PUBLIC BUILDING COMMISSION OF CHICAGO



PROFESSIONAL SERVICES AGREEMENT CONTRACT NUMBER PS1197 with D&T COMMUNICATIONS

Mayor Richard M. Daley Chairman

Montel M. Gayles Executive Director

Richard J. Daley Center, Room 200 50 West Washington Street Chicago, Illinois 60602 www.pbcchicago.com

PROFESSIONAL SERVICES AGREEMENT

Minority Business Development – Listening Sessions, Focus Group and Analysis PS 1197

This agreement, effective as of the 28th day of November 2007 ("Agreement"), by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 66 West Washington Street, Chicago, Illinois 60602 ("Commission"), and D&T Communications a Corporation, with offices at 900 N. Franklin Suite 505 Chicago, Illinois 60610 ("Consultant").

WITNESSETH:

WHEREAS, the Commission requires certain professional services, described on Schedule A to this Agreement (the "Services"), and desires to contract with Consultant, on the terms and conditions set forth in this Agreement, to perform such Services; and

WHEREAS, the Consultant desires to contract with the Commission and has represented to the Commission that the Consultant has the knowledge, skill, experience and other resources necessary to perform the Services; and

WHEREAS, the Consultant has reviewed this Agreement and taken such other actions as the Consultant has deemed necessary or advisable to familiarize itself with the scope and requirements of the Services;

NOW, THEREFORE, for valuable consideration, the Commission and the Consultant agree as follows:

- 1. <u>Incorporation of Recitals</u>. The matters recited above are hereby incorporated in and made a part of this Agreement.
- 2. <u>Definitions.</u> The terms set forth below have the meaning indicated with respect to this Agreement:
 - a. **Agreement** means this professional services Agreement, including all exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, modifications, or revisions made in accordance with the terms hereof.
 - b. Commission means the Public Building Commission, and includes the Commission's Chairman, Secretary, Assistant Secretary, Executive Director, Director of Construction, Managing Architect, Project Manager, or designated consultant or consultants, acting on behalf thereof, as designated by the Commission in writing, for the purpose of giving authorizations, instructions, and/or approval pursuant to this Agreement.

- c. Consultant means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of this Agreement.
- d. **Key Personnel** means those job titles and persons as identified in those positions as identified in Schedule A of the Agreement or in the Consultant's proposal and accepted by the Commission.
- e. **Services** means collectively, the actions, deliverables, duties and responsibilities that are required by the Commission under this Agreement. The required Services are described in Schedule A of this Agreement.
- f. **Sub-consultant** means a firm hired by the Consultant to perform certain of the Services required by this Agreement.
- g. **Technical Personnel** as herein referred to include partners, officers and all other personnel of the Consultant, exclusive of general office employees.
- 3. <u>Incorporation of Documents</u>. The documents identified below in this paragraph are hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of each of such documents and will comply fully with all applicable portions thereof in performing the Services.

Policies Concerning MBE and WBE: the Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

4. <u>Standard of Performance</u>. Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards of similarly situated professionals providing services of similar scope and magnitude to the Services required by this Agreement. Consultant further agrees that it will assign to the Services at all times during the term of this Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services in the manner required hereunder.

5. <u>Duties and Obligations of Consultant.</u>

a. <u>Nondiscrimination</u>. The Consultant agrees that in performing the Services it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. Seq. the Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.01 through 10/20, inclusive and a Resolution passed by the Board of Commissioners of the Public Building

Commission of Chicago on February 11, 1992, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission and the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.

- b. <u>Employment Procedures, Preferences and Compliances</u>. Salaries of employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. <u>Compliance with Policies Concerning MBE and WBE</u>. Without limiting the generality of the requirements of the policies of the Commission referred to in paragraph 2 above, the Consultant agrees to use its best efforts to utilize minority business enterprises for not less than twenty five percent (25%) for MBE and five percent (5%) for WBE of the total amount paid to Consultant for the performance of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on February 11, 1992, concerning participation of minority business enterprises and women business enterprises on contracts awarded by the Commission. Consultant will furnish to the Commission such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.
- d. <u>Delays</u>. Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- e. <u>Records</u>. The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during

normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination or expiration of this agreement.

- f. <u>Time of Essence</u>. The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the work of the Commission. Consultant agrees to use all reasonable efforts to expedite performance of the Services and performance of all other obligations of the Consultant under this Agreement.
- g. <u>Compliance with Laws</u>. In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws.
- h. <u>Progress Meetings</u>. Meetings to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.
- i. <u>Changes (Amendments).</u> The Commission may, from time to time, request changes to the terms of the Agreement or in the Scope of Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement. The Commission shall not be liable for any changes absent such written amendment.

