonique
	penalties of perjui			a disper	arden of perjugacy are
1. IN	e number shown o	on this form is my correct taxpayer identification number (or I am waiting for	or a numi	oer to be issued	to me), and
2. I a	III NOL SUDJEÇT TO D	eCKUD Withholding because: (a) I am exempt from backup withholding and	/h\		A A CONTRACT OF STREET
		 that I am subject to backup withholding as a result of a failure to report no longer subject to backup withholding, and 	all intere	st or dividends,	or (c) the IRS has
3. la	m a U.S. citizen o	other U.S. person (defined below).			loomidde tall oo beel For Galace
Certifi	cation instruction	s. You must cross out item 2 above if you have been notified by the IDC A			
withho	lding because you	have failed to report all interest and dividends on your tax return. For real	inat you a Lestate tr	are currently sul	oject to backup
		enerally, payments other than interest and dividends, you are not required See the instructions on page 4.	l to sign t	he Certification	, but you must
Sign		on page 1.			
Here	Signature of U.S. person	WH all		_ •	
		Date	<u>• ► S</u>	5-3-11	
Gen	eral instru	ctions ' Definition of a US			

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your T!N, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

The U.S. owner of a disregarded entity and not the entity.

PUBLIC BUILDING COMMISSION PROFESSIONAL SERVICES AGREEMENT MWBE REPORT

MBE/WBE Certifications

Is your organization currently certified as a Minorit Women-Owned Business Enterprise ("WBE") with any o	y-Owned Business Enterprise ("MBE") or of the following agencies or organizations?
Yes <u>X</u> No	
If "Yes" check and ATTACH copy of current Letter of	Certification:
Certifying Agency: State of Illinois - Department of Central Management Se Women's Business Development Center Chicago Minority Business Development Council City of Chicago County of Cook Metropolitan Water Reclamation District If yes, please submit a one current copy of your firm's le	WBE
or the applicable agencies listed above.	
Teng & Associates, Inc.	May 12, 2011
Company Name	Date
Byron Danley, S.E., P.E. Print Name	Signature

IMPO	RTANTA MANA			HODOUGH, M	WU THE CERTIF	CATE HOLDER	E HOLDER. THIS CERTIFICATE DOES OF INSURANCE DOES NOT CONSTIT	UTE OF A CONTRA
PRO	RTANT: If the certificate holder is an ADDITION in posicies may require an endorsement. A state DUCER	ment on th	s certifical	e does not confe	r rights to the co	ROGATION IS W <i>i</i> ortificate holder (i	AIVED, subject to the terms and condit	lions of the policy,
Aon	Reed Stenhouse Inc.			CONTACT NAME			To Maria	
20 B	ay Street			PHONE (A/C, NO.	EXT);		FAX (A/C, NO.);	
	10, ON M5J 2N9			EMAIL ADDRESS			1 , 24 (400, 401);	
Cana	03		i	PRODUCER CUST	TOMER ID#.			
NSII	RED				INSURER(S) AFF	ORDING COVERA	25	
	& Associates, Inc.			MSURER A:		rican Insurance	345	NAIC#
205 N	a Associates, Inc. Iorth Michigan Avenue, Suite 3600			INSURER B;		ican insurance		
Chica	go, IL 60601			INSURER C:		ance Company	14	
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			- [MSURER E:			·····	
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He i	TO CERTIFIC THE	CERTIFICA	TES NUM	BER: CAUS	35	PENGE	MAI Little D. Co.	
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ER.	TYPE IF INSURANCE		· · · · ·			EXMS, EXCLUSIO	NS AND CONDITIONS OF SUCH POL	CIES. LIMITS SHO
TR.	<u></u>	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF	POLICY EXP	LHOTS	
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	C) DEDUCTIONS		i	ľ		ľ	AGGREGATE	\$10,000,000
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COI_TENG^_1854D Term Agreement_ 2010509 00000 - 01-14-06-05

<u>ADDITIONAL INSUREDS — BLANKET BASIS</u>

IT IS AGREED that:

In respect to the operations of the Insured for which Certificates of Insurance have been issued by or on behalf of the Insurer stating the owner and/or interested parties are Additional Insureds under this Policy, such named persons or corporations are included as Additional Insureds as set out in the relative Certificates of Insurance but only insofar as their legal liability arises out of the operations of the Named Insured(s), subject to the provisions this automatic coverage applies only where a copy of such Certificate is on file with the Insurer and the coverage afforded the Additional Insured is only to the extent of the Limit(s) of Liability shown in the Certificate of Insurance or the Limit(s) of Liability required by the contract, whichever is the lesser.

Where contract provisions require a property damage deductible of an amount less than specified for the Named Insured(s) in Endorsement No. 12, the Named Insured(s) SHALL indemnify the Insurer to the extent of the requirements of Endorsement No. 12.

The Insurer shall endeavor to give written notice to such named persons or corporations as stated above of cancellation or material change in this Policy as set out in the related Certificates of Insurance.

Ref. No. 320006346666

CERTIFICATE OF INSURANCE

Aon Reed Stenhouse Inc. 20 Bay Street Toronto ON M5J 2N9

> 416-868-5500 fax

416-868-5580

Re:

Commissioning Authority Services

Contract No. PS1854D

The Public Building Commission of Chicago Richard J. Daley Center Room 200 Chicago, IL 60602 USA

insurance as described herein has been arranged on behalf of the insured named herein under the following policy(ies) and as more fully described by the terms, conditions, exclusions and provisions contained in the said policy(ies) and any endorsements attached thereto.

