### **PUBLIC BUILDING COMMISSION OF CHICAGO**



**AGREEMENT** 

**CONTRACT NUMBER PS1512** 

**WITH** 

GSG CONSULTANTS, INC.

**TO PROVIDE** 

**GEOTECHNICAL INVESTIGATION & REPORTS** 

**FOR** 

WHITNEY YOUNG BRANCH LIBRARY VICINITY OF 7901 SOUTH KING DRIVE CHICAGO, ILLINOIS

**PROJECT NUMBER: 08070** 

Mayor Richard M. Daley
Chairman

Erin Lavin Cabonargi Executive Director

Richard J. Daley Center, Room 200 50 West Washington Street Chicago, Illinois 60602 www.pbcchicago.com

#### **EXECUTION PAGE**

## GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

THIS AGREEMENT effective as of March 23, 2009, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and GSG Consultants, Inc. with offices at 855 West Adams, Suite 200, Chicago, Illinois (the "Consultant").

#### Background Information - Recitals:

Whereas, The Commission on behalf of the City of Chicago (referred to in this Agreement as the "User Agency"), intends to undertake the construction and/or improvement of the following facilities in Chicago, Illinois described in Schedule A attached to the Agreement (the "Project"):

Whereas, the Commission requires certain professional services described in the Agreement, in connection with the Project and desires to retain the Consultant on the terms and conditions set forth in the Agreement to perform such Services. The Consultant desires to be so retained by the Commission and has represented to the Commission that the Consultant has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

Whereas, the Consultant has consulted with the Commission and the User Agency, made site inspections, and taken such other actions as the Consultant deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Project and the Services. The Consultant represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Project in accordance with standards of reasonable professional skill and diligence.

Whereas, the Commission has relied upon the Consultant's representations in selecting the Consultant.

PUBLIC BUILDING COMMISSION-OF CHICAGO  Erin Lavin Cabonargi Executive Director  Date: 4/1/09
Edgrick Johnson Secretary  Date: 4-0,-00
GSG CONSULTANTS, INC.:  President  Date: 03/27/2009
AFFIX CORPORATE
SEAL, IF ANY, HERE
County of: Cook
State of: Illinois
Subscribed and sworn to before me by Guillermo Garica and
on behalf of Consultant this 27th day of 03, 2009.
Mann El ena Perez Notary Public
My Commission expires CIAL SEAL 20 00 4 Maria Elena Perez (SEAL OF NOTARRAY Public, State of Islinois My Commission Expires 04/18/11
Approved as to form and legality  Neal & Leroy, LLC

#### TERMS AND CONDITIONS - ENVIRONMENTAL CONSULTING

1. <u>Definitions.</u> The following phrases have the same meanings for purposes of this Agreement.
a. Agreement means this professional services agreement for environmental consulting services, including all exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, modifications, or revisions made in accordance with the terms hereof.
b. Commission as herein referred to shall include the Public Building Commission of Chicago, the Commission's Chairman, Secretary, Assistant Secretary, Executive Director, Director of Construction, Managing Architect, Project Manager, or designated consultant or consultants, acting on behalf thereof, as designated by the Commission in writing, for the purpose of giving authorizations, instructions, and/or approval pursuant to this Agreement.
c. Contract Documents consists of all of the component parts of the Contract between the Commission and the General Contractor for the construction and improvement of the Project including, without limitation, the general and special conditions, technical specifications, drawings, addenda, bulletins and modifications thereto.
d. Consultant means the company or other entity identified in this agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of this Agreement.
e. <b>Key Personnel</b> means those job titles and persons as identified in those positions as identified in Consultant's proposal and accepted by the Commission.
f. Project means the construction and/or improvement of the facility or facilities specified in this Agreement.
g. Services means, collectively, the services, duties and responsibilities that are necessary to allow the Consultant to provide the Services required by the Commission under this agreement.
h. Sub-consultant means a firm hired by the Consultant to perform professional services related to the construction and/or improvement of the Project.
i. Technical Personnel as herein referred to include partners, officers and all other personnel of the Consultant, including technical typists assigned to the Project, exclusive of general office employees.
j. User Agency means the municipal corporation that requested the Commission to undertake the construction and/or improvement of the Project.
2. <u>Incorporation of Documents.</u> The documents identified below in this paragraph are hereby

incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of each of such documents and will comply fully with all applicable

and specifications for the Project have been prepared, as set forth and described in this Agreement (the "Project

Project Documents. The plans and specifications for the Project, to the extent that plans

portions thereof in performing the Services.

Documents").

b. <u>Policies Concerning MBE and WBE.</u> The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

#### 3. Engagement and Standards for Performing Services.

- a. <u>Engagement.</u> The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
- b. <u>Performance Standard.</u> The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing environmental consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. If in the course of performing the Services, Consultant identifies any environmental condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission. The Consultant further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services in the manner required by the Agreement.
- c. <u>Consultant's Personnel</u>. Consultant must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide these copies upon request by the Commission. Consultant remains responsible for the professional and technical accuracy of all Services furnished, whether by the Consultant or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.
- d. <u>Confidentiality</u>. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times use it best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of its Services. Consultant must at all times act in the best interests of the Commission and User Agency consistent with Consultant's professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission.
- e. <u>Independent Contractor.</u> In performing the Services under this Agreement, Consultant shall at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- f. <u>Limitations on Sub-Consultants</u>. Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.

- g. <u>Failure to Meet Performance Standard</u>. If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
- h. <u>Changes to the Services.</u> The Commission may from time to time, request changes to the terms of the Agreement or in the Scope of Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement. The Commission shall not be liable for any changes absent such written amendment.

#### 4. Duties and Obligations of Consultant

- a. <u>Nondiscrimination.</u> The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. <u>Seq.</u> the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. <u>Seq.</u> the Illinois Human Rights Act 775 ILCS 5/1-101 et. <u>Seq.</u> and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, inclusive and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2004, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission and the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.
- b. <u>Employment Procedures, Preferences and Compliances.</u> Salaries of employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. <u>Compliance with Policies Concerning MBE and WBE.</u> Without limiting the generality of the requirements of the policies of the Commission referred to in paragraph 2 above, the Consultant agrees to use best efforts to utilize minority business enterprises for not less than twenty five percent (25%) for MBE and five percent (5%) for WBE of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, concerning participation of minority business enterprises and women business enterprises on contracts awarded by the Commission and to furnish to the Commission, such reports and other

information concerning compliance with such Resolution as may be requested by the Commission from time to time.

- d. <u>Delays.</u> The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- e. <u>Records.</u> The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- f. <u>Time of Essence.</u> The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and performance of all other obligations of the Consultant under this Agreement and any other agreements entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- g. <u>Compliance with Laws.</u> In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, including but not limited to, those referenced in subparagraphs (a) and (b) above and in the documents referred to in paragraph 2 of this Agreement.
- h. <u>Progress Meetings.</u> Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.
- i. <u>Defects in Project.</u> The Consultant shall notify the Commission immediately in the event the Consultant obtains knowledge of a defect in the Project or circumstances which could result in a Project delay or cost overrun.

#### 5. Term.

- a. The term of this Agreement shall begin upon the final execution of this Agreement, and, subject to the provisions of subparagraph (b) below, shall expire upon completion of the Services and acceptance thereof by the Commission or, if the Services are of an ongoing nature, on the completion date specified in such Request for Services. The Commission and the Consultant may, from time to time, by mutual agreement, extend the term of this Agreement by amending this Agreement.
- b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of

the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.