6. Term.

- a. The term of this Agreement shall begin on the effective date set forth above and, subject to the provisions of subparagraph (b) below, shall expire upon completion of the Services and acceptance thereof by the Commission. If the Services are of an ongoing nature, on the Completion Date shall be specified in such Schedule A. The Commission and the Consultant may, from time to time, by mutual agreement, extend the term of this Agreement by amending Schedule A hereto.
- b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or

damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.

- 7. <u>Compensation of Consultant; Reimbursement for Expenses</u>. The Commission shall compensate the Consultant for the Services in the manner set forth on Schedule B to this Agreement. In addition, the Commission shall, upon submission by the Consultant, which the Consultant may do no more frequently than once every 30 days, and approval by the Commission of detailed invoices therefor, reimburse the Consultant for all Reimbursable Expenses. "Reimbursable Expenses" means those expenses identified as such on Schedule C to this agreement.
- 8. <u>Rights and Obligations of Commission</u>. In connection with the administration of the Services by the Commission and the performance of the Services by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this agreement:
- a. <u>Information</u>. The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Services.
- b. <u>Review of Documents</u>. The Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
- c. <u>Designated Representatives</u>. The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
- c. <u>Ownership of Documents</u>. All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission.
- d. <u>Audits</u>. The Commission shall have the right to audit the books of the Consultant on all subjects relating to the Services.
- 9. <u>Indemnification of Commission</u>. The Consultant hereby agrees to indemnify, keep and save harmless the Commission, its Commissioners, board members, officers, agents, officials and employees from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
- 10. <u>Insurance to be Maintained by Consultant</u>. The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage which will adequately insure the Commission,

the User Agency and the Consultant against claims and liabilities which could arise out of the performance of such Services, including but not limited to, the insurance coverages set forth on Schedule B to this agreement.

11. Default.

- a. <u>Events of Default</u>. Any one or more of the following occurrences shall constitute an Event of Default under this agreement:
 - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been give to the Consultant by the Commission;
 - ii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;
 - iii. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
 - iv. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
- b. <u>Remedies</u>. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services

actually received and accepted by the Commission through the effective date of termination. No courses of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.

c. <u>Remedies not Exclusive</u>. No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.

12. Disputes.

- a. <u>General.</u> All disputes arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including without limitation questions concerning allowability of compensation, and all claims for alleged breach of contract, shall be presented in writing to the Executive Director for final determination.
- b. Procedure. Requests for determination of disputes will be made by the Consultant in writing specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the respective positions of the Consultant and the Commission's representative; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identify any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. Consultant will promptly provide the Executive Director with a copy of the request for determination of the dispute. The Commission's representative will have thirty (30) business days to respond in writing to the dispute by supplementing the submission or providing its own submission to the Executive Director. Failure by the Commission's representative to respond will not be deemed to be an admission of any allegations made in the request for dispute resolution, but will be deemed to constitute a waiver of the opportunity to respond to such allegation(s), if any. The Executive Director's decision may thereafter be reached in accordance with such other information or assistance as she or he may deem reasonable, necessary or desirable.
- c. <u>Effect.</u> The Executive Director's final decision will be rendered in writing no more than forty-five (45) business days after receipt of the response by the Commission's representative was filed or was due unless the Executive Director notifies the Consultant that additional time for the decision is necessary. The Executive Director's decision will be conclusive, final, and binding on all parties. Consultant must follow the procedures set out in this Section and receive the Executive Director's final decision as a condition precedent to filing a complaint in the Circuit Court of Cook County or any other court.

The Consultant will not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period. The Executive Director's written determination will be complied with pending final resolution of the dispute.

- 13. <u>Confidentiality</u>. All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this agreement, the Project or the Services.
- 14. <u>Assignment</u>. Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members due, the Consultant shall so notify the Commission in writing, and shall assign other qualified members of the Consultant's staff, as approved by the Commission, to the Project.
- 15. Relationship of Parties. The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary on Schedule A hereto, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an agreement of partnership, joint venture, or agency.

16. Miscellaneous.

- a. **Consultant's Authority.** Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.
- b. **Counterparts**. This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- c. **Entire Agreement.** This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.
- d. Force Majeure. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of

the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.

- e. **Governing Law**. This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- f. **No Waiver.** The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- g. **Notices.** All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- h. **Severability.** In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- i. **Successors and Assigns.** Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.