Insured

Teng & Associates, Inc. 205 North Michigan Ave Suite 3600 Chicago, IL 60601 USA

Coverage

Property		Insurer	Zurich Insurance Company Ltd				
	Policy #	8840363	* * * * * * * * * * * * * * * * * * * *				
	Effective	30-Apr-2011	Expiry	29-Jul-2012	***************		
	Perils Insured		Physical Loss or t	Damage (except as excluded)			

Loss Payable

Loss, if any, is payable to the following as their interest may appear.

The Public Building Commission of Chicago, The City of Chicago and the User Agency with respect to Property

Terms and / or Additional Coverage

Property Policy is Primary and Non-Contributory and includes Waiver of Subrogation.

THIS CERTIFICATE CONSTITUTES A STATEMENT OF THE FACTS AS OF THE DATE OF ISSUANCE AND ARE SO REPRESENTED AND WARRANTED ONLY TO The Public Building Commission of Chicago. OTHER PERSONS RELYING ON THIS CERTIFICATE DO SO AT THEIR OWN RISK.

Dated:

09-May-2011

Issued By: Shaw, Alexandra

Tel:

416-868-2417

on Reed Stenhouse Inc.





CERTIFICATE OF LIABILITY INSURANCE

DATE (05/09/2011)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE OF A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER. IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(les) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). PRODUCER CONTACT NAME: Aon Reed Stenhouse Inc. PHONE (A/C, NO. EXT): 20 Bay Street FAX (A/C, NO.): Toronto, ON M5J 2N9 EMAIL ADDRESS: Canada PRODUCER CUSTOMER ID #. INSURER(S) AFFORDING COVERAGE NAIC # INSURED INSURER A: Zurich American Insurance Teng & Associates, Inc. INSURER B: Zurich American Insurance 205 North Michigan Avenue, Suite 3600 INSURER C: Zurich Insurance Company Ltd. Chicago, IL 60601 INSURER D: INSURER E: INSURER F: COVERAGES: CERTIFICATES NUMBER: CAU35 **REVISION NUMBER:** THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED.
NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY
PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN TYPE IF INSURANCE ADDL INSR SUBR POLICY NUMBER POLICY EFF POLICY EXP LIMITS LTR WVD (MM/DD/YYYY) (MM/DD/YYYY) GENERAL LIABILITY GLO 8249924-00 02/01/2011 04/30/2011 EACH OCCURRENCE \$1,000,000 COMMERCIAL GENERAL LIABILITY DAMAGE TO RENTED PREMISES (EACH \$300,000 OCCURRENCE) ☐ CLAIMS MADE Ø OCCUR MED EXP (ANY ONE PERSON) \$10,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$2,000,000 GEN'L AGGREGATE LIMIT APPLIES PER; PRODUCTS — COMP/OP AGG \$2,000,000 POLICY PROJECT Loc AUTOMOBILE LIABILITY BAP 8249923-00 02/01/2011 02/01/2012 COMBINED SINGLE LIMIT \$1,000,000 ANY AUTO (EA ACCIDENT) ALL OWNED AUTOS BODILY INJURY (PER PERSON) SCHEDULED AUTOS ☐ HIRED AUTOS BODILY INJURY (PER ACCIDENT) NON-OWNED AUTO PROPERTY DAMAGE П (PER ACCIDENT) MBRELLA LIAB □ OCCUR 8519672 02/01/2011 04/30/2011 EACH OCCURRENCE \$10,000,000 EXCESS LIAB CLAIMS MADE AGGREGATE \$10,000,000 ☐ DEDUCTIBLE RETENTION WORKERS COMPENSATION AND EMPLOYERS' LIABILITY WC STATU-TORY OTHER LIMITS ANY PROPRIETOR/PARTNER /EXECUTIVE OFFICER/MEMBER EXCLUDED? E.L. EACH ACCIDENT (MANDATORY IN NH) E.L. DISEASE - EA EMPLOYEE If yes, describe under SPECIAL PROVISIONS E.L. DISEASE-POLICY LIMIT below Description Of Operations / Locations / Vehicles / (Attach ACORD 101, Additional Remarks Schedule, if more space is required) Commissioning Auhority Services Contract No. PS1854D Only with respect to the above and arising out of the Named Insured's operations are the following name(s) added to the policy as Additional Insured(s). The policy limits are not increased by the addition of such Additional insured(s) and remain as stated in this Certificate The Public Building Commission of Chicago, The City of Chicago and the User Agency where required by written contract or written agreement with respect to Commercial General lability, Automobile Liability and Umbrealia Liability. All polices are Primary and Non-Contributory. A Walver of Subrogation is provided for Liability arising out of the operations of the Named Inusred. CERTIFICATE HOLDER CANCELLATION The Public Building Commission of Chicago SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE Richard J. Daley Center WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. Room 200 AUTHORIZED REPRESENTATIVE Chicago, IL 60602



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION

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Ca	vig	mac & Associates				CONTACT NAME: Certificate Department PHONE (ACC, No. Ext): 619-234-860							
Sa	n B	Street, Suite 1800 Piego, CA 92101-8005				(A/C, No. Ext): 619-234-6848 (A/C, No.: 619-234-8 E-MAIL ADDRESS: certificates@cavignac.com							
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ACORD 25 (2009/09)

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CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)	_
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20 Bay Street				EMAIL ADDRESS:					
Toronto, ON M5J 2N9				PRODUCER CUSTOMER 10 #.					
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INSURED				INSURER(S) AFFORDING COVERAGE INSURER A: Zurich American insurance				HAIC #	
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205 North Michigan Avenue, Suite 3600									
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