- c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.
- 6. <u>Compensation of Consultant; Reimbursement for Expenses.</u> The Commission shall compensate the Consultant for the Services in the manner set forth Schedule C of this Agreement. In addition, the Commission shall, upon submission of detailed invoices by the Consultant, no more frequently than once every 30 days, and approval by the Commission of those invoices, reimburse the Consultant for all Reimbursable Expenses. As used in this paragraph, the term "Reimbursable Expenses" shall mean those expenses identified as such in this Agreement.
- 7. <u>Rights and Obligations of Commission.</u> In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. <u>Information.</u> The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
- b. <u>Review of Documents.</u> Subject to the provisions of subparagraph 4 (d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
- c. <u>Site Data.</u> To the extent the Commission determines to be necessary for the Consultant to perform the Services, the Commission may furnish, or may authorize the Consultant to obtain from a company or companies approved by the Commission as Reimbursable Expenses: (i) a certified survey of the site or sites; (ii) information concerning locations, dimensions and data pertaining to existing buildings and other improvements; (iii) title information; (iv) information concerning available service and utility lines; and (v) results of test borings and other information concerning subsoil conditions.
  - d. Tests and Reports. To the extent required for the Consultant to perform the Services,

the Commission may furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports; however, the Commission may authorize the Consultant to procure such tests and reports from Sub-Consultants, which must be approved by the Commission. The costs of such Sub-Consultants shall be payable as Reimbursable Expenses.

- e. <u>Legal, Auditing and other Services.</u> The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Project. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
- f. <u>Designated Representatives.</u> The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
- g. <u>Ownership of Documents.</u> All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Project and/or the Services shall be the property of the Commission including copyrights.
- h. <u>Audits.</u> The Commission shall have the right to audit the books of the Consultant on all subjects relating to the Project and/or the Services.
- 8. <u>Indemnification of Commission.</u> The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
- 9. <u>Insurance to be Maintained by Consultant.</u> The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in Schedule D of this agreement.

#### 10. Default.

- a. <u>Events of Default.</u> Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
  - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been give to the Consultant by the Commission;
  - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
    - ii. Any representation or warranty of the Consultant set forth herein or otherwise

delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;

- iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
- v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
- b. Remedies. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
- c. <u>Remedies not Exclusive.</u> No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.

#### 11. Disputes.

- a. <u>General.</u> All disputes arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including without limitation questions concerning permissibility of compensation, and all claims for alleged breach of contract, shall be presented in writing to the Executive Director for final determination.
- b. <u>Procedure.</u> Requests for determination of disputes will be made by the Consultant in writing specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the respective positions of the Consultant and the Project Manager; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identify any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. Consultant will promptly provide the Executive Director with a copy of the request for determination of the dispute. The Project Manager will have thirty (30) business days to respond in writing to the dispute by supplementing the submission or providing its own submission to the Executive Director. Failure by the Project Manager to respond will not be deemed to be an admission of any

allegations made in the request for dispute resolution, but will be deemed to constitute a waiver of the opportunity to respond to such allegation(s), if any. The Executive Director's decision may thereafter be reached in accordance with such other information or assistance as she or he may deem reasonable, necessary or desirable.

c. Effect. The Executive Director's final decision will be rendered in writing no more than forty-five (45) business days after receipt of the response by the Project Manager was filed or was due unless the Executive Director notifies the Consultant that additional time for the decision is necessary. The Executive Director's decision will be conclusive, final, and binding on all parties. Consultant must follow the procedures set out in this Section and receive the Executive Director's final decision as a condition precedent to filing a complaint in the Circuit Court of Cook County or any other court.

The Consultant will not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period. The Executive Director's written determination will be complied with pending final resolution of the dispute.

- 12. <u>Confidentiality.</u> All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project or the Services.
- 13. <u>Assignment.</u> The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
- 14. <u>Personnel.</u> The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.
- 15. <u>Relationship of Parties.</u> The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an agreement of partnership, joint venture, or agency.

#### 16. Miscellaneous.

- a. <u>Counterparts</u>. This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- b. <u>Entire Agreement</u>. This Agreement constitutes the entire understanding and agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.

- c. <u>Force Majeure</u>. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
- d. <u>Governing Law.</u> This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. <u>No Waiver</u>. The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach,
- f. Notices. All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. <u>Reimbursable Expenses.</u> Reimbursable expenses includes those actual expenditures, as identified in Schedule C to this Agreement, which are made by the Consultant and payable by the Commission.
- h. <u>Severability.</u> In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- i. <u>Successors and Assigns.</u> Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- j. <u>Consultant's Authority.</u> Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

SCHEDULES FOLLOW.

## SCHEDULE A SCOPE OF SERVICES

# GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

#### SCOPE OF WORK

Project Name:	Whitney Young Branch Library
PBC Project No.:	08070
Project Address:	7901 South King Drive, Chicago, IL.

#### **Proposal**

The intention of this Request for Proposal is to provide the scope of work and proposal guidelines to the Geotechnical Consultant (Consultant) to prepare a proposal for performing a Geotechnical Investigation at the Whitney Young property at the site address listed above. Attached are the Survey prepared by Survey, Engineers, Geodesitis and Mapping Scientists dated August 10, 2008, site aerial photo and a Proposed Geotechnical Soil Boring Location Map (Figure 1) to assist with familiarizing the Consultant with this site.

#### **Project Information**

The subject property, a rectangular-shaped parcel approximately 0.80 acres, is located at the southwest corner of East 79th Street and South Vernon Avenue and is developed with 4 single-story public and commercial-use buildings. Building 1, Whitney Young Library, is a single-story stone-block and brick building. Building 2, African American Super Store Beauty Supplies General Merchandise, is a single-story retail brick building. Building 3, Tropic Island, is a single-story brick building and is currently used as a restaurant. Building 4, Coin-Op Laundry, is a single-story brick building used as a Laundromat. Previous operations at the property included a gasoline station, paint store/warehouse, repair shop, laundromat, auto service station, and repair shop. A parking garage was noted on the south side of Building 2. A concrete sidewalk was found along the frontage/north side, west, and east sides of the buildings and an alley was found on the south side. Buildings 1 and 2 at the subject property have basements. The buildings were noted as constructed of stone-block and brick masonry on a concrete slab.

Based on information from Phase I Environmental Site Assessment (ESA), the following Recognized Environmental Concern (REC) appear to be applicable to the property:

The property currently includes a laundromat that was noted to have a historic use as a dry cleaning laundromat, auto service gasoline service station, and a paint store. The operations of the laundromat likely required dry cleaning solvents; the auto service station likely required on-site storage and usage of oils, lubricants, and solvents; and the operations of the paint store likely involved the usage and storage of lead-based paints and solvents.

Phase I ESA documentations are available upon request.

The intention is to build the new Whitney Young Library and these borings are to depict the general geotechnical condition of the soils encountered on the site.

#### Permits, Subcontracts, and Health and Safety

Consultant will not be working off-site; therefore, no permits may be required; however, Consultant must verify such requirements and secure permits as appropriate and as needed.

Prior to initiating investigation activities, Consultant and its selected drilling sub-contractor will contact DIGGER to mark underground utilities in public rights-of-way prior to conducting site assessment activities. Consultant will need to subcontract a qualified driller and a geotechnical laboratory.