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IN WITNESS WHEREOF, this Agreement has been duly executed by the parties hereto.

PUBLIC BUILDING COMMISSION:	
Montel M. Gayles Executive Director	Date: 12-04-07
ATTEST: By: Shared Dhase Edgrick Johnson Secretary	Date: 12-26-07
D&T COMMUNICATIONS By: Desiree Tate President	Date: (2/04/07
Subscribed and Sworn to before me this 44h Notary Public My Commission expires: July 24, 20	,

Schedule A

Project Description and Scope of Services

Purpose of Study

The purpose of the study is to obtain information from minority contractors and minority professional service providers on what resources are needed and can be implemented to build capacity in the pool of minority contractors available to perform Public Building Commission (PBC) construction projects.

Specific objectives are as follows:

- Determine the impediments for minority contractors in securing business from the PBC.
- Identify specific activities the PBC can implement to make it easier to secure business.
- Explore ways the PBC can better communicate to minority contractors.
- Test key messages for communication materials.
- Review current changes made to PBC business process to determine if they are effective in helping minority contractors compete.
- Identify training opportunities to help mitigate minority business issues.

Target Audiences

- HACEIA
- Black Contractors United
- Federation of Women Contractors
- American Brotherhood of Contractors
- PBC Procurement List
- Illinois Minority Contractors (List developed by D&T)
- Illinois Professional Service Contractors (List developed by D&T)

Situation Analysis

In Chicago, more than half of all taxpayers are minority. But less than one-fourth of all city contracts are awarded to minority-owned businesses. Statistics show that minority-owned businesses hire from within their own community -- creating well-paying jobs, training workers in valuable trades and helping build wealth in traditionally depressed communities.

The Public Building Commission is committed to increasing the participation of minority-owned businesses in the construction of public facilities. It is the policy of the PBC to ensure competitive business opportunities for MBE and WBE firms, and to prohibit discrimination in the awarding of contracts.

The PBC continues to try and find more ways to provide more access to minority contractors and professional service organizations. Although the PBC's minimum goals for participation by certified minority firms on projects is 24%, one of the highest of all City of Chicago procurement, the organization continues to struggle to make sure its' MBE program is inclusive and the procurement process is competitive.

Methodology

Contractors and professional service providers will be recruited to participate in listening sessions and focus groups. We will facilitate one listening session and one focus group for each group of contractors and professional service providers recruited. A total of two listening sessions and two focus groups will be conducted with no more than 15 participants in each group.

Listening Sessions:

It is important to listen to the participants before conducting focus groups. Our experience shows that taking time to understand before we collect vital information provides a substantial return. It offers the participants an opportunity to vent and express their issues and concerns before engaging them in the qualitative research through the focus group process.

We will develop a basic discussion guide with sight variations for each audience. The discussion guide will have a mix of open ended questions and yes or no questions with rating scales. A moderator will lead the listening group and provide direction and order to the process. A top-line report outlining key findings and follow-up next steps will be delivered upon completion of the sessions.

Focus Groups

Focus groups are an important method to obtain information because they allow for extensive probing, follow-up questions, group discussion and observation of emotional reactions to various messages. These are aspects that can't be measured in a traditional telephone or mail survey. Focus group research is considered "qualitative" research. Qualitative research sacrifices reliability for increased validity. This means that although focus group findings cannot be replicated statistically as can sample surveys, they often give the client a more valid view in getting to the heart of issues under study.

The focus groups will be conducted in an environment where participants will provide feedback while being watched by the client and members of the team. The groups will be conducted by a trained facilitator who guides the sessions that will enable us to obtain rich, in-depth, qualitative information on which to base decisions. The deliverable from the focus groups includes a report covering results, issues, opportunities, needs and recommendations for next steps.

Discussion Guides

Discussion guides will be provided for each facilitator. The discussion guide for both groups of contractors and professional service providers will be designed to provoke discussion and determine their attitudes on key motivators. Discussion guide questions will be developed in conjunction with staff from the PBC and Parsons.

Recruitment

Participants will be recruited from the City of Chicago certified minority business list as well as minority contractors that may not qualify as minority businesses because of the revenue cap. Participants will be further screened via telephone interviews to ensure that they have a viable business operating in the city and either have or can build capacity to compete for business with the PBC. A screener guide will be developed to provide structure to the recruitment in order to make sure participants will offer credible and constructive information to the process.