#### **Boring Location Plan**

Consultant shall develop a boring location plan based on the actual site characteristics, and can utilize the attached proposed boring location plan (Figure 1) as a guide. The location and depth of proposed borings shall be indicated on a sketch accompanying the Consultant's proposal. If the Consultant finds it necessary to change the location and depth of any of the proposed borings, the PBC Project Manager shall be notified and a new location or depth shall be agreed upon between the PBC Project Manager and the Geotechnical Engineer.

#### Sampling and Testing

Benchmark: Consultant shall establish one permanent benchmark with a description and elevation to the nearest 0.01 feet and reference its elevation to the official City of Chicago datum.

Borings: The following are suggested guidelines for number and depth of soil borings for the preliminary geotechnical screening purposes. The geotechnical Engineer is responsible for developing/ recommending his/her own plan based off real-time site information.

- Number of Soil Borings
  - Library Building: Drill a minimum of three soil borings (PSB-1, PSB-2, and PSB-3) at locations as shown in Figure 1.
  - Parking Area: No borings are planned to be drilled at this time.
- Depth of Soil Borings
  - Library Building: The center soil boring (PSB-2 in Figure 1) for the proposed library building shall be drilled to 50 feet depth or to refusal (50 blows/6 inches of penetration), whichever occurs first; the remaining two soil borings (PSB-1 and PSB-3) shall be drilled to 15 feet depth. The 50-foot soil boring shall be drilled first; based on the subsurface conditions at PSB-2 location, the depths of the remaining soil borings may need to be adjusted in the field as needed; and
  - Parking Area: No borings are planned to be drilled at this time.

Soil borings shall be drilled in accordance with the American Society for Testing and Material (ASTM)

Standard D 1586, Penetration Test and Split-Barrel Sampling of Soils. Soil borings shall not be terminated in loose and/or soft soils, consult with PBC Project Manager prior to the termination of that soil boring to ensure that the available data collected will provide adequate design information. If cohesive soils are encountered, undisturbed soil samples shall be obtained with Shelby Tube sampler in accordance with ASTM Standard D 1587, Thin-Walled Tube Sampling of Soils. Obtain one undisturbed sample for each 10 feet of cohesive soil encountered. Record groundwater level measurements during, at completion of boring, and 24 hours following the completion of the boring, as applicable. The geotechnical Engineer shall advise the PBC Project Manager as to any further exploration and testing required to obtain information that the Engineer may require for a professional interpretation of subsoil conditions at the building site. Retain a Surveyor to stake out the boring locations and accurately establish, by engineer's level or transit, the ground surface elevation of each boring.

Soil borings, to confirm the feasibility of infiltration designs or refine their design or locations, are to be located at the proposed infiltration device location. Provide data / information per City of Chicago Stormwater Management Guidance Manual Section 3.2.1.1 Geotechnical Investigations (got to URL http://www.cityof Chicago.org and follow these links: City Departments>Environment>Initiates & Programs>Water Quality & Stormwater Management).

Drilling and Sampling Methods: The Consultant shall perform drilling and sampling in accordance with ASTM Standards D 1586 and D 1587, as applicable. Standard Penetration Test N values shall be recorded, as a minimum at 2.5, 5.0, 7.5, and 10.0 feet depths and then at 5.0-foot intervals there onwards. Soil samples shall be classified in accordance with ASTM Standards D 2487 and D 2488. Samples shall be preserved and field logs prepared by either a Geotechnical Engineer or an experienced soils technician under the supervision of a Geotechnical Engineer. The depth of the borings is to be determined by the Geotechnical Engineer based on the anticipated foundation loads given above and the expected soil profile in the area. Unconfined compressive strength values of cohesive soil samples, using a pocket penetrometer, shall be obtained in the field and recorded on the field logs as applicable.

Laboratory Testing Requirements: Library Building Area Soil Samples: As a minimum, assume one representative soil sample from each soil layer encountered in each soil boring for laboratory testing as follows:

- Cohesionless (granular) Soils: Particle size distribution (ASTM D 422) and Unified Soil Classification (USCS) per ASTM D 2487/D 2488;
- Cohesive (clayey) Soils: -#200 Sieve Size (ASTM D 1140), Atterberg Limits (Liquid Limit and Plastic Limit) per ASTM D 4318, USCS per ASTM D 2487/D 2488, Unconfined Compressive Strength of Cohesive Soils (ASTM D 2166) (one test per boring), and One-Dimensional Consolidation Properties of Soils (ASTM D 2435) (0 to 2 tests for the entire project depending on the soil types encountered).

#### Field Measurements:

- In case of the presence of cohesive soils, pocket penetrometer values shall be obtained to record the unconfined compressive strength values; and
- Groundwater levels during, at completion of boring, and 24 hours following the completion of the boring (if feasible) shall be obtained, as applicable.

#### **Protection of Property:**

The Consultant shall contact the PBC Project Manager and all utility companies for information regarding buried utilities and structures, shall take all reasonable precautions to prevent damage to property both visible and concealed, and shall restore the site to the conditions existing prior to the site entry.

The Consultant shall contact the PBC Project Manager for information regarding access to the site. A Right-of-Entry must be obtained to gain access to any property not yet controlled by the Public Building Commission. Absolutely no work shall begin without advance written notice to the property owner and the Public Building Commission.

#### Reports

Field and Laboratory Reporting Requirements

Prepare reports in accordance with the following items:

- 1. Record all data in accordance with the latest ASTM standards.
- 2. Include with the report a chart illustrating the soil classification criteria and the terminology and symbols used on the boring logs.
- 3. Identify the ASTM standards utilized. For percolation tests, describe test procedures.
- 4. Provide a site plan giving dimensioned locations of test borings and percolation tests.
- 5. Provide vertical sections for each boring plotted and graphically presented showing number of borings, sampling method used, date of start and finish, surface elevations, description of soil and thickness of each layer, depth to loss or gain of drilling fluid, hydraulic pressure required or number of blows per foot, and depth and elevation of ground water. Note the location of strata containing organic materials, wet materials or other inconsistencies that might affect engineering conclusions.
- 6. Describe the existing surface conditions, and provide a figure showing generalized subsurface as well as summarize the subsurface conditions.
- 7. Report all laboratory determinations of soil properties.
- 8. Report results of borings for infiltration practices and of percolation tests per Chicago Stormwater Management Guidance requirements to support on-site stormwater management strategies.

Disposition of Samples: Retain samples at the Consultant's office until foundation installation is complete, and then dispose all remaining soil samples at a Subtitle D Landfill Facility.

#### Foundation Engineering Evaluation and Recommendations

Evaluation and Recommendations: The Consultant shall analyze the information developed by investigation and submit a professional evaluation and recommendations for the necessary areas of consideration, including, but not limited to, the following:

- Foundation support of the structure and slabs, including allowable bearing capacity, recommended foundation depths/elevations, foundation design recommendations (shallow and/or deep foundations) and anticipated settlements.
- Anticipation of, and management of, groundwater for design of structures and pavements.
- 3. Material and compaction requirements for site fill, construction backfill, and for the support of structures and pavements.

4. Vertical subgrade modulus for design of pavements or slabs.

#### **Presence at Meetings**

The Consultant shall be available for two (2) meetings to discuss the investigation results to the PBC Project Manager and assigned Civil and Structural Engineering team members.

#### **Deliverables**

Provide draft electronic report along with the text in Word for first draft review. After incorporating comments, submit three hard copies of the Final Report and one electronic PDF file on CD to the PBC Project Manager within 30 days following the authorization to begin work or one week after receiving comments.

The Project Manager's review and approval will address Consultant's compliance with the requirements of the Scope of Work; the Consultant is and will remain wholly liable for the accuracy and validity of the report.

**Use of Report:** The Public Building Commission of Chicago may reproduce the report without modifications and distribute the report in connection with the use or disposition of the property without incurring obligation for additional compensation to the Consultant.

#### Qualifications/Personnel

All work shall be performed by qualified personnel under the supervision of an Illinois licensed or registered engineer and the document submitted shall bear the engineer's seal and certification.

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#### **SCHEDULE B PROJECT DOCUMENTS**

#### **GEOTECHNICAL INVESTIGATION AND REPORTS** WHITNEY YOUNG BRANCH LIBRARY - PS 1512 **PROJECT NUMBER: 08070**

**NO ATTACHMENTS** 

#### SCHEDULE C COMPENSATION OF THE CONSULTANT

#### GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

#### C.1 **CONSULTANT'S FEE**

- C.1.1 The Commission shall pay the Consultant for the satisfactory performance of the Services a Fixed Fee ("Fee") of (\$8,255,00) for all work included in Schedule A. The Fee will, in the absence of a change in scope of the Project by the Commission or the issuance of Commission-originated additive change orders constitutes the Consultant's full fee for Basic Services.
- C.1.2. Consultant's Fee will include profit, overhead, general conditions, materials, equipment, computers, vehicles, office labor, field labor, insurance, deliverables, and any other costs incurred in preparation and submittal of deliverables.
- C.2 HOURLY RATES FOR CONSULTANT AND SUBCONSULTANT PERSONNEL
- C.2.1 Hourly Rates for Consultant and Subconsultant Personnel. All Consultant and Subconsultant personnel and the hourly rate billable for each are subject to the prior approval of the Commission.
- C.2.2 Hourly Rates for Consultant and Subconsultant personnel are provided in Consultant's proposal, which follows Schedule C. The hourly rates provided by the Consultant will be used to determine the costs for any work related to the Project yet not included in Schedule A.

#### C.3 METHOD OF PAYMENT

C.3.1 Invoices. The Consultant will submit an invoice to the Commission for Services performed, that will be paid in one lump sum after all Services required by this Agreement have been completed to the reasonable satisfaction of the Commission.

Each invoice must be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of this Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain the reasons for such variances.

- C.3.2 Payment, Payment will be processed within 30 days after Commission receives an acceptable invoice from the Consultant.
- C.3.3 Invoice Disputes. If the Commission disputes certain items in the Consultant's invoices, the amount not disputed will be paid in full. The amount in question must be resolved in accordance with the Disputes provisions of this Agreement

# PUBLIC BUILDING COMMISSION OF CHICAGO ATTACHMENT B SCHEDULE OF COST GEOTECHNICAL INVESTIGATION & REPORT WHITNEY YOUNG BRANCH LIBRARY - PS1512

Complete the following table for scope of work costs. Please include and add all materials, equipment, computers, vehicles, office labor, field labor, deliverables, and any other costs incurred in preparation and submittal of deliverables.

T-1-14.	Quantity	Unit	Umi	t Cost	e	ototal	Total
Task Item Task 1-Geotechnical Investigation	Quantity	Unit	UIII	i cost	30	Jiolai	10f91
Project Management: (Including 2 Meetings)	1	L/S	\$	500.00	9	500.00	
Mobilization/Demobilization	1	L/S	\$	500.00		500.00	
Contact DIGGER and Utility Locate	<del>                                     </del>	⊔/S	Š	100.00	<u> </u>	100.00	
Drill Rig/Operator (2-man crew)	1	Per Day	\$	2,500.00		2,500.00	
Drilling per ASTM D1586: Unit Price per foot (addit foota	,	per l.f.	\$	22.00	_	2,000.00	
Surveying: Benchmark, coordinate, elevations, etc.	1	L/S	\$	500.00	_	500.00	
Undistrurbed Sampling per ASTM D 1587: per sample	5	Each	<del>Š</del>	45.00	_	225.00	
Subtotal 1-Above Items		Luci		10.00		\$4,325.00	
					3,71	<b></b>	
Geotechnical Analysis: (as per scope items) Grain Size - ASTM D422	7	Each	\$	65.00	\$	455.00	
Grain Size - ASTM D422 Grain Size - ASTM D1140	7	Each	\$	65.00	_	455.00	
Atterberg Limits - ASTM D4318	7	Each	\$	60.00	S	420.00	
Soil Classification - ASTM D 2487 & D 2488	<del>  '</del>	Each	\$	4.00		720.00	
Unconfined Compressive Strength - ASTM D 2466	7	Each	\$	50.00	\$	350.00	
Consolidation Testing - ASTM D 2435	1 1	Each	Š	250.00		250.00	
Subtotal 2-Geotechnical Analysis	1	Later	Ψ.	E00.00		\$1,930.00	
					ii Am	41,500,00	
Expenses							
Equipment Rental (Itemize below as applicable):							:
1)	1	<u> </u>	+		-	<del></del>	
2)	<del></del>	<u> </u>	-				
3)		_	1			60.60	
Subtotal 3 - Expenses					2-15	\$0.00	
Supplies (Itemize below as applicable):							
1)	ļ.,				ļ		
2)	<u> </u>		_		_		
3)							
Subtotal 4 - Supplies					6.	<b>\$0</b> :00	
Any Other Relevant Costs:(Itemize below as applicable):						<u> </u>	
1)					ــــــ		
2)					ــــ		
3)			4				
Subtotal 5 - Other Relevant Costs						\$0.00	
Total of Task I (Subtotals 1 through 5):							\$6,255.00
Task II - Deliverable (Fixed Fee)							
Draft/Final Geotechnical Report (Assume one draft and							
one final report.)	1	⊔s	\$	2,000,00	\$	2,000.00	
Total Task II			- I - W	2,000.00			\$2,000.00
							THE RELEASE CONTRACTOR AND ADDRESS OF THE PARTY.
Total Project Cost for All Tasks							

GSG Consultants, Inc. agrees to provide the Geotechnical services as detailed in Attachment A - Specifications for the amount indicated above.

(Signature)

Date: March 5, 2009

Ala E. Sassila, Ph.D; P.E. Vice President (Printed Name and Title)

# SCHEDULE D INSURANCE REQUIREMENTS GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

The Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

#### D.1. INSURANCE TO BE PROVIDED

#### D.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, Illness or Disease.

#### D.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Public Building Commission, the City of Chicago and North Star Trust Company as trustee under Trust Number 9368 must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission, the City of Chicago and North Star Trust Company as trustee under Trust Number 9368 must be named as additional insureds on a primary, non-contributory basis.

#### D.1.4. Professional Liability

When any professional Consultant performs work in connection with the Agreement, Professional Liability Insurance will be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.5 Property

The Consultant is responsible for all loss or damage to Commission, the City of Chicago and North Star

Trust Company as trustee under Trust Number 9368 property at full replacement or repair cost. The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

#### D.1.6 Valuable Papers

When any plans, designs, drawings, data, media, or other documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

#### D.1.7 Contractors Pollution Liability

When any work is performed which may cause a pollution exposure, Contractors Pollution Liability must be provided covering bodily injury, property damage and other losses caused by pollution conditions that arise from the Contract scope of services with limits of not less than \$2,000,000 per occurrence. Coverage must include completed operations, contractual liability, defense, excavation, environmental cleanup, remediation and disposal. When policies are renewed or replaced, the policy retroactive date must coincide with or precede, start of work on the Contract. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years. The Public Building Commission, the City of Chicago and North Star Trust Company as trustee under Trust Number 9368 are to be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.8 Railroad Protective Liability

When any work is to be done adjacent to or on railroad or transit property, Contractor must provide, with respect to the operations that Contractor or subcontractors perform, Railroad Protective Liability Insurance in the name of railroad or transit entity. The policy must have limits of not less than the requirement of the operating railroad/transit entity for losses arising out of injuries to or death of all persons, and for damage to or destruction of property, including the loss of use thereof.