Project Deliverables

- Screener guide for recruitment
- Recruitment of participants for listening sessions and focus groups
- Discussion guides for listening sessions and focus groups
- Moderation of listening sessions
- Secure location to conduct listening sessions and focus groups
- Meeting materials (easel pads, markers, pens, pencils, note pads)
- Coordination of refreshments
- Facilitation of focus groups
- Top-line report from listening sessions
- Qualitative report from focus groups
- Client Counsel

Top-line and Focus Group Report

We will combine the two reports to provide qualitative information derived from the listening sessions and the focus groups. The report will detail respondents' perceptions, opinions and observations about the current PBC minority contracting process provide highlights of key findings, develop recommendations that emerge from the research and a review of the communications messages and materials. The following is an outline of the report we will submit.

- I. Executive Summary
 - A. Highlights of Findings
 - B. Recommendations
- II. Introduction
- III. Methodology
- IV. Detailed findings
 - A. Overview of current program
 - B. Specific activities to improve program
 - C. Communications messages and materials
 - D. Information sources

V. Conclusions

Appendix – Recruitment Screener and Moderator Guide Project Timeline

Activity	Target Date
Organize list of participants from list of target audiences	11/15 – 11/16
Secure locations for listening sessions and focus groups	11/19
Develop screener guides	11/19 - 11/20
Review screener guides with PBC and obtain approval	11/26 - 11/27
Review list from PBC and obtain approval to being recruitment	11/26 – 11/28
Conduct telephone recruitment	11/29 – 11/30
Develop discussion guides	11/29 - 11/30
Review discussion guides with PBC and obtain approval	12/03 - 12/05
Coordinate refreshments for participants	12/06
Facilitate listening sessions	12/10 - 12/11
Facilitate focus groups	12/12-12/13
Submit top-line report to client	12/20
Submit qualitative report to client	12/20
Client counsel	On-going

Revised 11/16/07

Schedule B

Compensation of the Consultant

Cost for professional services, materials, refreshments, out-of-pocket expenses and facilities will be \$24,500.

Schedule C INSURANCE REQUIREMENTS D & T Communications Contract Number PS 1197

The Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

C.1. INSURANCE TO BE PROVIDED

C.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$100,000 each accident, Illness or disease.

C.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$1,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Public Building Commission must be named as additional insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

C.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission must be named as additional insured on a primary, non-contributory basis.

C.1.4. Professional Liability

When any professional Consultant performs work in connection with the Agreement, Professional Liability Insurance will be maintained with limits of not less than \$1,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

C.1.5 Property

The Consultant is responsible for all loss or damage to Commission property at full replacement or repair cost. The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

C.1.6 Valuable Papers

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

C.2. ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the Commission before award of Agreement. The

receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the Commission of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if any policies are canceled, substantially changes, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, its respective Board members, employees, elected and appointed officials, and representatives.

The insurance and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All its subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.

DISCLOSURE OF RETAINED PARTIES

EXHIBIT A

A. <u>Definitions and Disclosure Requirements</u> // K

- 1. As used herein, "Contractor" means a person or entity who has any contract or lease with the Public Building Commission of Chicago ("Commission").
- 2. Commission contracts and/or qualification submittals must be accompanied by a disclosure statement providing certain information about attorneys, lobbyists, consultants, subcontractors, and other persons whom the Contractor has retained or expects to retain with respect to the contract or lease. In particular, the Contractor must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll.
- 3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

В.	Certification		NA
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Contractor hereby certifies as follows:

This Disclosure relates t	the following trans	saction:			
Description or goods or	Description or goods or services to be provided under Contract:				
Name of Contractor:					

3. EACH AND EVERY attorney, lobbyist, accountant, consultant, subcontractor, or other person retained or anticipated to be retained by the Contractor with respect to or in connection with the contract or lease is listed below. Attach additional pages if necessary. NOTE: You must include information about certified MBE/WBEs you have retained or anticipate retaining, even if you have already provided that information elsewhere in the contract documents.

Retained Parties:

Name	Business Address	Relationship (Attorney, Lobbyist, Subcontractor, etc.)	Fees (indicate whether paid or estimated)	

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained:

DISCLOSURE OF RETAINED PARTIES

- 4. The Contractor understands and agrees as follows:
 - a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Contractor's participation in the contract or other transactions with the Commission.
 - b. If the Contractor is uncertain whether a disclosure is required, the Contractor must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
 - This Disclosure of Retained Parties form, some or all of the information provided herein, c, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Contractor waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Contractor and that the information disclosed herein is true and complete.