#### D.2. ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the Commission of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may

constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if any policies are canceled, substantially changed, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, the City of Chicago, their respective Board members, employees, elected and appointed officials, and representatives and North Star Trust Company as trustee under Trust Number 9368.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission and the City of Chicago do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.

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#### SCHEDULE E KEY PERSONNEL

# GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

NAME	FIRM	TITLE
		·

#### **EXHIBIT A**

#### GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

(COMMISSION'S DISCLOSURE OF RETAINED PARTY FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

<ol> <li>As used herein, "Consultant" means a person or entity who has any contract or lease Commission of Chicago ("Commission").</li> <li>Commission bids, leases, contracts, and/or qualification submittals must be accomstatement providing certain information about lobbyists whom the Consultant has retained with respect to the contract or lease. In particular, the Consultant must disclose the nare his or her business address, the name of the relationship, and the amount of fees paid The Consultant is not required to disclose employees who are paid solely through the payroll.</li> <li>"Lobbyists" means any person (a) who for compensation or on behalf of any person other to influence any legislative or administrative action, or (b) any part of whose duties as includes undertaking to influence any legislative or administrative action.</li> <li>Certification</li> <li>Consultant hereby certifies as follows:         <ul> <li>Geotechnical Invest</li> </ul> </li> <li>This Disclosure relates to the following transaction:         <ul> <li>Whitney Young Brand</li> </ul> </li> <li>Description or goods or services to be provided under Contract: Engineering in the payroll and the</li></ol>	anied by a disclored or expects to re e of each such pe or estimated to be e Consultant's rethan himself under employee of an
<ol> <li>Commission of Chicago ("Commission").</li> <li>Commission bids, leases, contracts, and/or qualification submittals must be accomstatement providing certain information about lobbyists whom the Consultant has retained with respect to the contract or lease. In particular, the Consultant must disclose the name his or her business address, the name of the relationship, and the amount of fees paid The Consultant is not required to disclose employees who are paid solely through the payroll.</li> <li>"Lobbyists" means any person (a) who for compensation or on behalf of any person other to influence any legislative or administrative action, or (b) any part of whose duties as includes undertaking to influence any legislative or administrative action.</li> <li>Certification</li> <li>Consultant hereby certifies as follows:         <ul> <li>Geotechnical Invest:</li> <li>Mhitney Young Branc</li> <li>Description or goods or services to be provided under Contract: Engineering</li> <li>Name of Consultant: GSG Consultants, Inc.</li> </ul> </li> <li>Lach And Every lobbyist retained or anticipated to be retained by the Consultants</li> </ol>	anied by a disclored or expects to re e of each such pe or estimated to be e Consultant's rethan himself under employee of an
statement providing certain information about lobbyists whom the Consultant has retained with respect to the contract or lease. In particular, the Consultant must disclose the name his or her business address, the name of the relationship, and the amount of fees paid. The Consultant is not required to disclose employees who are paid solely through the payroll.  3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other to influence any legislative or administrative action, or (b) any part of whose duties as includes undertaking to influence any legislative or administrative action.  B. Certification  Consultant hereby certifies as follows:  Geotechnical Invest:  Geotechnical Invest:  This Disclosure relates to the following transaction:  Whitney Young Branch  Description or goods or services to be provided under Contract:  Engineering:  Name of Consultant:  GSG Consultants, Inc.  EACH AND EVERY lobbyist retained or anticipated to be retained by the Consultants.	ed or expects to re of each such peor estimated to be e Consultant's rethan himself under the employee of an
to influence any legislative or administrative action, or (b) any part of whose duties as includes undertaking to influence any legislative or administrative action.  B. Certification  Consultant hereby certifies as follows:  Geotechnical Invest.  This Disclosure relates to the following transaction:  Whitney Young Branc.  Description or goods or services to be provided under Contract:  Engineering  Name of Consultant:  GSG Consultants, Inc.  EACH AND EVERY lobbyist retained or anticipated to be retained by the Consultants.	n employee of an
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1. This Disclosure relates to the following transaction: Whitney Young Branc.  Description or goods or services to be provided under Contract: Engineering:  Name of Consultant: GSG Consultants, Inc.  EACH AND EVERY lobbyist retained or anticipated to be retained by the Consultants.	
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Description or goods or services to be provided under Contract: Engineering  2. Name of Consultant: GSG Consultants, Inc.  3. EACH AND EVERY lobbyist retained or anticipated to be retained by the Consultants.	_
3. EACH AND EVERY lobbyist retained or anticipated to be retained by the Consulta	ervices
3. EACH AND EVERY lobbyist retained or anticipated to be retained by the Consulta	
connection with the contract or lease is listed below. Attach additional pages if neces	nt with respect to sary.
Retained Parties:  Name  Business Address Relationship (Attorney, Lobbyist, etc.) Fees (indicationship whether paid estimated)	

Geotechnical Investigation & Reports Page 37 of 38

Whitney Young Branch Library - PS1512

Project No.: 08070

V:Whitney Young Library\Geotechnical Borings - PS1512\RFP\_PBC\_GSB\_Price Request - Geotechnical Borings PS1512\_20090219.doc

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4.	The Co	onsultant understand	ds and agrees as f	follows:		•
	a.	other action with re Commission may that any information	espect to which thi rely on the informa on provided herein ther transaction, to	s Disclosure of tion provided l is false, incom	ement to the Commission of Retained Parties form nerein. Furthermore, if the plete, or inaccurate, the Consultant's participatio	is being executed, and ne Commission determi Commission may termir
	<b>b.</b>	If the Consultant Commission's Redisclosure.	is uncertain wheth presentative or hi	ner a disclosu is or her mar	re is required, the Cons ager whether disclosure	sultant must either ask e is required or make
	<b>C.</b>	attachments may Information Act re- it may have agains	be made availab quest, or otherwise st the Commission	le to the pub e. The Consult in connection	or all of the information lic on the Internet, in r ant waives and releases a with the public release of d any attachments.	esponse to a Freedor any possible rights or cla
Unde the C	r penalty onsultar	of perjury. I certify nt and that the info	/ that I am authori rmation disclosed	zed to execu d herein is tr	e this Disclosure of Re re and complete.	tained Parties on beha
	Dh	NIL			March 6, 2009	
Signa	Alure			Date	March 6, 2009	
		Bassila, Ph.D or Print)	); P.E		March 6, 2009 President	
Ala Name	E. Se (Type o	or Print) and sworn to before m		Vice		
Ala Name	aE.S	or Print) and sworn to before m		Vice		
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#### **EXHIBIT B DISCLOSURE AFFIDAVIT**

(COMMISSION'S DISCLOSURE AFFIDAVIT FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

## EXHIBIT B DISCLOSURE AFFIDAVIT

Name:	GSG Consultants, Inc	c.	
Address: 855 W	West Adams Street, Suite	200, Chicago,	Illinois 60607
Telephone No.:	312-733-6262		
Federal Employer I.I	D.#.: <u>36-3844476</u> <b>Social Se</b>	curity #:	
Nature of Transaction	on:		
[ ] Sale or purchase [ ] Construction Cor [ ] Professional Ser [ ] Other	ntract		
above transactions of Please note that in the partners must subm	R USE WITH ANY OF THE ABOVE with the Public Building Commission of the event the Contractor is a joint vent at a completed Disclosure Affidavit.	f Chicago must complete ure, the joint venture and	this Disclosure Affidavit.
The undersigned G	uillermo Garcia, as Presi (Name)	dent (Title)	
	GSG Consultants, Inc.	, - · · · ·	
("Bidder/ Proposer"	or "Contractor") having been duly swo	m under oath certifies th	at:
I. DISCLOSURE	OF OWNERSHIP INTERESTS	•	
Chicago, all bidders	ition No. 5371 of the Board of Comi /proposers shall provide the following iswer "NA". If the answer is none, ple	information with their bid	Building Commission of Uproposal. If the question
Bidder/Proposer/Co	ontractor is a: [x] Corporation [ ] Partnership [ ] Joint Venture [ ] Sole Proprietorship	[ ] LLP [ ] Not-for-Profit Corpor	ation

#### SECTION 1. FOR PROFIT CORPORATION OR LIMITED LIABILITY COMPANY (LLC) a. State of Incorporation or organization\_ Illinois Authorized to do business in the State of Illinois: Yes [xi] No [ ] Names of all officers of corporation or LLC Names of all directors of corporation or LLC (or attach list): (or attach list): Name (Print or Type) Title (Print or Type) Name (Print or Type) Title (Print or Type) President Guillermo Garcia President Guillermo Garcia Arturo Saenz Vice President Arturo Saenz Vice President Vice President Ala E. Sassila d. Indicate here or attach a list of names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each. Name (Print or Type) Address Ownership Interest 51\_% Guillermo Garcia 855 W. Adams. Chicago. 855 W. Adams, Chicago, IL 39 % Arturo Saenz

e. For LLC's, state whether member-managed or identify managing member.

<u> Ala E. Sassila</u>

f. Is the corporation or LLC owned partially or completely by one or more other corporations or legal entities?

Yes [ ] No [x]

If "yes" provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

855 W. Adams. Chicago, IL

10 %

N/A

## N/A **SECTION 2. PARTNERSHIPS** a. If the bidder/proposer or Contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP) Name of Partners (Print or Type) Percentage Interest SECTION 3. SOLE PROPRIETORSHIP N/A a. The bidder/proposer or Contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary: Yes [ ] No[] If NO, complete items b. and c. of this Section 3. b. If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest. Name(s) of Principal(s). (Print or Type) c. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised. Address(es) Name(s)

#### SECTION 4. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES N/A

if the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

(Print or Type)
<del></del>
.,

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

#### II. CONTRACTOR CERTIFICATION

#### A. CONTRACTOR

- The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or

- Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but
  has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bld, proposal or response.<sup>3</sup>
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-58 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - a: Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezziement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
  - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

#### B. SUBCONTRACTORS

1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-

rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.

- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bidrigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.
- For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall
  maintain for the duration of the contract all subcontractors' certifications required by Section !!(8)(1) and
  (2) above, and Contractor shall make such certifications promptly available to the Public Building
  Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chloago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

#### C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
- 3. If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor shall explain below. Attach additional pages if necessary.

None	

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

#### D. OTHER TAXES/FEES

1.	The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City	y (	of
	Chicago.	-	

2,	If Contractor is unable to certify to the above statement, Contractor shall explain below and attach
	additional sheets if necessary.
	None

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

#### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

None	

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit. (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>6</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any line or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor exceptions:	cannot	make	the	certification	contained	ln	Paragraph	A of	Section	III,	ldentify	any
None												

If the letters think the second Minney or no manager approximation from shorts. It will be

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

#### IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

#### V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, il. 60602.  Signature of Authorized Officer  Guillermo Garcia Name of Authorized Officer (Print or Type)  President  Title
312-733-6262 Telephone Number
State ofCook
Signed and sworn to before me on this <u>2nd</u> day of <u>02</u> , 20 <u>09</u> by
Guillermo Garcia (Name) as President (Title) of
GSG Consultants, Inc. (Bidder/Proposer or Contractor)
Mana Slana Pere

Notary Public Signature and Seal "OFFICIAL SEAL"
Maria Elena Perez
Notary Fobiic, State of Illinois
My Commission Expires 04/18/11

#### Notes 1-5 Disclosure Affidavit

- 1. Business entities are affiliated if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.
- 2. For purposes of Section II (A) (2) of this certification, a person commits the offense of and engages in bidrigging when he knowingly agrees with any person who is, or but for such agreement should be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of state or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) provides such person or receives from another information concerning the price or other material term or terms of the bid which would otherwise not be disclosed to a competitor in an independent non-collusive submission of bids or (2) submits a bid that is of such a price or other material term or terms that he does not intend the bid to be accepted. see 720 ILCS 5/33-E-3.
- No corporation shall be barred from contracting with any unit of state or local government as a result of a conviction, under either Section 33E-3 or Section 33E-4 of Article 33 of the State of Illinois Criminal Code of 1961, as amended, of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent on behalf of the corporation as provided in paragraph (2) of subsection (a) of Section 5-4 of the State of Illinois Criminal Code.
- 4. For purposes of Section II(A) of this certification, a person commits the offense of and engages in bid rotating when, pursuant to any collusive scheme or agreement with another, he engages in a pattern over time (which, for the purposes hereof, shall include at least three contract bids within a period of ten years, the most recent of which occurs after January 1, 1989) of submitting sealed bids to units of state or local government with the intent that the award of such bids rotates, or is distributed among, persons or business entities which submit bids on a substantial number of the same contracts. See 720 ILCS 5/33E-4.
- "Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation, or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants including but not limited to (1) Section 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapter 7-28 or 11-4 of the Municipal Code of Chicago; (2) Comprehensive Environment Response and Compensation and Liability Act (42 U.S.C. § 9601 et seq.) the Hazardous Material Transportation Act (49 U.S.C. § 1801 et seq.); (4) the Resource Conversation and Recovery Act of 1976 (42 U.S.C. § 7401 et seq.); (5) the Clean Water Act (33 U.S.C. § 1251 et seq.); (6) the Clean Air Act (42 U.S.C. § 7401 et seq.); (7) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 et seq.); (8) the Safe Drinking Water Act (42 U.S.C. § 300f); (9) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 et seq.); (10) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 et seq.); and (10) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

## EXHIBIT C SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

#### 1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

#### 2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to certified MBEs and 5% of the annual dollar value of all Commission Construction Contracts to qualified WBEs.
- b. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification.
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

#### Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.
  - (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business

Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.

- (3) "Professional Service Contract" means a contract for professional services of any type.
- (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
- (5) "Professional Service Provider" means any person or business entity that seeks to enter into a Professional Service Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.
- (6) "Executive Director" means the Executive Director of the Commission or his duly designated representative as appointed in writing.
- (7) "Good faith efforts" means actions undertaken by a Professional Service Provider to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- (8) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single forprofit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.