Subscribed and sworn to before me

5-th day of Dec Notary Public

"OFFICIAL SEAL" Michael T. Moton Notary Public, State of Illinois Cook County

My Commission Expires June 14, 2012

Date:	12	105/2007

DISCLOSURE AFFIDAVIT

Name: De T Communications
Name: De T Communications Address: 900 North Franklin
Telephone No.: (312) 337-5135
Federal Employer I.D. #.: 81 -06 33887 Social Security #:
Nature of Transaction:
Sale or purchase of land Construction Contract Professional Services Agreement Other
Instructions: FOR USE WITH ANY OF THE ABOVE TRANSACTIONS. Any firm proposing one of the above transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.
The undersigned Desiree Take, as President (Name) (Title) The undersigned Desiree Take, as President (Name) (Title)
"Bidder/ Proposer" or "Contractor") having been duly sworn under oath certifies that:
I. DISCLOSURE OF OWNERSHIP INTERESTS
Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all bidders/proposers shall provide the following information with their bid/proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".
Bidder/Proposer/Contractor is a: [] Corporation [] LLC

SECTION 1.

FOR PROFIT CORPORATION OR LIMITED LIABILITY COMPANY (LLC)

b. Authorized to do b	usiness in the State of Illinoi	s: Yes [X] No []	
	eers of corporation or LLC attach list):		ectors of corporation tach list):
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
siree Tate	President		
addresses of all sha Name (Print or T	reholders and the percentage	Address	ch a list of names and Ownersh Interest
Yvunne Davila Desiree Take		Franklin Franklin	
Desiree lake	900 N	. Franklin	
Desiree lake		1. Franklin	<u> </u>
e. If the corporation addresses of all sha	has 100 or more shareholders owning shares exortionate ownership of the o	ders, indicate here or attack qual to or in excess of sever corporation and indicate the Address	n a list of names and nand one-half percent percentage interest of Ownershi Interest
e. If the corporation addresses of all sha (7.5%) of the properach.	has 100 or more shareholders owning shares exortionate ownership of the o	ders, indicate here or attact qual to or in excess of seven corporation and indicate the	and one-half percent

11/28/2007

g.	For LLC's identify each member:		
	Name (Print or Type)	Address	Ownership Interest %
_			%
h.	Is the corporation or LLC owned palegal entities? Yes [] No []	artially or completely by one or more	
		on, as applicable, for each such corporat	ion or entity.
SE	CTION 2. PARTNERSHIPS		
a.	If the bidder/proposer or Contractor percentage of interest of each therein. limited partner (LP)	is a partnership, indicate the name of . Also indicate, if applicable, whether g	each partner and the eneral partner (GP) or
	Name of Partners	s (Print or Type)	Percentage Interest
		<u> </u>	%
			%
			%
SE	CTION 3. SOLE PROPRIETORSH	IP	
a.	The bidder/proposer or Contractor is capacity on behalf of any beneficiary: If NO, complete items b. and c. of this		in any representative
b.	If the sole proprietorship is held by an the agent or nominee holds such interest	n agent(s) or a nominee(s), indicate the pest.	orincipal(s) for whom
	Name(s) of	f Principal(s). (Print or Type)	
-			
			77-1-1
<u></u>	If the interest of a spouse or any other entity, state the name and address or relationship under which such control	party is constructively controlled by ar of such person or entity possessing s is being or may exercised.	nother person or legal such control and the

11/28/2007

Name	(s)	Address	(es)
SECTION 4. LAND T	RUSTS, BUSINESS TRU	STS, ESTATES & OTHEI	R ENTITIES
If the bidder/proposer o legal entity, identify any	r Contractor is a land trust, y representative, person or	business trust, estate or othe entity holding legal title as with me, address and percentage	r similar commercial or vell as each beneficiary
Name((s)	Address((es)
	on	IONS	
b. Name of all officers	and directors of corporation	on (or attach list):	
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
	•		
			
NOTE: The Public Buil	lding Commission of Chic	ago may require additional	information from any

in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

entity or individual to achieve full disclosure relevant to the transaction. Further, any material change

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

- 1. The Contractor, or any subcontractor to be used in the performance of this contract, or any affiliated entities of the Contractor or any such subcontractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such subcontractor or any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification or if a subcontractor or subcontractor's affiliated entity during a period of three years prior to the date of award of the subcontract:
 - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating⁴ in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a

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	If yes, describe under SPECIAL PROVISIONS below				E). DISEASE - EA EMPLOYEE	\$
	OTHER				EL DISEASE - POLICY LIMIT	\$
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IMPORTANT

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or after the coverage afforded by the policies listed thereon.