#### (9) "Minority" means:

- a. Any individual in the following racial or ethnic groups, members of which are rebuttably presumed to be socially disadvantaged:
  - i. African-Americans or Blacks, which includes persons having origins in any of the Black racial groups of Africa:
  - ii. Hispanics, which includes persons of Spanish culture with origins in Mexico, South or Central America or the Caribbean Islands, regardless of race; and
- b. Individual members of other groups, including but not limited to Asian-Americans, Arab-Americans and Native-Americans, found by the Commission to be socially disadvantaged by having suffered racial or ethnic prejudice or cultural bias within American society, without regard to individual qualities, resulting in decreased opportunities to compete in Chicago area markets or to do business with the Commission.
- (10) "Minority-owned business enterprise" or "MBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged minority persons, or in the case of a publicly held corporation at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged minority persons whose management, policies, major decisions and daily

business operations are independently managed and controlled by one or more economically disadvantaged minority persons.

- (11) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.
- (12) "Women-owned business enterprise" or "WBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged women or in the case of a publicly owned business, at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged women, whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged women.

#### 4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same subconsultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
  - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
  - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably

presumed not to be performing a commercially-useful function.

- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

#### 5. Submission of Proposals

- a. The following schedules and documents constitute the Proposer's MBE/WBE compliance proposal and must be submitted at the time of the proposal.
  - (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.
  - (2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Proposer's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
  - (3) Schedule C: Letter of Intent to Perform as a sub-consultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
  - (4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.
  - The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

#### Evaluation of Compliance Proposals

a. The Proposer's MBE/WBE compliance proposal will be evaluated by the Commission. The Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as non-responsive by reason of the determination that the Proposer's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.

- b. If the Commission's review of a Proposer's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Proposer's proposal as non-responsive.
- c. Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Proposer's MBE/WBE compliance proposal. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 should be followed.

#### 7. Request for Waiver

- a. If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver.
   A request for waiver must be sent to the Executive Director and must set forth the Proposer's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - (1) Attendance at the Pre-proposal conference;
  - (2) The Proposer's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - (3) Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-consultants;
  - (4) Timely notification of specific sub-consultants to minority and woman assistance agencies and associations:
  - (5) Description of direct negotiations with MBE and WBE firms for specific sub-consultants, including:
    - i. The name, address and telephone number of MBE and WBE firms contacted;
    - ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - (6) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing

to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.

- (7) As to each MBE and WBE contacted which the Proposer considers to be not qualified, a detailed statement of the reasons for the Proposer's conclusion.
- (8) Efforts made by the Proposer to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
- (9) General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Proposer, may grant a waiver request upon the determination that:
  - (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Proposer;
  - (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

#### 8. Failure To Achieve Goals

- a. If the Professional Service Provider cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Professional Service Provider has made such good faith efforts, the performance of other Professional Service Providers in meeting the goals may be considered. The Executive Director or his designee shall consider, at a minimum, the Professional Service Provider's efforts to do the following:
  - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted proposals. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Professional Service Provider's failure to meet the goals, as long as such costs are reasonable.
  - (4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting proposals to meet the goals.
  - (5) Making a portion of the work available to MBE or WBE sub=consultants and suppliers and to select

those portions of the work or material consistent with the available MBE or WBE sub-consultants and suppliers, so as to facilitate meeting the goals.

- (6) Making good faith efforts despite the ability or desire of a Professional Service Provider to perform the work of a contract with its own organization. A Professional Service Provider that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
- (7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
- (8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Professional Service Provider.
- (9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
- (10) Effectively using the services of the Commission; minority or women community organizations; minority or women groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission Procurement Officer determines that the Professional Service Provider did not make a good faith effort to achieve the goals, the Professional Service Provider may file a Dispute to the Executive Director as provided in Section 18.02. Disputes Book 2.
- Reporting and Record-Keeping Requirements
  - a. The Professional Service Provider, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final walvers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.
  - b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.
  - c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE Sub-Contract Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to t MBE and WBE sub-contractors.
- Disqualification of MBE or WBE

- a. The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.
- b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

#### 11. Prohibition On Changes To MBE/WBE Commitments

The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

#### 12. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Professional Service Provider of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Professional Service Provider shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Professional Service Provider of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:
  - (1) The Professional Service Provider must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.
  - (2) The Professional Service Provider's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c)financial incapacity; d) refusal by the sub-consultant to honor the proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the sub-consultant to meet insurance, licensing or bonding requirements; g) the sub-consultant's withdrawal of its proposal; or h) decertification of the sub-consultant as MBE or WBE.
  - (3) The Professional Service Provider's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Professional Service Provider; issues about performance by the committed MBE or WBE were disputed (unless every

reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

The Profession Service Provider's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

- (4) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
- (5) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
- b. The Executive Director will not approve extra payment for escalated costs incurred by the Professional Service Provider when a substitution of sub-consultants becomes necessary for the Professional Service Provider in order to comply with MBE/WBE contract requirements.
- c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Professional Service Provider to locate specific firms, solicit MBE and WBE proposals, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

#### 13. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

#### 14. Severability

a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held

invalid by any count, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.

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#### **EXHIBIT C**

## GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

(COMMISSION'S SCHEDULE C AND D FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

#### SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDING C AND SUBDOPTING DOCUMENTS MUST BE SURMITTED WITH PROPOSAL

	anch Library - PS1512
Project Number: 08070	
FROM:	
GSG Consultants, Inc.	MBEXWBE
(Name of MBE or WBE)	
TO:	
GSG Consultants, Inc.	and Public Building Commission of Chicago
(Name of Professional Service Provider)	<u> </u>
a Sole Proprietor a Partnership	a Joint Venture
The MBE/WBE status of the undersigned October 9, 2008. In additional MBE/WBE firm, a Schedule B, Joint Venture	ition, in the case where the undersigned is a Joint Venture with
October 9, 2008 In addi MBE/WBE firm, a Schedule B, Joint Venture	ition, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.
October 9, 2008 In addi MBE/WBE firm, a Schedule B, Joint Venture The undersigned is prepared to provide the	gned is confirmed by the attached Letter of Certification, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.  e following described services or supply the following described g
October 9, 2008 In additional MBE/WBE firm, a Schedule B, Joint Venture The undersigned is prepared to provide the connection with the above-named project.	ition, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.
October 9, 2008 In additional MBE/WBE firm, a Schedule B, Joint Venture The undersigned is prepared to provide the connection with the above-named project.	ition, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.
October 9, 2008 In addi MBE/WBE firm, a Schedule B, Joint Venture The undersigned is prepared to provide the connection with the above-named project.  Engineering Services	ition, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.
October 9, 2008 In addi MBE/WBE firm, a Schedule B, Joint Venture The undersigned is prepared to provide the connection with the above-named project.  Engineering Services  The above-described services or goods are	ition, in the case where the undersigned is a Joint Venture with e Affidavit, is provided.  e following described services or supply the following described g

Subcontractor	To Perform As , Subconsultant, and/or Material Supplier (2 of 2)
PARTIAL PAY ITEMS	,,
For any of the above items that are parti	al pay items, specifically describe the work and subcontract dollar an
If more space is needed to fully describe attach additional sheet(s).	the MBE/WBE firm's proposed scope of work and/or payment sched
SUB-SUBCONTRACTING LEVELS	•
% of the dollar value of the MBE	E/WBE subcontract will be sublet to non-MBE/WBE contractors.
0 % of the dollar value of the MBE	E/WBE subcontract will be sublet to MBE/WBE contractors.
receipt of a notice of Contract award fro By:	Building Commission of Chicago, and will do so within five (5) working m the Commission.
GSG Consultants, Inc.	phall
GSG Consultants, Inc. Name of MBE/WBE Firm (Print) March 6, 2009	Signature Ala E. Sassila, Ph.D; P.E.
Name of MBE/WBE Firm (Print) March 6, 2009 Date	
Name of MBE/WBE Firm (Print) March 6, 2009	Ala E. Sassila, Ph.D; P.E.
Name of MBE/WBE Firm (Print) March 6, 2009 Date 312-733-6262	Ala E. Sassila, Ph.D; P.E.
Name of MBE/WBE Firm (Print)  March 6, 2009  Date  312-733-6262  Phone  IF APPLICABLE:	Ala E. Sassila, Ph.D; P.E.
Name of MBE/WBE Firm (Print) March 6, 2009  Date 312-733-6262  Phone  IF APPLICABLE: By:	Ala E. Sassila, Ph.D; P.E.  Name (Print)  Signature  Name (Print)
Name of MBE/WBE Firm (Print)  March 6, 2009  Date  312-733-6262  Phone  IF APPLICABLE: By:  Joint Venture Partner (Print)	Ala E. Sassila, Ph.D; P.E.  Name (Print)  Signature
Name of MBE/WBE Firm (Print)  March 6, 2009  Date  312-733-6262  Phone  IF APPLICABLE: By:  Joint Venture Partner (Print)  Date	Ala E. Sassila, Ph.D; P.E.  Name (Print)  Signature  Name (Print)

Whitney Young Branch Library - PS1512
Project No.: 08070
V:Whitney Young Library\Geotechnical Borings - PS1512\RFP\_PBC\_GSB\_Price Request - Geotechnical Borings PS1512\_20090219.doc



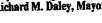
City of Chicago Richard M. Daley, Mayor

Department of Procurement Services

Montel M. Gayles Chief Procurement Officer

City Hall, Room 403 121 North LaSalle Street Chicago, Illinois 60602 (312) 744-4900 (312) 744-2949 (TTY)

http://www.cityofchicago.org



October 9, 2008

Guillermo Garcia GSG Consultants, Inc. 855 W. Adams, Suite 200 Chicago, IL 60607

> **Annual Certificate Expires:** Vendor Number:

October 1, 2009 50069721

Dear Mr. Garcia:

Congratulations on your continued eligibility for certification as a MBE by the City of Chicago. This MBE certification is valid until October 2012; however your firm must be re-validated annually. Your firm's next annual validation is required by October 1, 2009.

As a condition of continued certification during this five year period, you must file a No-Change Affidavit within 60 days prior to the date of expiration. Failure to file this Affidavit will result in the termination of your certification. Please note that you must include a copy of your most current Federal Corporate Tax Return. You must also notify the City of Chicago of any changes in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for certification.

The City may commence action to remove your firm's eligibility if you fail to notify us of any changes of facts affecting your firm's certification or if your firm otherwise fails to cooperate with the City in any inquiry or investigation. Removal of eligibility procedures may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

Lead Abatement Services; Risk Assessor; Air Sampling Services; **Environmental Consulting; Industrial Hygiene** 

Your firm's participation on City contracts will be credited only toward MBE goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward MBE goals will be given only for work done in the specialty category.

Thank you for your continued interest in the City's Minority and Women Business Enterprise Programs.

Sincerel

ori Ann Lypson Deputy Procurement &

LAL/bc



## SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation (1 of 2)

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epresentative o				
Service Provid	er			
ago	.State of	Illinois	B	
nally reviewed Contract, inclu	the material and facts a ding Schedule C and S	ubmitted with the schedule B (if a	he attached Schedules opticable), and the follow	wing is a statement of the
	hitney You } } SS } e above-captione ent  epresentative of ants, Inc I Service Provid ams, Suit cago onally reviewed if Contract, inclu	Hitney Young Branch L  } }SS  e above-captioned contract, I HEREBY ent  epresentative of ants, Inc. I Service Provider ams, Suite 200  cago	Service Provider   State of Illinois   State	SS   Sabove-captioned contract,   HEREBY DECLARE AND AFFIRM that   am the ent   epresentative of ants, Inc.   Service Provider   ams, Suite 200

Name of MBE/WBE Contractor	Type of Work to be Done in	Dollar Credit Toward MBE/WBE Goals		
	Accordance with Schedule C	MBE	WBE	
	Engineering			
GSG Consultants, Inc.	Services	<b>\$</b> 8,255	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
	Total Net MBE/WBE Credit	\$8,255	\$	
	Percent of Total Base Bid	100 %	. %	

The Professional Service Provider may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

### SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation (2 of 2) SUB-SUBCONTRACTING LEVELS % of the dollar value of the MBE/WBE <u>subcontract</u> will be sublet to non-MBE/WBE contractors. If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided. The undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Professional Service Provider of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission. By: GSG Consultants, Inc. Name of Professional Service Provider (Print) Ala E. Sassila, Ph.D; P.E. March 6, 2009 Name (Print) Date 312-733-6262 Phone IF APPLICABLE: By: Signature Joint Venture Partner (Print) Name (Print) Date MBE WBE \_\_\_ Non-MBE/WBE \_\_\_ Phone/FAX

## EXHIBIT D W-9 FORM

#### GEOTECHNICAL INVESTIGATION AND REPORTS WHITNEY YOUNG BRANCH LIBRARY - PS 1512 PROJECT NUMBER: 08070

(COMMISSION'S W-9 FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

Form W=9
(Rev. October 2007)
Department of the Treasury

### Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

	nent of the Treasury Revenue Service	identification (quilibr	er anu cerunca	acioi)		send to the IRS.	
	Name (as shown	on your income tax return)					
i De	GSG Cons	sultants, Inc.					_
page	Business name, if	different from above					
Specific Instructions on		box: I tridividual/Sole proprietor Corporation y company. Enter the tax classification (D-disregarded en		ership) ►	******	☐ Exempt payer	<b></b>
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Ş	Otty, state, and Z	P code					
Š	Chicago	, Illinois 60607					_
286		per(a) hero (optional)					_
31	t Taxpay	er Identification Number (TIN)					<del></del>
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en,	sole proprietor, o	r disregarded entity, see the Part I instructions on allon number (EIN). If you do not have a number, s	pege 3. For other entities	i, it is		or	•
		in more than one name, see the chart on page 41		-1250 01	Employe	r identification number	1
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ä	t II Certific	ation			· I · · · · · · · · · · · · · · · · · ·		-
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n	otified me that I a	is) that I am subject to backup withholding as a r m no longer subject to backup withholding, and	result of a failure to report	ali inter	est or divi	idends, or (c) the IRS has	
1	am a U.S. citizen	or other U.S. person (defined below).					
		ons. You must cross out item 2 above if you have nu have falled to report all interest and dividends on the adjustition of abandonment of secured propa- generally, payments other than interest and divident. See the instructions on page 4:	an unit for rabben far rot	il strate	TERRESCHIC	nna item y nnea nat ambiv.	· —
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		red to file an Information return with the correct texpayer identification number (TIN)	<ul> <li>An estate (other th</li> </ul>				
TE Ans	port, for example	, income paid to you, real estate e interest you paid, acquisition or	<ul> <li>A domestic trust (a 301.7701-7).</li> </ul>	as defin	ed in Reg	rulations section	
oar ont	idonment of secu ributions you mai	red properly, cancellation of debt, or	Special rules for pa trade or business in	the Uni	ips. Partr ted States	nerships that conduct a s are generally required to	D

abandonment of securing property, cancerdation of decit, of contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payers, if applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note, if a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Special rules for partnerships. Fartnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-s has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-S to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

The U.S. owner of a disregarded entity and not the entity,

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